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### Energy for Sustainable Development



### A conceptual framework for energy technology sustainability assessment $^{symp}$

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#### ABSTRACT

Technology assessment has changed in nature over the last four decades from an analytical tool for technology 21 evaluation, which depends heavily on quantitative and qualitative modelling methodologies, into a strategic 22 planning tool for policy making concerning acceptable new technologies, which depends on participative policy 23 problem analysis. The goal of technology assessment today is to generate policy options for solutions of 24 organizational and societal problems, which, at the operational level, utilize new technologies that are publicly 25 acceptable, that is, viable policy options. This study focuses on the development of a framework that incorporates 26 a technology assessment approach, namely, system dynamics, within the broader scope of technology 27 development for sustainability. The framework, termed system approach to technology sustainability assessment 28 (*SATSA*), integrates three key elements: technology development, sustainable development, and dynamic 30 methodology in energy technology assessment theory and practice within the context of sustainable 31 development. The framework provides for technology sustainability assessment 32 promotion of sustainable energy technologies at a policy level. In addition, it can assist technology developers in 33 understanding the potential impacts of a technology, hence enabling them to reduce technology transfer risks. 34 © 2010 Published by Elsevier Ltd. 35

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#### 40 Introduction

Technological development has long been a key driver in the energy 41 sector (Sagar and Holdren, 2002). Technology development is regarded as 42 an interaction of the technology with the system in which the technology 43is embedded (Hekkert et al., 2007). Technology development not only has 44 the potential of providing the advantage of economic growth and societal 45benefits but can also facilitate in minimizing the negative effects on the 46 47 natural environment. The relation between the environment and technology is, however, complex and paradoxical (Grübler, 1998; Grübler 48 et al., 2002). Firstly, technologies use resources and impose environmental 49stress. On the other hand, technologies can also lead to more efficient use 5051of resources and less stress on the environment. The latter approach is referred to as sustainable technology development (Weaver et al., 2000). 52Since sustainable technology development is not autonomous, its 53 54management is necessary.

55 One of the important disciplines in technology management is 56 technology assessment (TA), which has evolved over the past four

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decades (Tran and Daim, 2008). TA enables the evaluation of the 57 aggregate technology capability and facilitates strategic technology 58 planning. Although TA does not necessarily provide policy makers and 59 managers "the answer", it may improve the odds that the maximum 60 benefits of technology will be achieved (De Piante Henriksen, 1997). 61 TA can reduce the risks inherent in the competitive process by 62 providing information in support of decision making and can be 63 important in determining research and development direction, new 64 technologies adoption, incremental improvement in existing tech-65 nologies, level of technology friendliness, 'make or buy' decisions, 66 optimal expenditure of capital equipment funds, and market 67 diversification (De Piante Henriksen, 1997). 68

While TA has found value in many technology-related problems, 69 there is still a strong need of finding more effective methods of 70 assessment (Tran and Daim, 2008), especially in Africa. This is because 71 TA does not feature in many African government policies (Musango and 72 Brent, 2010). In South Africa, however, a Technology Innovation Agency 73 (TIA), which is a <u>state</u>-owned body, was recently established (IT News, 74 2009). The agency has three critically important objectives (Engineering 75 News, 2007; Technology Innovation Agency Act of 2008, 2008). Firstly, it 76 is to stimulate technological enterprises; and, finally, to stimulate the 77 development of technological enterprises; and, finally, to stimulate the 78 broader industrial base. However, without a formal comprehensive or 79 well-integrated TA tool to evaluate sustainability of any technology, the 80 policy makers, technology designers, and decision makers are faced with 81 difficulty in terms of the appropriate technology options for the country. 82 Providing support for the development of sustainable energy innovations 83

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 $<sup>\</sup>stackrel{\leftrightarrow}{}$  This research is part of Josephine K. Musango, PhD, study on *"Technology assessment of Renewable Energy Sustainability in South Africa"*, with Stellenbosch University, South Africa (www.tsama.org). The Research work is also part of Council for Scientific and Industrial Research (www.csir.co.za) bigger project recognized as Bioenergy Systems Sustainability Assessment and Management (BIOSSAM–www.biossam.org).

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therefore remains a difficult task for decision makers with a need to 84 85 influence the course of technological change.

This article therefore develops a conceptual framework of a 86 87 systems approach to technology sustainability assessment (SATSA) with an aim of providing an improved assessment practices model for 88 renewable energy technologies in developing countries. The frame-89 work can also ensure that technology development projects incorpo-90 91 rate a broader range of considerations for achieving the desired 92 sustainability performance. Through the framework, the basis of using 93 system dynamics modelling as a means for technology sustainability assessment is explored, and the guiding steps for model development 94are provided using renewable energy technologies as a case. 95

#### **Proposed conceptual framework** 96

Fig. 1 provides a schematic representation of the proposed 97 conceptual framework for technology sustainability assessment. The 98 aim of the framework is to demonstrate the linkages between the key 99 elements that are proposed as important for improved technology 100 sustainability assessment practices. These are technology develop-101 ment, sustainable development, and dynamic systems approach. 102 103 Pairing these elements renders the understanding of sustainable technology development, technology assessment, and sustainability 104 105assessment. On the other hand, integrating the three elements provides the foundation for SATSA. 106

#### Technology development 107

Technology has affected society and its environment in a number 108 109 of ways. In many societies, technology has facilitated the development 110 of more advanced economies, such as the current global economy, and the rise of a leisure class. However, many technological processes 111 produce unwanted by-products, such as pollution, and deplete 112natural resources, both to the detriment of the natural environment. 113Also, various implementations of technology influence the values of a 114 115society and new technology often raises new ethical questions (see Text box 1 for an illustration). 116

The last 300 years have experienced more momentous technological 117 118 changes than any other period and is considered as the "age of technology" (Grübler, 1998). Anthropologists, historians, and philosophers were the 119 first to have an interest in understanding the role technology plays in 120 shaping societies and cultures. Individuals from other disciplines such as 121 economics only followed later to study technological change (Rosegger, 1221996). Thorstein Veblen and Joseph A. Schumpeter pioneered the thinking 123 124 on technology. Veblen (1904, 1921, 1953) was the first to focus on the

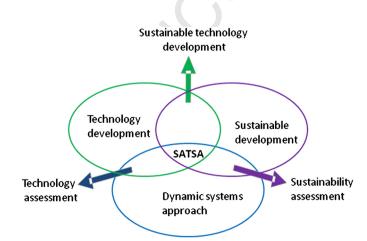


Fig. 1. Schematic representation of a systems approach to technology sustainability assessment (SATSA).

### Text Box 1

An illustration of the effect of technology on society and environment. B.2

| From fossil fuel to renewable energy technologies.                     | B.3  |
|--|------|
| Fossil fuels such as coal, natural gas, and crude oil have contributed | B.4  |
| to the sophistication of the society for many decades. However,        | B.5  |
| these energy technologies have posed the unintended effects.           | B.6  |
| Burning of oil and coal for all these decades led to greenhouse gas    | B.7  |
| emission to the atmosphere that exceeds the earth's absorptive         | B.8  |
| capacity. The world is at crossroads in determining the future energy  | B.9  |
| technology development. Renewable energy, such as wind, solar,         | B.10 |
| wave, tidal, and geothermal provide an alternative pathway for         | B.11 |
| sustainable energy development. Thus, the choice between fossil        | B.12 |
| fuel and renewable energy technologies poses ethical questions.        | B.13 |
|  | B.14 |

interactions between humans and their artifacts in an institutional context 125 and to regard technology as part of material and social relationships. 126 Technology was deemed to be developed and shaped by social actors 127 while at the same time shaping social values and behavior. 128

Schumpeter (1911), in turn, considered the sources of technological 129 O2 change as endogenous to the economy. This is well illustrated using 130 Schumpeter's waves (see Fig. 2), whereby the duration in which the 131 utilization of new technology knowledge influences the characteristics of 132 economic development decreases. Technological change thus arises 133 within the economic system as a result of newly perceived opportunities, 134 incentives, deliberate research and development efforts, experimenta- 135 tion, marketing efforts, and entrepreneurship (Grübler, 1998). 136

Currently, numerous technology studies acknowledge the feedback 137 loops affecting technology development and a common conclusion that 138 technology development is neither simple nor linear is shared. Grübler 139 (1998) identifies four important characteristics of technology develop- 140 ment that are relevant in guiding the development of the improved 141 technology sustainability assessment; these are uncertainty, dynamic, 142 systemic, and cumulative. 143

Technological uncertainty arises due to the existence of a number of 144 solutions to achieve a particular task. It is thus uncertain which of these 145 solutions might be the "best" when all economic, social, technical, and 146 environmental factors are taken into account. Uncertainty also exists at all 147 stages of technology development, from the initial design choices to 148 success or failure in the market place. Secondly, technology is dynamic 149 O3 implying that it exhibits an s-curve as it changes over time as a result of 150 improvements or modifications. Plotting the performance of a technology 151 against the cost of investment initially shows a slow improvement, which 152 is then followed by an accelerated improvement and finally diminishing 153 improvement, as shown in Fig. 3. The factors contributing to the dynamic 154 nature of technologies is due to either (i) the new inventions or (ii) 155 continuous replacement of capital stock as it ages and economies expand. 156 Technology development is systemic and cannot be treated as a discrete, 157 isolated event. The interdependence of technologies causes enormous 158 difficulties in implementing large-scale changes. The mutually interde- 159 pendent and cross-enhancing "socio-technical systems of production and 160 use" (Kline, 1985) cannot be analyzed in terms of single technologies. This 161 should be considered in terms of the mutual interactions among the 162 concurrent technological, institutional, and social change. Finally, tech- 163 nology change is cumulative and builds on previous experience and 164 knowledge. 165

Although the technology development characteristics discussed 166 above are recognized in the literature, two fundamental features are 167 still largely ignored by macroeconomic (Grübler, 1998) and other 168 models. These are (i) evolution from within and (ii) the inherent dynamic 169 and non-equilibrium nature of technological change, which the static and 170 equilibrium models fail to capture. 171

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**B** 1

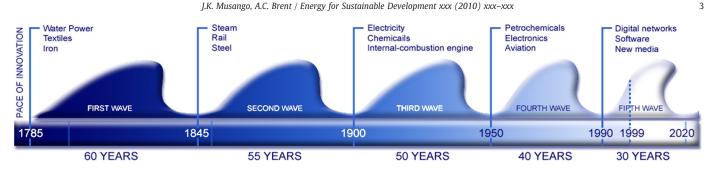


Fig. 2. Schumpeter waves of impact of the technological change on the economy.

#### 172 Sustainable development

The concept of sustainable development has enjoyed widespread coverage in the literature and in discussions at diverse levels (Assefa and Frostell, 2007). The Brundtland Report, *Our Common future* (World Commission on Environment and Development, 1987) and Goldemberg et al. (1988), *Energy for sustainable world*, are taken as a starting point in this study.

The most widely used definition of sustainable development refers to the three dimensions of sustainability: ecological, economic, and social systems. The concept of sustainability derives from a shift in perspective, from a focus on economic development that is often defined as the expansion of consumption and GDP to a new view of development called sustainable development (Harris and Goodwin, 2001).

185 The Brundtland Report defines sustainable development as the development that meets the needs of the present generation without 186 compromising the ability of future generations to meet their own needs 187 (World Commission on Environment and Development, 1987). According 188 189 to Mebratu (1998), this definition contains two key concepts: (i) the 190 concept of "needs" particularly the essential needs of the world's poor, which requires a paramount priority, and (ii) the idea of limitations 191imposed by the state of technology and social organization on the ability of 192the environment to meet the present and future needs. 193

Other studies have argued that sustainable development is neither a 194 fixed condition nor a final sustainable state but is inherently a dynamic 195 process (Mog, 2004). Kemmler and Spreng (2007) illustrated this point 196 by arguing that future generations, with greater knowledge and 197 sophisticated technology and different needs, will define sustainable 198 199 development in their own way and with a different set of development goals. In addition, Meadows (1998) recognizes sustainable develop-200 ment as depended on a society's worldviews and values. 201

Despite the debates and arguments around the concept of sustainable development, the conceptual priority is mainly sustaining

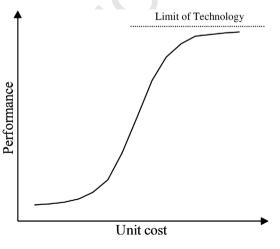
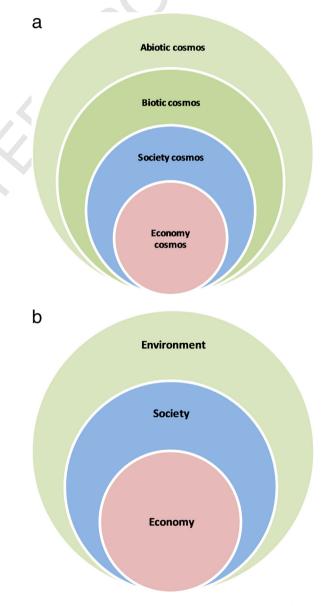
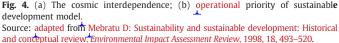


Fig. 3. Technology S-curve.

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the society and not explicitly the environment and the economy 204 (Gaziulusoy et al., 2008). However, the irreversible hierarchical 205 interdependencies depicted in Fig. 4 prescribe the environment as 206 being the operational priority. This is because both the society and 207 economy are dependent on the environment as the provider of the 208 necessary resources. The time frame for use when planning for 209





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sustainable development is also debated in the literature. However, in
 accordance with the operational priority, the sustainable develop ment concept intrinsically requires a long-term future orientation.

#### 213 Dynamic systems approach

The dynamic systems approach is a technique for the computational 214modelling of complex, nonlinear systems. The aim of using a dynamic 215216 systems approach is to understand the ways in which systems function, 217 and the consequences that may follow as a result of the interconnectedness of system states (Auerhahn, 2008). Changes taking place in one part 218of the system may manifest impacts in others. System dynamics is the 219dynamic systems approach proposed in this study. It is an interdisciplinary 220 approach that is based on the theory of system structures (Sterman, 221 2000). System dynamics represents complex systems and analyzes their 222 dynamic behavior over time (Forrester, 1961). According to Coyle (1996): 223 "system dynamics deals with the time dependent behaviour of managed 224systems with the aim of describing the system, and understanding, 225through qualitative and quantitative models, how information feedback 226governs its behaviour, and designing robust information feedback 227structures and control policy through simulation and optimization". 228Thus, the main objectives of a system dynamics approach are (i) to clarify 229the endogenous structure of a particular system of interest under study, 230(ii) to identify the interrelationships of different elements of the system 231 under study, and (iii) to account for different alternatives for simulation 232and explore the changes in the system under consideration. 233

The initial step in system dynamics modelling is to determine the 234235system structure consisting of positive and negative relationships between variables, feedback loops, system archetypes, and delays 236(Sterman, 2000; Wolstenholme, 2004). This is followed by an ex ante 237projection, where future system states are replicated from this model. 238 239*Ex ante* projection implies that uncertainties with regard to future 240 changes in a system structure can be more easily addressed as there is better understanding of a system structure in the first place (Sterman, 241 1994). This understanding of a system structure requires a focus on 242the system as a whole, and it is argued that a holistic system 243244 understanding is a necessary condition for the effective learning and 245management of complex systems, as well as consensus building. Additionally, systems modelling and simulation support policy 246analysis and evaluation (Morecroft, 1988). 247

System dynamics models consist of qualitative/conceptual and 248 249quantitative/numerical modelling methods (Dolado, 1992). Qualitative modelling involves the use of causal loop diagrams or hexagons 250(Hodgson, 1992), which guides in improving the understanding of the 251252conceptual system. Quantitative modelling implies the use of stockand-flow models, and the investigation and visualization of the effects 253254of different intervention strategies through simulation and optimization. Quantitative modelling also requires making explicit statements 255about assumptions underlying the model, identifying uncertainties 256with regard to system structure, and describing gaps in data 257availability. This whole process promotes model transparency. 258

#### 259 Combined elements

#### 260 Technology assessment

Eriksson and Frostell (2001) define technology assessment as "the 261 evaluation of an object, function, or sequence of functions -created by 262human society to assist in achieving a goal - with respect to sustainability 263 in comparison of other solutions providing the same function(s)". 264Assessments of technology development are very important, especially 265for large-scale technologies, since a large amount of capital resource is 266required for their development. Rational and consistent assessments call 267for model analyses because of the complex characteristics of technology 268development as described in Section 2.1. 269

System dynamics is among the methods identified in the technology assessment literature (De Piante Henriksen, 1997; Tran, 2007). The main benefit of using system dynamics for technology 272 assessment is the increased realism in the assessment itself. The 273 analysis of the other categories of technology assessment may not 274 capture the complex real-world behavior of uncertainties that result 275 from non-linear feedback structures, such as learning curves (Sterman, 276 2000). Modelling the structure of any technology with system 277 dynamics that produces complex behavior of technology development 278 may improve the accuracy of technology assessment. 279

Another advantage of using system dynamics in technology 280 assessment is its flexibility in defining complex feedback systems 281 and separate stochastic effects. This is essential and beneficial, 282 especially when dealing with multiple and potentially interacting 283 sources of uncertainty. In addition, describing the distribution of 284 uncertainty around system dynamics variables is intuitive (Sterman, 285 2000). As a result, system dynamics provides clearer insights into the 286 drivers of the effects of strategic action (Johnson et al., 2006) in 287 technology development. 288

The number of studies that use a system dynamics model in 289 technology assessment within the framework of sustainable devel-290 opment is limited. Chambers (1991), for example, used system 291 dynamics to investigate the Australian chemicals, fuels, and energy 292 industries. He used Forrester's system dynamics simulation model, 293 coupled with the linear programming routine, for system optimiza-294 tion (Forrester, 1961). In recent years, however, the literature of 295 technology assessment has increasingly recognized the benefits of 296 using system dynamics. Wolstenholme (2003), for example, describes 297 a holistic and dynamic method based upon system dynamics 298 modelling for the early evaluation of technology at an intermediate 299 and balanced level. This article provides a further example of a 300 conceptual model of how technology assessment can be incorporated 301 into system dynamics models for an intermediate level assessment. 302

#### Sustainability assessment

The main purpose of sustainability assessment is to provide 304 decision makers with an evaluation of global to local integrated 305 environment\_economy and society systems from both short- and 306 long-term perspectives (Kates et al., 2001). The aim of such an 307 assessment is to provide guidance on policy actions that are intended 308 to achieve sustainable development goals. 309

Since its inception, the concept of sustainable development has 310 prompted policymakers to formulate new strategies for achieving 311 balanced economic and technological pathways that would safeguard 312 the environment, not only here and now but also elsewhere in the 313 future (Nijkamp and Vreeker, 2000). New technologies may affect all 314 the dimensions of sustainable development dimensions through their 315 influence on the natural environment and on social and economic 316 development (Huber, 2004). In addition, sustainability is context- 317 specific and may ultimately be determined by the needs and 318 opportunities in a given region as part of a broader spatial system. 319

Singh et al. (2009) provide an overview of the different 320 methodologies for sustainability assessment. They identified the 321 following approaches as an integrated assessment for sustainability: 322 conceptual, system dynamics, multi-criteria, risk analysis, uncertain- 323 ty<sub>1</sub> vulnerability<sub>1</sub> cost benefit<sub>1</sub> and impact assessment. Of all the 324 methods that are categorized as integrated approaches, only system 325 dynamics can account for the dynamic and inherent complexity of 326 sustainable development sub-systems as discussed in Section 2.2. This 327 feature of system dynamics in sustainability assessment is also 328 discussed by Hjorth and Bagheri (2006), whereby they illustrate the 329 appropriateness of the system dynamics approach to the problems of 330 sustainable development. 331

#### Sustainable technology development

Technology determines to a large extent the demand for raw 333 materials and energy, needs for further transport and infrastructure, 334 mass flows of materials, emissions, and other forms of waste. 335

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Technology is, however, also a key factor of systems of innovation and influences prosperity, consumption patterns, lifestyles, social relations, and cultural developments. Technology can also improve the natural environment where damage has occurred. Therefore, the development, production, use, and disposal of technical systems have impacts on and benefits for the ecological, economic, and social (and other) dimensions of sustainable development.

Technology is always embedded in the sub-systems of the 343 344economy, society (and its institutions), and the natural environment, as they relate to sustainable development (see Fig. 5). The typical 345346elements of a technology system include natural resources, organi-347 zational artifacts, physical artifacts, and legislative artifacts (Hughes, 348 1987), which entail sustainable development sub-systems. Thus, 349there is no deterministic relationship between technology system and the sustainable development subsystem. 350

Borrowing from the co-evolution literature (Norgaard, 1984, 351 1995), this can be regarded as the co-evolution of technology in 352 353 sustainable development sub-systems. In terms of the co-evolution concept, the implication is that neither can technologies determine 354 the future aspects of the sustainability of the sub-systems nor can 355 technology sustainability be fully determined by the elements of 356 sustainable development according to its intentions. Instead, the **05** 357 358 process is a complex one where technologies and the sustainable 359 development sub-systems mutually influence each other, involving many different factors. When such interrelationships are taken into 360 account, it is soon realized that ecological, economic, social, and 361 technological sub-systems are (overall) complex systems. Although 362 363 the interrelationships of these sub-systems can be analyzed in smaller scales by defining boundaries, any attempt to suggest sustainable 364 technology development will be meaningless if such an analysis does 365 not take the interdependencies into account. 366

367 Systems approach to technology sustainability assessment (SATSA)

Managing technology development for sustainability is a "wicked" problem, in the sense that there is no definitive formulation of sustainable development and more so no conclusively "*best*" technology solutions. In addition, the problems related to sustainable development are constantly changing. Despite these connotations, to be able to shape technologies towards sustainable development, it is important to begin with the sustainable development goals.

The definition of Eriksson and Frostell (2001) of the concept of technology assessment (refer to Section 2.4.1) suggests that technol-

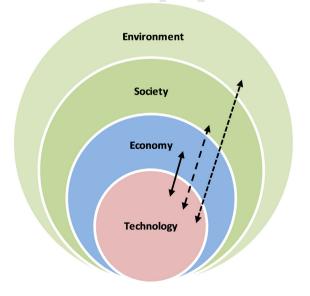


Fig. 5. Co-evolution of technology and sustainable development sub-systems.

ogy should be assessed from a perspective of a certain defined setting, 377 within which it is supposed to operate. This implies that technology 378 assessment is important in relation to the operational level of 379 sustainability because in its practical sense, sustainability entails 380 measurement and performance comparison (Assefa and Frostell, 381 2006). 382

The study of Faber et al. (2005), to determine the conceptual 383 foundations of sustainability, concludes that both theoretical (defini- 384 tions) and practical (operationalization) contributions of the concept 385 of sustainability evolved from being static/absolute to dynamic/ 386 relative. The static conceptualization assumes no change over time 387 with the subject artefact itself and between other artefacts in its 388 environment. An assessment is then carried out with the (general) 389 assumption that the scientific knowledge is complete, and taking the 390 present socio-cultural values, technological limitations, and resource 391 limits into consideration. However, the dynamic conceptualization of 392 sustainability realistically assumes that both internal and external 393 changes will occur. From this perspective, in order to undertake a 394 technology assessment that considers all the aspects of sustainability, 395 it is important to acknowledge the complexity and the co-evolution of 396 technology within sustainability sub-systems. The system dynamics 397 approach thus provides a suitable platform for analysing technology 398 development within the sustainable development framework. 399

From a technology sustainability assessment perspective (Assefa 400 and Frostell, 2006), system dynamics recognizes sustainability as a 401 whole systems concept concerned with human activities in the 402 context of naturally occurring systems that provide the sources and 403 sinks for the flows of materials and energy associated with them. It 404 also shows the ability of those systems to sustain human activities in 405 the future, including further technology development (Chan et al., 406 2004).

### The relevance of the systems approach to technology sustainability408assessment (SATSA) for energy technologies409

While energy technology assessment is not new, it has become 410 more relevant in recent times than before (Daim et al., 2009). Energy 411 technology development is an iterative and reflexive process 412 requiring accumulated knowledge combined with the utilization of 413 both natural and human capital. Sustainable energy technology 414 development requires the consideration of the following aspects: 415

- a) Sustainable energy technology development intrinsically demands 416 long-term planning. This is because the required change is hard to 417 achieve within a short-time frame due to the large extent of 418 complexity of the interaction of energy systems with the 419 sustainable development sub-systems. The Millennium Institute 420 illustrates such an interaction as presented in Fig. 6. 421
- b) Sustainable development sub-systems and technology develop- 422 ment demonstrate complexity that cannot be reduced to linearity, 423 which certainly applies to energy technology development. 424
- c) A co-evolutionary approach is required to understand the effects 425 of interactions taking place both within and between the energy 426 technology development system and sustainable development 427 sub-systems in order to be able to influence change towards a 428 desired path.
- d) Both the technology development and sustainable development 430 concepts are dynamic. Therefore, the requirements and character-431 istics for sustainable energy technology development change over 432 time. This is due to new information and knowledge that are 433 gathered and changes taking place within energy technology 434 development and sustainable development sub-systems. 435
- e) Radical change at energy technological level is required. This is 436 mainly influenced by sustainable development sub-systems.
   437

In order to undertake an energy technology assessment that 438 accounts for sustainable development sub-systems, the approach, 439

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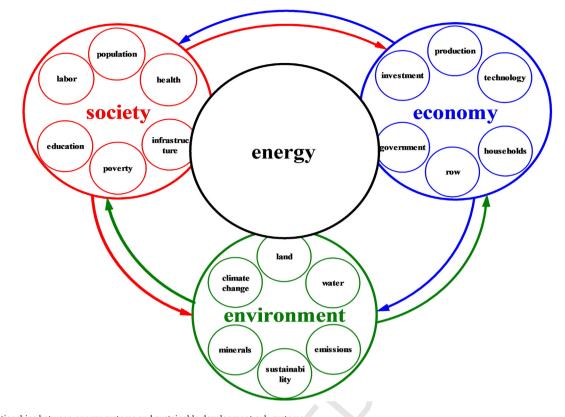


Fig. 6. Interrelationships between energy systems and sustainable development sub-systems. Source: Millennium Institute (http://www.millenniuminstitute.net) and Bassi A: An integrated approach to support energy policy formulation and evaluation. PhD Thesis, University of Bergen, 2009.

method, or tool used should therefore be capable of (i) providing a
long-term coverage; (ii) addressing complexity; (iii) dealing with coevolutionary changes both as a result and cause; (iv) allowing
continuous feedback, reassessment, and adjustment to cope with the
dynamic characteristics and changing requirements of sustainability
concept; and (v) providing a creative vision to guide the technology
development path towards the radical change.

The system dynamics is a dynamic systems approach capable of
accounting for the above mentioned issues. Thus, system dynamics
provides a vital element for energy technology development as well as
planning for sustainable development.

#### 451 Methodology description of the systems approach to technology 452 sustainability assessment (*SATSA*) for energy technologies

Having discussed the relevance of SATSA for energy technologies,
this section provides the guiding process and steps of SATSA for energy
technologies as presented in Fig. 7. The process allows the assessment
of energy technology development accounting for sustainable
development sub-systems and the relevant sustainability indicators
and goals.

#### 459 Step 1: sustainable technology development

STEP 1, which is denoted as "sustainable technology develop-460ment", consists of two main activities. The first one is the 461 identification of the need for energy technology development. As an 462 example, if electricity generation technologies are considered, this 463activity will entail collecting information about the available electric-464 ity generation technology options in a particular country or region. 465The second activity of Step 1 is defining the sustainability goals of the 466 energy technology development. Taking the example of electricity 467 468 generation, this activity involves the identification of the linkages of electricity generation technologies with the sustainable development 469 sub-systems, collection of information about the resource availability 470 for electricity generation technologies, and the related economic, 471 environmental, and social conditions. This information is necessary in 472 order to populate the environmental, economic, and social impact 473 indicators related to a specific technology development. It is 474 important to note that some indicators may be directly related to a 475 specific technology under consideration. On the other hand, other 476

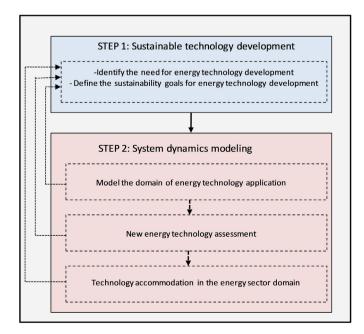


Fig. 7. Guiding process for SATSA: energy technology case.

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indicators are dependent on the economic, environmental, social, andpolitical context in which the technology is being implemented.

#### 479 Step 2: system dynamics modelling

Step 2, denoted as "system dynamics modelling", consists of three 480 main activities, namely modelling the domain of energy technology 481 application, new energy technology assessment, and technology 482 483 accommodation in the energy sector domain. These activities mentioned are comparable to the three-stage methodology to 484 technology assessment of Wolstenholme (2003). However, unlike 485Wolstenholme (2003), this article proposes a Step 1, sustainable 486 technology development, whereby there are interlinkages with the 487 488 system dynamics modelling. This is because the identification of the energy technology options and their respective desired sustainability 489 performance/goals should be defined before the system dynamics 490 491 modelling.

#### 492 Model the domain of energy technology application

The first activity of Step 2 involves developing a base system 493 dynamics model. Again, taking the example of electricity generating 494 technologies, in this step, one would aim at populating, calibrating, 495 496 and validating a system dynamics model of the current structure of 497 the electricity generation technologies. This would include both the conventional and renewable energy technologies. In addition, unlike 498 Wolstenholme (2003), the proposed system dynamics modelling 499activity also includes the structure of the interlinkages between the 500 501energy technology system with the sustainable development subsystems. An essential aim of this activity is to improve the 502understanding of sustainable energy technology development in a 503particular country or region under consideration. 504

#### 505 New energy technology assessment

The second activity is the assessment of new energy technology. 506 The technology might not be new per se in the sense that it has never 507existed before. This may include technologies that have never been 508introduced in the particular country or region but are considered as 509potential options as identified in Step 1. The energy technology 510assessment activity is also based on the sustainable development 511 goals identified in Step 1. This second activity of system dynamics 512modelling enables one to holistically learn the extent to which the new 513 energy technologies promote the achievement of the desired 514 sustainable development goals in a country or region. 515

#### 516 Technology accommodation in the energy sector domain

517 The third activity is the critical one in the sense that it attempts to 518 experiment on the ways in which the new technology can be 519 accommodated in the current situation to achieve the desired 520 sustainable development goals. It involves testing what changes in 521 policies and procedures or modifications in the technology that may 522 help in achieving these desired goals.

#### 523 Conclusions

This article developed a conceptual framework for technology 524sustainability assessment, which the authors have termed as the 525526 systems approach to technology sustainability assessment (SATSA). Achieving sustainable technology development requires developing 527approaches or methods that account for the characteristics of the 528 technology development and sustainable development sub-systems. 529System dynamics is the proposed dynamic systems approach that can 530guide in providing technology sustainability assessment. 531

SATSA lies at the cross-section of technology development,
 sustainable development, and dynamic systems approach. This
 implies that a dynamic systems approach can provide the necessary
 guidance in understanding the system boundaries for long-term

technology development within the context of sustainable develop- 536 ment criteria or goals. A guiding process or procedure for *SATSA*, using 537 energy technology assessment as an example, was presented. *SATSA* is 538 currently being applied to evaluate alternative energy options in the 539 African context, which form part of the strategy of the New 540 Partnership for Africa's Development (NEPAD) to reach the Millen- 541 nium Development Goals. 542

## Uncited reference 543 Q6

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