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3 BIOTECHNOLOGICAL PRODUCTS AND PROCESS ENGINEERING

4 **A downstream process for production of a viable and stable**  
5 ***Bacillus cereus* aquaculture biological agent**

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10  
11 **Abstract** Biological products offer advantages over chemo-  
12 therapeutics in aquaculture. Adoption in commercial applica-  
13 tion is lacking due to limitations in process and product  
14 development that address key end user product requirements  
15 such as cost, efficacy, shelf life and convenience. In previous  
16 studies, we have reported on the efficacy, physiological  
17 robustness and low-cost spore production of a *Bacillus cereus*  
18 isolate (NRRL 100132). This study examines the develop-  
19 ment of suitable spore recovery, drying, formulation and tablet  
20 production from the fermentation product. Key criteria used  
21 for such downstream process unit evaluation included spore  
22 viability, recovery, spore balance, spore re-germination,  
23 product intermediate stability, end product stability and  
24 efficacy. A process flow sheet comprising vertical tube  
25 centrifugation, fluidised bed agglomeration and tablet press-  
26 ing yielded a suitable product. The formulation included corn  
27 steep liquor and glucose to enhance subsequent spore re-  
28 germination. Viable spore recovery and spore balance closure  
29 across each of the process units was high (>70% and >99%  
30 respectively), with improvement in recovery possible by  
31 adoption of continuous processing at large scale. Spore re-  
32 germination was 97%, whilst a product half-life in excess of  
33 5 years was estimated based on thermal resistance curves. The  
34 process resulted in a commercially attractive product and  
35 suitable variable cost of production.

**Keywords** *Bacillus cereus* · Downstream processing · 36  
Biological agent · Aquaculture 37

**Introduction** 38

The use of biological agents has gained popularity in 39  
aquaculture as an alternative to chemotherapeutics, which 40  
are more costly, damaging to the environment and often 41  
met with consumer resistance (Sanders et al. 2003). 42  
Although biological agents are an attractive alternative in 43  
improving fish health through disease attenuation and water 44  
quality enhancement, proper technology development has 45  
been limited, preventing wider adoption of this technology 46  
(Moriarty 1999). Important criteria influencing the com- 47  
mercial use of biological products are cost, efficacy, shelf 48  
life and convenience to the end user (Amer and Utkhede 49  
2000; Keller et al. 2001). Apart from the fermentative 50  
production, the downstream process has a major influence 51  
on product commercialization because it influences these 52  
product characteristics (Prabakaran and Hoti 2008; Rowe 53  
and Margaritis 2004; Tsun et al. 1999). In response to these 54  
challenges and the global growth in intensive reticulated 55  
aquaculture due to dwindling natural reserves, we devel- 56  
oped a novel downstream process for our *Bacillus cereus* 57  
(NRRL 100132) biological agent which resulted in a spore 58  
product suitable for aquaculture application, by minimising 59  
the number of unit operations, maximising the overall 60  
process yield and reducing overall process costs whilst also 61  
simplifying commercial implementation. 62

*Bacillus* spp. offer the required advantages of biological 63  
agents in aquaculture because they are ubiquitous, can be 64  
formulated into stable products and are unlikely to use 65  
genes for antibiotic resistance from common Gram-negative 66  
pathogenic organisms (Gatesoupe 1999; Hong et al. 2005; 67

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68 Sanders et al. 2003). The durability of *Bacillus* spores  
 69 furthermore allows consideration of robust downstream  
 70 process options (Driks 2004; Emmert and Handelsman  
 71 1999).

72 Development of a biological product containing  
 73 *Bacillus* spores begins with microbial screening, followed  
 74 by development of bioprocess technology that ensures  
 75 competitive production and downstream processing  
 76 (Schisler et al. 2004). To this effect, our isolated *B. cereus*  
 77 (NRRL 100132) was shown to inhibit the fish pathogen,  
 78 *Aeromonas hydrophila*, and to decrease the concentrations  
 79 of ammonia, nitrite, nitrate and phosphate waste ions  
 80 during in vitro and in vivo studies using ornamental  
 81 *Cyprinus carpio* as a model species (Laloo et al. 2007).  
 82 This *B. cereus* isolate also tolerated a wide range of  
 83 physiological parameters (Laloo et al. 2008), making it an  
 84 excellent candidate for aquaculture applications (Fast and  
 85 Menasveta 2000; Guetsky et al. 2002). A successful  
 86 fermentation process for high-density spore production  
 87 of this microorganism, which resulted in an attractive  
 88 material cost of production, has also been developed  
 89 (Laloo et al. 2009).

90 Although an efficient downstream process is a key  
 91 requirement for commercialisation of biological agents,  
 92 published data regarding downstream process development  
 93 and formulation for commercially available biological  
 94 products are very limited (Brar et al. 2006; Schisler et al.  
 95 2004). This step dictates processability, economy, shelf life,  
 96 efficacy, eco-friendliness, ease of application and provision  
 97 of a product form that commands customer appeal (Brar et  
 98 al. 2006; de Medeiros et al. 2005). As robust economical  
 99 choices of process steps and ingredients dictated by the end  
 100 product characteristics are necessary to improve the  
 101 commercial success of new biological products (Brar et al.  
 102 2006), our development addresses this knowledge gap and  
 103 further enhances the commercial adoption of biological  
 104 agents in aquaculture.

105 **Materials and methods**

106 A process flow sheet was conceptualised and tested for the  
 107 production of a tablet end product containing *B. cereus*  
 108 NRRL 100132 spores as an active biological agent (Fig. 1).

109 **Organism production**

110 *B. cereus* NRRL 100132 was cultured in 15-l Biostat C  
 111 fermenters (Sartorius BBI Systems, Melsungen, Germany)  
 112 as previously described (Laloo et al. 2009), and the  
 113 harvested broth containing bacterial spores as the active  
 114 biological agent was used in experiments for development  
 115 of a downstream process.

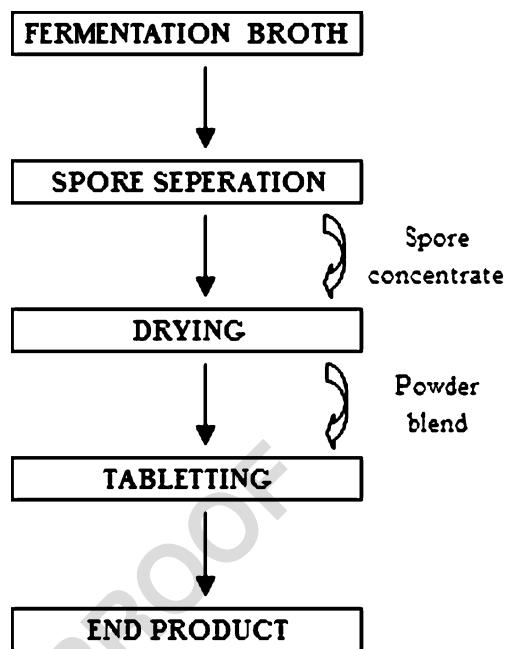


Fig. 1 Conceptual downstream process flow sheet for production of a tablet product

Spore separation from fermentation broth 116

A centrifugation process was developed for harvesting of the bacterial spores (biological agent) from the fermentation broth. Disc stack (Westfalia, SA1, GEA, Germany) and vertical tube (Sharples AS16 V, Paris, France) centrifuges were evaluated as alternatives for this process unit operation. The operating rational of these centrifuges has been described by Van Dam-Mieras et al. (1995) and Rivière (1977). Similar batches of starting broth ( $10l, 1.3 \times 10^{13} CFU l^{-1}$ ) were used to minimise variance in the comparative study of the two centrifuges. The broth feed was continuously agitated during centrifugation using an overhead stirrer (Heidolph RZR 2102, Kelheim, Germany), to prevent settling of the biomass. Broth flow rates and the de-sludge time (disc stack only) were selected on the basis of previous operational experience with the equipment. Mass, volume and spore concentration were measured for the broth feed, supernatant and resultant biomass slurry.

Fermentation broth was pumped at  $12 l h^{-1}$  (Watson Marlow 505U, Cornwall, England) into the inlet of the disc stack centrifuge operated at  $11,000 \times g$ . The bowl pressure was maintained at 100 kPa by adjusting the backpressure valve, and the bowl was de-sludged every 4.5 min to collect the biomass paste. Fermentation broth was similarly pumped at  $25 l h^{-1}$  into the inlet of the vertical tube centrifuge operated at  $23,000 \times g$ . After the entire volume of broth was pumped into either of the centrifuges, it was allowed to spin for a further 5 min to maximise sedimentation. The bowl contents were removed by a final de-

145	sludge (disc stack) or manually from the tube (vertical tube)	194
146	and re-suspended into 0.15% $m \cdot v^{-1}$ potassium sorbate	195
147	buffer equivalent to half the initial broth volume, resulting	196
148	in a spore slurry. Any residual biomass was purged and	197
149	ascribed to the loss fraction.	198
150	Fluidised bed coating of carrier with <i>B. cereus</i> spores	199
151	To produce a dried product, the spore slurry from the	200
152	vertical tube centrifuge was used as the feed for the	201
153	fluidised bed coating operation. In a screening test, yeast	202
154	powder (Microbial Solutions, Kya Sands, South Africa) or	203
155	spray-dried corn steep liquor (CSL, Solulyis, Roquette,	204
156	Lestrem, France), milled to sizes ranging from 50 to	205
157	500 $\mu\text{m}$ , was used as the carrier material for fluid bed	206
158	coating and tested at ratios of 1:2 and 1: 4 spore slurry to	207
159	carrier. The appropriate carrier (10 or 20 kg depending on	208
160	ratio) was added to the fluidised bed drier (PAC FBD 15,	209
161	Johannesburg, South Africa) and fluidised using an inlet air	210
162	flow of $1 \nu \cdot v^{-1} \cdot m^{-1}$ and automatically controlled air inlet	211
163	temperature to maintain internal agglomeration temperature	212
164	at 40°C. The internal pressure was maintained below	213
165	0.1 kPa (gauge). The carrier material was allowed to	
166	fluidise until the internal temperature was constant. The	
167	spore slurry (5 l) was then pumped into the fluidised bed	
168	drier via an atomising spray nozzle using a peristaltic pump	
169	(Watson Marlow 101U, Cornwall, England) at a rate of	
170	300 $\text{g h}^{-1}$ and the atomizer air spray pressure set at 200 kPa	
171	(gauge). The fluidised bed drier was allowed to fluidize for	
172	a further 15 min to evaporate excess moisture. The product	
173	was removed from the fluidised bed drier, weighed and	
174	assayed for viable spore concentration. Powder remaining	
175	in the agglomerator and bag filter was similarly measured	
176	as the loss fraction.	
177	Formulation of key ingredients	
178	A formulation comprising dry powder ingredients and	
179	the bacterial spores was developed to yield a tablet	
180	product as dictated by customer preference. The formu-	
181	lation comprised CSL coated with spores and glucose	
182	(based on optimum ratio in germination and growth	
183	studies), polyvinylpyrrolidone (2% $m \cdot m^{-1}$ , Kollidon,	
184	BASF, Ludwigshafen, Germany), magnesium stearate	
185	(2% $m \cdot m^{-1}$ , Merck, Darmstadt, Germany) and Idicol blue	
186	(0.0006% $m \cdot m^{-1}$ , Dye Chem, Johannesburg, South	
187	Africa). The chemical ingredients are typically used in	
188	tablet formulations, with the inclusion of glucose and CSL	
189	as nutrients for germination and growth of the spores	
190	during product application. The powder mixture was	
191	blended to yield a homogenous distribution of spores	
192	using a ribbon blender (Anderson Engineering, Pietermar-	
193	itzburg, South Africa) for 10 min.	
	Different ratios of CSL and dextrose monohydrate	194
	(glucose) were tested in culture studies to examine the	195
	impact of these nutrients on germination and growth of the	196
	<i>B. cereus</i> product. Glucose to CSL ratios ranging from	197
	0:100 to 100:0 were dissolved in de-ionised water (1 l)	198
	equivalent to $1 \times 10^{-4} \text{g l}^{-1}$ total ingredient, which mimicked	199
	the final application dosage (0.1 $\text{g m}^{-3}$ ). The solution was	200
	filter-sterilised into a 2-l Erlenmeyer flask, inoculated with	201
	$1 \times 10^5 \text{CFU ml}^{-1}$ of <i>B. cereus</i> and incubated at 30°C and	202
	180 rpm in an orbital shaker (Innova 2300, New Brunswick	203
	Scientific, Edison, USA). Germination ratio, viable cell	204
	number and growth rate were determined (Laloo et al.	205
	2009) and analysed statistically (ANOVA) using the	206
	optimisation function of Design Expert-6 software (Stat-	207
	Ease, Inc., Minneapolis, USA), to determine the optimum	208
	ratio of glucose to CSL that would support spore	209
	germination and growth. The impact of Kollidon, Idicol	210
	Blue or magnesium stearate on spore viability was similarly	211
	tested at double the formulation dosage to confirm the lack	212
	of toxicity to <i>B. cereus</i> spores.	213
	Production of a tablet end product containing <i>B. cereus</i>	214
	spores	215
	A tablet was produced from the powder mixture containing	216
	spores. The formulated powder blend was added to the hopper	217
	of a Manesty E2 tablet press (Manesty, Sussex, England). The	218
	mixture was compressed into tablets using an 11-mm circular	219
	punch and die set. The compression force and depth were	220
	adjusted to result in a firm pill of ~1.0 g in mass.	221
	Calculation of spore recovery and spore balance closure	222
	The mass of the feed, harvest and loss fractions of each key	223
	process step was determined, and triplicate samples were	224
	analysed for both viable spore counts (Laloo et al. 2009)	225
	and moisture content, using a moisture balance (Mettler	226
	Toledo, HR83 Halogen, Switzerland). These measurements	227
	allowed for an assessment of spore recovery which was	228
	expressed as the percentage yield of viable spores in the	229
	harvest relative to the feed fraction. The spore balance	230
	closure was the total spores in the harvest and loss fractions	231
	expressed as a percentage ratio of the spores in the feed.	232
	Assessment of viability and stability of product	233
	intermediates	234
	The viability and stability of product intermediates are	235
	important considerations that influence process scheduling	236
	and scale of equipment. The product intermediates from the	237
	centrifugation (spore slurry) and agglomeration (powder	238
	blend) process units were assessed for stability. Sample	239
	aliquots (100 ml) were stored at 4°C, 22°C and 32°C for a	240

241 period of 42 days. Samples were removed at regular  
 242 intervals over this period and analysed for viable spore  
 243 count (Laloo et al. 2009). The viability of each product  
 244 intermediate was compared within treatments and across  
 245 treatments by statistical analysis of variance.

246 **Assessment of viability and stability of end product**

247 The viability and stability of the end product were assessed  
 248 as this is an important consideration for end users. Tablets  
 249 (ten each) from three separate production batches were  
 250 randomly selected and assessed for viable spore concentra-  
 251 tion on nutrient agar culture plates and for growth and  
 252 germination in synthetic pond water (Laloo et al. 2007).  
 253 Tablets were also assessed for shelf life stability (viable  
 254 spore concentration) based on the methodology of death  
 255 rate plots at different temperatures to generate a thermal  
 256 resistance curve (Hosahalli et al. 1997). A temperature-  
 257 dependant product half-life plot was generated to predict  
 258 shelf stability. Actual samples retrieved from the market  
 259 were also tested for viable spore concentration over a  
 260 5-year period.

261 **Assessment of material cost of production**

262 The downstream material cost was determined by cumulat-  
 263 ing the cost for each ingredient expressed in Euro. The  
 264 component cost contribution was calculated as the percent-  
 265 age ratio of ingredient cost over the total cost. The total unit  
 266 cost per tablet was expressed as the cumulative cost of the  
 267 fermentation (Laloo et al. 2009) and downstream material  
 268 cost.

269 **Results**

270 **Spore recovery and spore balance closure across process**  
 271 **unit operations**

272 The recovery and mass balance closures for key process  
 273 unit operations in the downstream process flow sheet  
 274 (Fig. 1) are presented in Table 1. Spore harvesting from  
 275 the fermentation broth was evaluated through disc stack and

rotating vertical tube centrifugation. Both options resulted  
 in minimal loss of spores to the supernatant fraction (<1%),  
 but the disc stack centrifuge only resulted in an overall  
 recovery of 40% in comparison to 71% when using the  
 vertical tube centrifuge. The major part of the loss fraction  
 was retained in the bowl or vertical tube of the respective  
 centrifuges. The cell separation process unit operation using  
 the Sharples centrifuge resulted in a viable spore balance  
 closure of 100%.

CSL was shown previously to support germination and  
 subsequent growth of spores and was better than yeast  
 extract (Laloo et al. 2008). CSL and yeast powder were  
 also compared as carriers for fluidised bed agglomeration in  
 screening experiments. The resultant recovery of spores  
 through the fluidized bed agglomeration process was only  
 92% for yeast powder in comparison to 99% for CSL. In  
 screening experiments, the impact of CSL particle size on  
 viable spore recovery through the agglomeration process  
 resulted in recoveries of ~81%, 82%, 84% and 95% at 50-,  
 100-, 200- and 500-µm particle sizes respectively, at a ratio  
 of 1:2 spore slurry to carrier. When the carrier ratio was  
 doubled, recoveries increased by an average of ~4%. The  
 average recovery of viable spores through the fluidised bed  
 agglomeration process was 99% when CSL was used as a  
 carrier in the actual process (Table 1). The viable spore  
 balance closure through the agglomeration process using  
 CSL was 99.8%. The spore concentration in the resultant  
 agglomerate was extremely consistent (co-efficient of  
 variation <8%), when different batches were randomly  
 tested.

The powder blend was successfully compressed into  
 tablets resulting in a recovery of 78.6% and a viable spore  
 balance closure of 99.7% during this process unit operation  
 (Table 1). The tablet product was found to be suitable in  
 qualitative assessments of surface quality, hardness, friabil-  
 ity and dissolution in water (data not shown).

**Formulation of key ingredients**

The pill product form required additives that would support  
 germination of the spores into vegetative cells when  
 hydrated and ensure the formation of an appropriate dry  
 tablet. The growth rate, increase in vegetative cells and

t1.1 **Table 1** Recovery and mass balance closure for key processing unit operations

t1.2	Process unit operation	Selected operation type	Total spores in CFU	Total spores out CFU	Total spores in loss fractions CFU	Recovery %	Balance closure %
t1.4	Spore separation	Vertical tube centrifugation	1.34E+14	9.50E+13	3.90E+13	70.9	100.0
t1.5	Drying	Fluidised bed agglomeration	9.50E+13	9.42E+13	5.70E+11	99.2	99.8
t1.6	Tablet production	Automatic tablet press	9.42E+13	7.41E+13	1.99E+13	78.6	99.7

317 germination ratio were therefore evaluated at different  
 318 glucose to CSL ratios (Fig. 2). These responses resulted  
 319 in suitable models at the 90% confidence level. Simulta-  
 320 neous optimisation of the responses indicated an optimum  
 321 glucose/CSL ratio of 22:78, with desirability co-efficient of  
 322 0.99. Inclusion of a dye at the required dosage level to  
 323 impart an appropriate colour (0.0006%  $m \cdot m^{-1}$ ) and at twice  
 324 this level did not result in any significant negative impact  
 325 on spore viability ( $p > 0.80$ ). Kollidon and magnesium  
 326 stearate added at 2%  $m \cdot m^{-1}$  each, based on screening

experiments (data not shown), did not show any toxicity and  
 resulted in a suitable pill product. The final powder mixture  
 was formulated (CSL 76.5, glucose 19.5, Idicol blue 0.0006,  
 Kollidon 2.0 and magnesium stearate 2.0%  $m \cdot m^{-1}$ ) and  
 blended into a consistent mixture.

Stability of product intermediates in process flow sheet 332

The spore concentrate and powder blend were the two product  
 intermediates in the overall downstream process flow sheet  
 (Fig. 1). These product intermediate forms were stable over a  
 42-day test period (Fig. 3) without significant loss in  
 viability of spores (co-efficient of variation  $< 10\%$ ,  $n = 7$ ).  
 Storage under refrigeration (4°C), controlled ambient envi-  
 ronment (22°C) and warmer industrial environments (32°C)  
 did not significantly affect viability ( $p > 0.9$ ; Fig. 3).

Evaluation of end product 341

The tablet end product was tested in simulated pond  
 water to assess germination and growth of the *B. cereus*  
 spores (Fig. 4), as in vitro and in vivo efficacy in model  
 systems containing actual *C. carpio* had been shown  
 previously (Laloo et al. 2007). The average germination  
 efficiency, growth rate and increase in viable cells from a  
 starting population of  $1 \times 10^5$  CFU  $ml^{-1}$  was 97%, 0.87  
 and  $1.8 \times 10^7$  CFU  $ml^{-1}$ , respectively. The co-efficient of  
 variation of end product test samples within batches and  
 across batches was less than 10% for all of the variables  
 tested. Spores were evenly distributed in the tablet, and  
 the tablet dissolution rate was  $\sim 0.08$  g  $h^{-1}$  (data not  
 shown).

The end product was also tested for shelf life stability.  
 The survival curves (data not shown) of *B. cereus* spores at  
 4°C, 30°C, 60°C and 90°C resulted in a linear thermal  
 resistance curve (Fig. 5;  $r^2 = 0.998$ ). The data were used to  
 develop a half-life-predictive model at varying storage  
 temperature, which indicated that the product would have a  
 half-life of  $\sim 5$  years at a typical shelf storage temperature  
 (20°C). This was also verified by actual measurement of  
 product samples from the market over a 5-year period,  
 whereby no samples contained less than  $1 \times 10^9$  CFU  $g^{-1}$  of  
 viable *B. cereus* spores.

Assessment of material cost of production 366

The material component cost for the downstream  
 process is presented in Table 2. The total material cost  
 for the downstream process was  $9.25 \times 10^{-4}$  Euro per  
 tablet, which was predominated by the cost of CSL  
 ( $> 66\%$ ). The total material cost of the tablet, inclusive of  
 the fermentation process (Laloo et al. 2009), was  $9.49 \times$   
 $10^{-4}$  Euro.

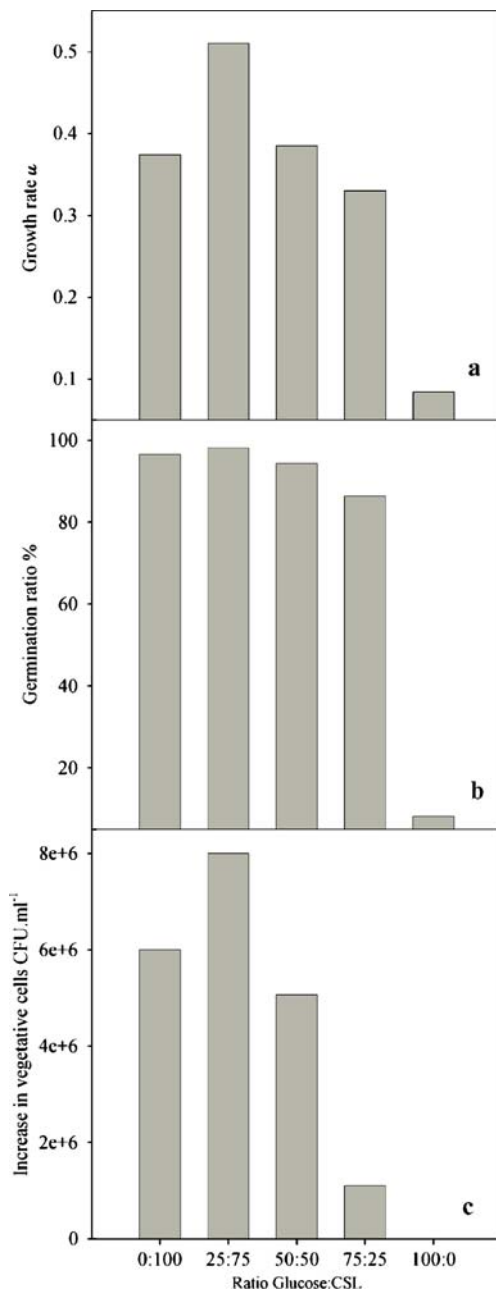
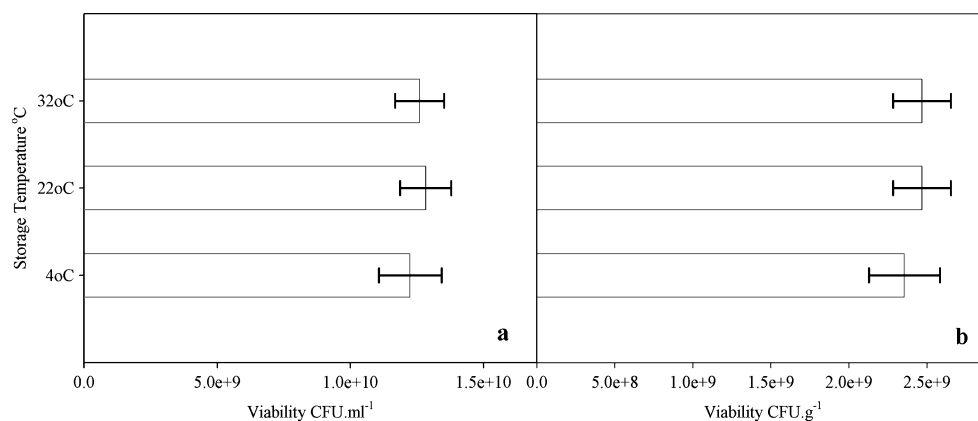


Fig. 2 a–c Influence of glucose to CSL ratio in supporting germination and growth

**Fig. 3** Viability of product intermediates **a** spore concentrate and **b** powder blend at different temperatures



374 **Discussion**

375 Apart from production of microbial biomass through  
 376 fermentation, the downstream process is an important  
 377 consideration in ensuring a robust process yielding useable  
 378 end product. Maximisation of recovery and viability during  
 379 processing, whilst ensuring a product that meets with end  
 380 user requirements such as stability, consistency, easy  
 381 application, efficacy and affordability, are key objectives  
 382 (Brar et al. 2006; Schisler et al. 2004). We conceptualised a  
 383 flow sheet (Fig. 1) and developed a downstream process  
 384 that yielded a tablet containing a functional and novel *B.*  
 385 *cereus* biological agent. The recovery, viability and stability  
 386 through our process flow sheet, including end product  
 387 evaluation for consistency, functionality, stability and  
 388 material cost of production, were shown.

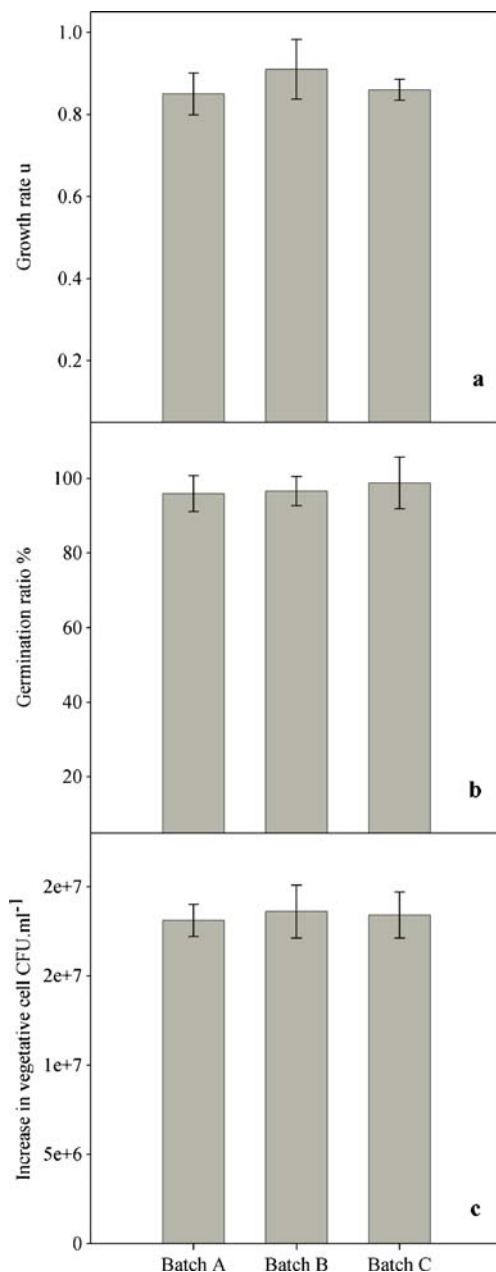
389 Vertical tube centrifugation resulted in a better recovery  
 390 (71%) in comparison to disc stack centrifugation (40%)  
 391 when harvesting *B. cereus* spores. In both cases, losses to  
 392 supernatant fractions were minimal, but the recovery of cell  
 393 pastes was less efficient in batch mode, due to residual  
 394 biomass holdup in the machine. The excessive accumula-  
 395 tion of solids, even in centrifugation with automated de-  
 396 sludging, has also been reported by others (Prabakaran and  
 397 Hoti 2008; Torres-Anjel and Hedrick 1970). Importantly,  
 398 the loss of spore viability was negligible as the spore  
 399 balance closure was ~100%, and the recovery can thus be  
 400 improved by continuous operation in a commercial process  
 401 with frequent purging of the spore paste. As our fermenta-  
 402 tion broth contained the highest *Bacillus* spore concentra-  
 403 tion reported in the literature at the time (Lalloo et al.  
 404 2009), recovery may have been compromised by high spore  
 405 loading, yet productivity was excellent (Torres-Anjel and  
 406 Hedrick 1970). Similar to findings by Torres-Anjel and  
 407 Hedrick (1970), our centrifugation did not require addi-  
 408 tional centrifugation cycles, as loss to the supernatant  
 409 fraction was negligible on the first cycle. Amongst all  
 410 advances, centrifugation appears to be the most viable step  
 411 for removal of *Bacillus* spores (Brar et al. 2006; Rojas et al.

1996; Zamola et al. 1981). Alternative approaches such as  
 flocculation requires post-separation removal of chemical  
 additives. Cross-flow filtration is prone to fouling, espe-  
 cially due to the high protein load in our fermentation broth  
 and the release of intracellular material during sporulation,  
 thus increasing costs and negatively affecting process  
 throughput.

The recovery during coating and drying of *B. cereus*  
 NRRL 100132 spore slurry through a fluidised bed  
 agglomerator, containing an atomising spray nozzle, when  
 using CSL as a carrier, resulted in a viable spore recovery  
 exceeding 99%. Such preservation of the functionality of  
 biological products during drying directly benefits the  
 quality and marketability of the end product (Chen and  
 Patel 2007). Alternate options for commercial processes  
 include refrigerated or frozen cultures, but these products  
 incur higher storage and shipment costs in contrast to our  
 dry tablet. (Klein and Lortal 1999; Werner et al. 1993).  
 Spray drying may have been an option for our process  
 but, although spores are more resistant to heat than vegetative cells  
 (Setlow 2006), this process could result in viability loss due to  
 irreversible changes in structural and functional integrity of the  
 spore (Chen and Patel 2007; Tamez-Guerra et al. 1996). In  
 contrast, viability loss was minimal through our fluid bed  
 agglomeration process, and this technology has additional  
 advantages such as lower investment and maintenance costs,  
 ease of large-scale continuous production, rapid exchange of  
 heat-minimising heat damage, rapid mixing providing near  
 isothermal conditions and uniform end product (Bayrock  
 and Ingledew 1997; Larena et al. 2003; Luna-Solano et al.  
 2005; Mille et al. 2004).

The high recovery of *B. cereus* spores through our  
 agglomeration process may be due to the protection from  
 heat by the spore protein exosporium and two major small  
 acid-soluble DNA binding proteins  $\alpha$  and  $\beta$ , which are a  
 characteristic of spores of the *B. cereus* group (Brar et al.  
 2006; Larena et al. 2003; Setlow and Setlow 1995). High  
 recovery in drying could also be attributed to adhesion of *B.*

Q1

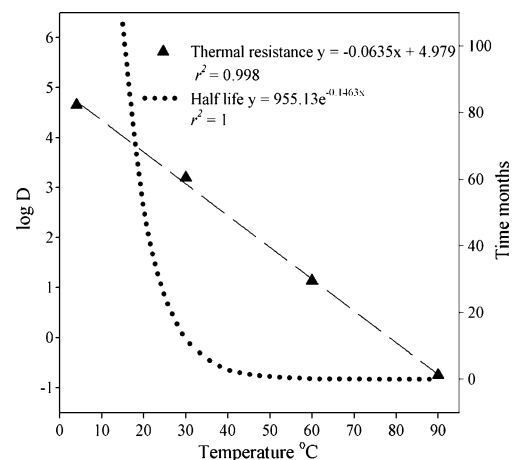


**Fig. 4** a–c Germination and growth of *B. cereus* in the tablet end product (CV<10% across batches A, B and C)

451 *cereus* spores to the CSL carrier, demonstrated by a  
 452 minimal loss of fine particles and lack of fouling of the  
 453 agglomerator bag filters. Spore adhesion to the carrier  
 454 surface may have been enhanced by the hydrophobicity of  
 455 *B. cereus* and the hair-like protrusions on the spore surface,  
 456 as was demonstrated previously (Busscher and Weerkamp  
 457 1987; Rönner et al. 1990). The use of CSL as a carrier in  
 458 our agglomeration process was advantageous as dust  
 459 formation was minimal, preventing passage through the  
 460 vent filter bags and any potential health risks. CSL is also  
 461 affordable and provides nutrients for spore activation in

contrast to inert carriers. Although it has been reported that  
 smaller particle size carriers (50–100 μm) enhanced  
 bacterial survival better than large particle sizes (Dandurand  
 et al. 1994), we did not observe a loss of viability when  
 using CSL with a 500-μm particle size. An added  
 advantage of our process was the resultant homogenous  
 distribution of spores on the carrier, thought to positively  
 influence end product consistency (Fig. 4). The variability  
 in agglomerate size also enhanced flowability of the  
 resultant powder for subsequent process steps, such as  
 tablet production.

The inclusion of CSL and glucose as nutrients in our  
 formulation, after optimising the ratio of these two  
 ingredients (Fig. 2), supported maximum spore germination  
 and growth of *B. cereus* (Fig. 4), whilst still allowing for  
 the production of a suitable tablet product. CSL was better  
 than yeast powder in supporting germination and growth of  
 spores (Laloo et al. 2008) and also resulted in a higher  
 recovery in agglomeration trials (Table 1). Apart from the  
 support of germination and growth, proteins and sugars in  
 CSL apparently provided a protective layer for cells,  
 preventing death and assisting recovery of injured cells  
 during processing, as was demonstrated previously (Brar et  
 al. 2006; Costa et al. 2001; Larena et al. 2003). Addition  
 of nutrients was also shown by others to improve storage of a  
*Pseudomonas fluorescens* F113 strain (Moënné-Loccoz et  
 al. 1999) and a *Bacillus megaterium* (Wiwattanapatapee et  
 al. 2004) used in biocontrol applications. The addition of  
 magnesium stearate, Kollidon and Idicol blue facilitated  
 production of a stable and appealing end product through a  
 simple process amenable to large-scale production. As a  
 considerable influence on activity can be attributed to the  
 type of substance added to a formulation (Werner et al.  
 1993), we tested these ingredients at double the formulation  
 level and found no negative impact on spore viability. The



**Fig. 5** Thermal resistance and half-life plots showing end product stability

t2.1

**Table 2** Material cost of production for downstream process and overall process to end product

Material components	Cost (EURO per tablet)	Component cost contribution (%)	t2.2
CSL	$6.30 \times 10^{-4}$	68.11	t2.3
Glucose	$1.10 \times 10^{-4}$	11.89	t2.4
Idicol blue	$1.00 \times 10^{-5}$	1.19	t2.5
Kollidon	$1.50 \times 10^{-4}$	16.65	t2.6
Magnesium stearate	$2.00 \times 10^{-5}$	2.16	t2.7
Total DSP material cost	$9.30 \times 10^{-4}$	100.00	t2.8
Material cost per tablet FERM	$2.40 \times 10^{-5}$	2.53	t2.9
Material cost per tablet DSP	$9.25 \times 10^{-4}$	97.47	t2.10
Total material cost per tablet	$9.49 \times 10^{-4}$	100.00	t2.11

497 dual functionality of CSL as a carrier for fluidised bed  
 498 coating of spores and as a nutrient for spore germina-  
 499 tion is a novel approach for aquaculture biological agent  
 500 production.

501 *B. cereus* spores were successfully entrained in a tablet end  
 502 product, resulting in a recovery of ~80%, with the major loss  
 503 fraction contained in powder fines, which can be re-worked in  
 504 a continuous commercial process. This product form had  
 505 advantages such as uniformity, stability, easy transportation  
 506 and field applicability. Similar to our formulation, de  
 507 Medeiros et al. (2005) were also able to successfully produce  
 508 a tablet containing *B. cereus* by direct compression of a  
 509 powder blend containing magnesium stearate at  $2.0\% \text{ m}\cdot\text{m}^{-1}$ .  
 510 A concern during tablet production is the inactivation of  
 511 spores by pressure and frictional heat during compression  
 512 and de-compression (Margosch et al. 2004; Mathys et al.  
 513 2008). The mechanism of inactivation of bacterial spores by  
 514 heat and pressure is as yet unresolved, but it has been  
 515 postulated that the thick proteinaceous spore coat could play  
 516 a role in resistance to pressure (Mathys et al. 2008; Setlow  
 517 2006). *B. cereus* has been shown to withstand pressures up  
 518 to ~50 mPa (Aoyama et al. 2005), and in our tablet process,  
 519 there was minimal loss of viability (99% spore balance  
 520 closure). We also observed that the homogenous distribution  
 521 of spores within the tablet resulted in a release of spores and  
 522 activation nutrients proportional to the tablet dissolution rate  
 523 ( $\sim 0.08 \text{ gh}^{-1}$ ). In contrast, a post-production top-coated  
 524 product would not have facilitated homogenous distribution  
 525 (Biourge et al. 1998).

526 Intermediate products in the process for the production  
 527 of the *B. cereus* biological agent, namely the biomass slurry  
 528 resulting from the centrifugation step and the powder blend  
 529 after agglomeration, were both sufficiently stable for up to  
 530 42 days at refrigeration, ambient and industrial processing  
 531 temperatures (Fig. 3), thereby avoiding the need for  
 532 additional biocidals for stability. Apart from suitable  
 533 recoveries and balance closures through downstream  
 534 processing, the stability of product intermediates is an  
 535 important consideration in developing a robust process,  
 536 even though the lag time between process operations is

typically under 12 h. Soper and Ward (1981) reported that  
 addition of specific biocidal chemicals may be required to  
 prevent growth in a centrifuge slurry, but we achieved a  
 stable spore slurry by re-suspending our spore paste in a  
 mild sorbate buffer, to prevent any unintended carryover of  
 biocidal activity into the end product. The powder blend  
 was stable due to low moisture content ( $< 5\% \text{ m}\cdot\text{m}^{-1}$ ). The  
 high sporulation ratio achieved during fermentation devel-  
 opment (Laloo et al. 2009) apparently contributed to the  
 stability of the intermediate spore products in the process,  
 as a mixture of spores and vegetative cells tend to be less  
 stable (Wiwattanapatapee et al. 2004).

The final *B. cereus* tablet spore product was stable at  $20^\circ\text{C}$   
 for more than 5 years when formulated at  $2 \times 10^9 \text{ CFU g}^{-1}$   
 and retained its stability and biological activity under real  
 market conditions. Although *Bacillus* spores generally allow  
 for development of products with prolonged shelf life,  
 stability times typically range between 1 and 12 months  
 only (Amer and Utkhede 2000; Puziss et al. 1963;  
 Wiwattanapatapee et al. 2004). The *B. cereus* tablet end  
 product was consistent between batches and germinated and  
 grew well in simulated pond water conditions (Fig. 4). We  
 had previously shown the excellent functionality of this  
 biological agent in vivo (Laloo et al. 2007) and furthermore  
 elucidated the robustness to physiological ranges encoun-  
 tered in application of this product (Laloo et al. 2008).

We demonstrated an attractive material cost of produc-  
 tion of our *B. cereus* biological agent in fermentation  
 (Laloo et al. 2009), but the actual constraint is mainly  
 embedded in downstream processing costs for biological  
 products (Brar et al. 2006). Our tablet product resulted in an  
 attractive total material cost for both the upstream and  
 downstream process of only  $9.49 \times 10^{-4}$  Euro per tablet,  
 which treats  $10 \text{ m}^3$  of pond water. The fully absorbed cost  
 is ~0.05 Euro per tablet for a small facility producing ~3  
 million tablets per annum. Our costs were minimised by  
 selection of simple yet robust process steps that delivered  
 high recoveries in the downstream process (Table 1), whilst  
 ensuring stability of product intermediates and the end  
 product (Figs. 3 and 5). We furthermore produced a



577 compact tablet product that minimised post-production  
 578 transport and storage costs, without a requirement for a  
 579 cold chain. This is the first comprehensive report of the full  
 580 downstream process flow sheet for the production of a  
 581 novel aquaculture biological agent. The integration of the  
 582 process flow sheet to synergise process unit operations is an  
 583 innovative approach that simplified production, reduced  
 584 cost and resulted in an end product that surpassed current  
 585 quality standards in terms of stability of aquaculture  
 586 biological agents. Our tablet end product met with customer  
 587 preference for convenience, quality and functionality,  
 588 substantiated by sustained market presence spanning 5 years  
 589 to date.

590  
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