

1 **Mapping beech (*Fagus sylvatica* L.) forest structure with airborne hyperspectral**  
2 **imagery**

3  
4 Moses Azong Cho<sup>a\*</sup>, Andrew, K. Skidmore<sup>b</sup> and Istiak Sobhan<sup>b</sup>

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6 <sup>a</sup>*Council for Scientific and Industrial Research (CSIR), Ecosystem-Earth Observation*  
7 *Unit, P.O. Box 395, Pretoria, South Africa*

8 <sup>b</sup>*International Institute for Geoinformation Science and Earth Observation.*  
9 *Hengelosestraat 99, P.O. Box 6, 7500 AA Enschede, The Netherlands*

10  
11 **Abstract**

12  
13 The objective of this study was to assess the utility of hyperspectral data in  
14 estimating and mapping forest structural parameters including mean diameter-at-  
15 breast-height (DBH), mean tree height and tree density of a closed canopy beech  
16 forest (*Fagus sylvatica* L). Airborne HyMap images and data on forest structural  
17 attributes were collected from the Majella National Park, Italy in July 2004. The  
18 predictive performances of normalised difference vegetation indices (NDVI) derived  
19 from all possible two-band combinations were evaluated using calibration (n = 33)  
20 and test (n = 20) data sets. The potential of partial least squares (PLS) regression was  
21 also assessed. New NDVIs based on the contrast between reflectance in the red-edge  
22 shoulder (756-820 nm) and the water absorption feature centred at 1200 nm (1172-  
23 1320 nm) were found to show higher correlations with the forest structural parameters  
24 than standard NDVIs derived from NIR and visible reflectance. PLS regression  
25 showed a slight improvement in estimating the beech forest structural attributes  
26 compared to NDVI using linear regression models. Mean DBH was the best predicted  
27 variable among the stand parameters (calibration  $R^2 = 0.62$  for an exponential model  
28 fit and standard error of prediction = 5.12 cm, i.e. 25% of the mean). The predicted  
29 map of mean DBH revealed high heterogeneity in the beech forest structure in the  
30 study area. The DBH map could be useful to forest management in many ways e.g.  
31 thinning of coppice to promote diameter growth, to assess the effects of management  
32 on forest structure or to detect changes in the forest structure caused by anthropogenic  
33 and natural factors.

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36 *Keywords:* forest structure; diameter-at-breast height; tree height; tree density,  
37 vegetation indices; hyperspectral imagery

## 1 1. Introduction

2  
3 Information about the distribution of forest structural attributes such as tree diameter,  
4 basal area, height and density is essential for forest management. For example,  
5 thinning of high-density areas could promote diameter growth (Messina, 1992;  
6 Baldwin, et al., 2000; Fuhr et al., 2001). Conventional forest inventory data have been  
7 collected by means of field surveys. Such surveys are time consuming, labour  
8 intensive and expensive when carried out over broad areas (Gower et al., 1999).  
9 Remote sensing, using current or anticipated air-spaceborne sensors is widely viewed  
10 as a time- and cost-efficient way to proceed with large-scale estimation of forest  
11 structural attributes.

12 A variety of remote sensors have been used in forest inventory studies including  
13 passive optical and active (radar and light detection and ranging (LIDAR)) sensors  
14 (Nilsson, 1996; Kasischke et al., 1997; Lefsky et al., 1999). The majority of sensors  
15 are broadband optical sensors such as Landsat TM/ETM+ and SPOT HVR with three  
16 to six broad spectral bands covering the visible, near infrared (NIR) and shortwave  
17 infrared (SWIR) regions (Woodcock et al., 1997; Franco-Lopez et al., 2001; Ingram et  
18 al., 2005). The most commonly used broadband remote sensing predictors of forest  
19 parameters are ratio indices (vegetation indices) computed NIR and visible  
20 reflectance. The most known vegetation index is the normalised difference vegetation  
21 index (NDVI) developed by Rouse et al. (1974). NDVI is based on the contrast  
22 between the maximum absorption in the red due to chlorophyll pigments and the  
23 maximum reflectance in the NIR caused by scattering in the leaf mesophyll. For  
24 example, with increasing leaf area index (LAI) or canopy thickness, red reflectance  
25 decreases as leaf pigments absorb light, while NIR reflectance increases as more leaf  
26 layers are present to scatter the radiation (Gates et al., 1965). Thus, passive remote  
27 sensing of forest structural attributes such as tree diameter, height, density and  
28 biomass indirectly depends on the relationship between these parameters and  
29 parameters that have a direct control on the spectral reflectance such as LAI, canopy  
30 thickness and canopy biochemistry (Lefsky et al., 1999; Ingram et al., 2005).  
31 Broadband NDVI is a poor predictor of tree structural attributes for probably two  
32 reasons; firstly, broadband NDVI has been shown to saturate for a certain range of  
33 canopy cover or LAI (LAI > 3) (Sellers, 1985; Gao et al., 2000; De Jong et al. 2003)  
34 and secondly, broadband indices use average spectral information over broad  
35 bandwidths, resulting in loss of critical information, (e.g. for canopy biochemistry)  
36 available in specific narrowbands (Gong et al., 2003; Thenkabail et al., 2004).

37 The advent of narrowband or hyperspectral (imaging spectroscopy) and LIDAR  
38 sensors has raised new expectations about the possibilities of improving the  
39 estimation of forest structural parameters. One hand, imaging spectroscopy can  
40 provide information on the cover, abundance and concentration of biochemicals and  
41 on the other hand, LIDAR can provide information on the cover, height, shape and  
42 architecture of vegetation (Asner et al. 2007). The use of imaging spectroscopy for  
43 forest stand structural estimation is based on the assumption that increased  
44 identification of particular spectral features associated with narrowbands could  
45 improve estimation of forest attributes compared to broadband sensors (Lefsky et al.,  
46 2001; Lee et al., 2004). However, it is difficult to infer from existing literature  
47 whether hyperspectral sensors provide an improvement over multispectral sensors for  
48 remote sensing of forest structural attributes. For example, Lefsky et al. (2001)  
49 observed a slight increase in the ability of Airborne Visible-Infrared Imaging  
50 Spectrometer (AVIRIS) to predict forest stand attributes relative to single date

1 Landsat TM data, but a better performance of multitemporal Landsat TM data. Gong  
2 et al (2003) showed that indices involving NIR and SWIR Hyperion bands were better  
3 than NIR-red indices for LAI estimation. Lee et al. (2004) found no improvement of  
4 AVIRIS NDVI over ETM+ NDVI for LAI estimation. The potential of hyperspectral  
5 data for estimating forest stand attributes for different ecosystems and seasons is not  
6 fully understood.

7 The objective of this study was therefore, to assess the utility of hyperspectral data  
8 in estimating and mapping forest structural parameters including mean diameter-at-  
9 breast-height (DBH), mean tree height and tree density of a closed canopy beech  
10 forest (*Fagus sylvatica* L).

11 **Insert Fig. 1**

## 12 **2. Material and methods**

### 13 *2.1. Study site*

14 The study site was located in Majella National Park, Italy (latitude 41°52' to 42°14'N,  
15 longitude 13°50' to 13°14'E) covering an area of 74095 ha (Fig. 1). The Park extends  
16 into the southern part of Abruzzo, at a distance of 40 km from the Adriatic Sea. This  
17 region is situated in the massifs of the Apennines. The park is characterised by several  
18 mountain peaks, the highest being mount Amaro (2794 m). The region is  
19 characterised by Mediterranean climate: hot and dry summers and cool and wet  
20 winters. The specific study site (latitude 41°49' to 42°14'N, longitude 13°57' to 14°3'E)  
21 is situated between mounts Majella and Morrone to the east and west, respectively. It  
22 covers an area of 40 by 5.5 km.

23 The Majella beech forest is located at an altitude range of about 1200-1800 m. Over  
24 the last 60 years, depopulation, changes in the socio-economic conditions and the  
25 creation of the National Park in 1995 have led to a pronounced drop in the local  
26 demand for small size timber, firewood and charcoal (Ciancio et al., 2006). As a  
27 consequence, many coppices are returning to high forest. However, a combination of  
28 thinning and the occurrence of avalanches in Majella have given rise to a compound  
29 coppice, which is a mixture of coppice and high forest.

### 30 *2. 2. Image acquisition and processing*

31 Airborne HyMap data of the study site were obtained on 15 July 2004. The flight  
32 was carried out by DLR, Germany's Aerospace Research Centre and Space Agency.  
33 The HyMap sensor comprised 126 wavebands, operating over the wavelength range  
34 442 nm to 2485 nm, with average spectral resolutions of 15 nm (442 nm to 1313 nm),  
35 13 nm (1409 nm to 1800 nm) and 17 nm (1953 nm to 2485 nm). The spatial resolution  
36 of the data was 4 m. The data were collected at solar noon. The specific study site was  
37 covered by four image strips, each covering an area of about 40 km by 2.3 km. The  
38 solar zenith and azimuth angles for the image strips range between 30-33.7° and  
39 111.5-121°, respectively. The image strips were atmospherically and geometrically  
40 corrected by DLR. The on-board navigation system used for geometric correction was  
41 a C-MIGITS II (Miniature Integrated GPS/INS Tactical System) which has a 2.5 m  
42 accuracy in the x-y plane and an accuracy of 3m in the z-plane. The atmospheric  
43 correction was carried out using ATCOR4-r (Atmospheric/Topographic Correction-

1 rugged terrain). ATCOR4 is based on MODTRAN-4 radiative transfer code (Richter  
2 and Schlapfer, 2002).

### 3 4 2.3. Field measurements of forest stand attributes (mean DBH, mean tree height and 5 tree density)

6  
7 Field data for DBH, height and number of trees were collected from 56 plots within  
8 the flight strips between 28 June and 16 July 2004. Random sampling with clustering  
9 was adopted in the study because of the difficult nature of the terrain. That is, 20  
10 coordinate points were randomly generated using ArcGIS software. Measurements  
11 were made from each randomly selected plot (30 m by 30 m) and from two to three  
12 other plots at about 150 and 300 m away in a randomly chosen direction. Plots were  
13 located in the field using a Garmin (etrex vista Cx) GPS (5 to 8 m accuracy in the  
14 forest). Data was only collected from closed canopy forest in homogeneous areas (i.e.  
15 homogeneous in DBH and tree density). This ensured that inconsistencies in the  
16 spectral data potentially caused by differences between the field GPS accuracy and in-  
17 flight GPS reading could be minimised. The DBH of all trees above 7 cm was  
18 measured while the tree heights of five to ten trees were measured using a Haga meter.  
19 The mean DBH and height were subsequently calculated per plot. Tree density was  
20 calculated as the number of trees per hectare.

### 21 22 2.4. Data analysis

23  
24 The forest parameters were predicted as continuous variables rather than as a set of  
25 discrete classes. Lefsky (2001) argues that the continuous variable approach offers  
26 flexibility because the predictions can be used directly or arranged into multiple sets of  
27 classes that match varying purposes.

28 A 7-by-7 pixels window (28 m-by-28 m) was used to collect image spectra from  
29 each sample plot in order to avoid including pixels located outside the plot (30 m by 30  
30 m). An average spectrum was subsequently calculated for each plot. Three plots out of  
31 the 56 sampled plots were present in areas of shadow and were therefore not  
32 considered in the analysis. The data were randomly split into the training or calibration  
33 ( $n = 33$ ) and test ( $n = 20$ ) sets. The predictive capabilities of linear regression models  
34 based on spectral indices and partial least squares (PLS) regression were investigated.  
35 Regression analyses were performed on the calibration set. Empirical validations of the  
36 calibration models were carried out using the test set. The predictive performances of  
37 the various models were estimated and compared using the coefficient of  
38 determination ( $R^2$ ) for calibration and validation, the standard error of calibration  
39 (SEC, Eq. 1) and standard error of prediction (SEP) based on the independent test data.

$$40 \quad SEC = \sqrt{\frac{\sum_{i=1}^n (y - y')^2}{n}} \quad (1)$$

41  
42 where  $y$  = measured DBH or height or density,  $y'$  = predicted DBH or height or  
43 density and  $n$  = number of observations.

#### 44 45 46 (i) Vegetation indices

47

1 Narrowband NDVIs (Eq. 2) were derived from all possible two-band combinations  
2 involving 126 bands of HyMap spectrum using the calibration data. This resulted into  
3 15876 (i.e. 126\*126) NDVIs for each spectrum.

$$4 \quad 5 \quad \text{NDVI}_{(i,j,n)} = (\mathbf{R}_{(i,n)} - \mathbf{R}_{(j,n)}) / (\mathbf{R}_{(i,n)} + \mathbf{R}_{(j,n)}) \quad (2)$$

6  
7 where  $\mathbf{R}_{(i,n)}$  and  $\mathbf{R}_{(j,n)}$  are the reflectance of any two band, and  $n$  = number of samples.

8  
9 Linear regression analyses were performed between each NDVI with each tree  
10 structural parameter (mean DBH, mean height and tree density). The NDVIs that  
11 yielded the highest calibration coefficient of determination ( $R^2$ ) were subsequently  
12 selected for assessing their predictive capability on the independent data set. Although  
13 the data for mean DBH, mean height and density were not normally distributed as will  
14 be demonstrated later in this paper; the use of parametric regression techniques was  
15 justified assuming normality under the central limit theorem ( $n \geq 30$ ). Furthermore, as  
16 a means of dealing with the problem of non-normal distribution of the data, a  
17 bootstrap procedure was adopted in computing the correlation coefficients for the  
18 linear regression analysis. That is, the intercept and slope for each regression equation  
19 consisted of mean values derived from using 1000 resamples (replicates) created by  
20 repeated sampling with replacement from the calibration data sets. Each resample was  
21 of the same size as the original calibration data.

22 To compare the prediction accuracies for a broadband sensor like Landsat TM, the  
23 HyMap data was resampled to the spectral coverage of Landsat TM, with band  
24 centres at 481 nm, 568 nm, 665 nm, 831 nm, 1653 nm and 2220 nm. The resampling  
25 was conducted using a Gaussian built-in function in ENVI (Environment for  
26 Visualising Images, Research System, Inc.) software. All possible Landsat TM two-  
27 band NDVIs were subsequently assess for predicting mean DBH, mean height and  
28 tree density.

#### 29 30 *(ii) Partial least squares regression (PLS)*

31 PLS regression was applied in this study to test whether the use of several  
32 hyperspectral bands improves the prediction of stand attributes when compared to  
33 two-band vegetation indices. PLS regression is a multivariate statistical technique that  
34 is widely used in chemometrics to deal with the problem of collinearity among several  
35 spectral bands. PLS regression reduces the large number of measured collinear  
36 spectral variables to a few non-correlated latent variables (Geladi et al., 1999; Geladi  
37 and Kowalski, 1986; Hansen and Schjoerring, 2003). In this sense, PLS regression is  
38 closely related to principal component regression (Geladi and Kowalski, 1986; Geladi  
39 et al., 1999). But instead of first decomposing the spectra into a set of eigenvectors  
40 and scores and regressing them against the response variables as a separate step, PLS  
41 regression actually uses the response variable information during the decomposition  
42 process. Further information on the PLS regression can be obtained in Geladi and  
43 Kowalski (1986).

44 It has been shown that variable selection enhances the predictive performance of  
45 PLS regression (Kubinyi, 1996; Cho et al. 2007, Darvishzadeh et al. 2008). A sub-  
46 objective therefore, was to test PLS models based on all the HyMap bands and on a  
47 small number of selected bands. The selection was based on bands related to leaf  
48 chlorophyll, LAI and leaf mass (Table 1). The utility of the bands represented in  
49 Table 1 has been demonstrated in two other studies, i.e. Cho (2007) and Darvishzadeh  
50 et al. (2008) for estimating grass biomass and LAI, respectively.

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**Insert Table 1**

Before the PLS regression models were developed, the spectra and forest parameters were mean-centred, i.e. the average value for each variable was calculated from the calibration set and then subtracted from each corresponding variable. The root mean square error of leave-one-out cross validation (RMSECV) was used as a selection criterion to choose the optimum number of latent variables (PLS factors) for predicting the forest structural parameters (Geladi and Kowalski, 1986; Viscarra Rossel, 2005). The RMSECV was determined for each cross-validation phase. The number of factors which yielded the lowest RMSECV was used to develop the calibration equations. The analyses were carried out using STATISTICA software (StatSoft, Inc.) and ParLes software developed by Viscarra Rossel (2008).

**Insert table 2**

**3. Results**

*3.1. Descriptive statistics of the beech forest structural parameters*

The descriptive statistics of the forest structural parameters are presented in Table 2. Each parameter showed a positive skewness indicating a bias of the distribution towards higher values. The Shapiro-Wilk test was used to test the data for normality, the hypotheses were, the null hypothesis ( $H_0$ ): data follow a normal distribution versus the alternate hypothesis ( $H_1$ ): the data do not follow a normal distribution. The null hypothesis was rejected in all cases ( $p < 0.05$ ). Consequently, the intercorrelations between parameters were analysed using a non-parametric test (Spearman's rank correlation test). Mean DBH was positively related to height ( $r = 0.70$ ,  $p < 0.05$ ) but negatively related to tree density ( $r = -0.91$ ,  $p < 0.05$ ). Mean height was less highly related to density ( $r = -0.60$ ) than the mean DBH.

**Insert Fig. 2**

*3.2. Relationships between the beech forest structural parameters and individual band reflectance*

The relationships between forest parameters and individual band reflectance were analysed using Spearman's rank correlation test. Statistically significant ( $p < 0.05$ ) correlations were predominantly observed in the NIR (Fig. 2). The relationships were significant in the following regions:

- Mean DBH and tree density: 711-1342 nm
- Mean height: 528-589 nm, 725-1405 nm, 1530-1806 nm and 2257 nm.

Mean DBH and mean height were negatively correlated with the NIR bands, while density was positively correlated with the NIR bands. This means that higher tree density results in higher NIR reflectance.

**Insert Fig. 3.**

### 3.3. Predicting beech forest structural parameters

#### 3.3.1. Using vegetation indices

The contour plots in Fig. 3 show the correlation ( $R^2$ ) patterns between NDVIs computed from all possible two-band combinations and the three tree structural parameters under study. The contour plots allowed for the identification of the most sensitive NDVIs to mean DBH, mean height and tree density. Similar correlation patterns were observed for mean DBH and tree density. The highest correlations ( $R^2 > 0.4$ ) for mean DBH and tree density were observed when these parameters were correlated with NDVIs computed from bands in the red-edge shoulder (756-820 nm) in combination with bands in the water absorption feature centred at 1200 nm (1172-1320 nm). Mean tree height showed the lowest correlations ( $R^2 < 0.35$ ) with the various NDVIs among the three structural parameters. The highest correlations for tree height were observed for NDVIs involving bands located in 1172-1301 nm range.

**Insert Table 3.**

**Insert Table 4**

The predictive capabilities of the best NDVI combinations for each tree parameter are shown in Table 3. Among all three stand attributes studied, mean DBH was the best predicted parameter using linear regression analysis. Average prediction errors of 27.7%, 35.5% and 48.2% were, respectively obtained for mean DBH, mean height and tree density when the best NDVIs were considered. The standard NDVI involving NIR (831 nm) and red (665 nm) bands showed higher prediction errors when compared to the best NDVIs for all tree structural attribute; 33%, 36% and 54% for mean DBH, mean tree height and tree density, respectively (Table 3). Similar prediction accuracies were observed for the standard NDVI computed from the spectrally resampled (simulated Landsat TM) data (see Table 4).

The scatter plots in Fig.4 illustrate the nature of the relationship between mean DBH and the best NDVI involving bands at 771 nm and 1287 nm and the standard NDVI computed from the simulated Landsat TM data. The graphs showed a 'local bias' along the 1:1 line between the predicted versus the actual DBH values. The low values are predicted high and high values are predicted low, indicating non-linearity in the relationship between DBH and NDVI. NDVI appeared to saturate for mean DBH above 30 cm. For example, when an exponential model fit was used in the regression analysis (Fig.5), the calibration  $R^2$  for the best NDVI increased from 0.51 to 0.62 (22% increase), while the SEP decreased from 5.50 cm to 5.12 cm (7% decrease).

**Insert Fig. 5**

**Insert Table 5**

#### 3.3.2. Using partial least squares regression

The predictive performances of PLS regression based on all the HyMap bands and selected bands were basically similar (Table 5). Like in the case of spectral indices, mean DBH was the best-predicted parameter, followed by mean height and lastly density. However, there was a slight improvement in the prediction accuracy of the various parameters when compared with results for the best NDVIs. Percentage

1 prediction errors of 26.8%, 33.2% and 46.4% were observed for mean DBH, mean  
2 height and tree density, respectively. As was the case with the regression model  
3 involving NDVIs, the results of the PLS modelling showed a strong ‘local bias’ in the  
4 calibration and test data (Fig. 6). The higher values were predicted low and the low  
5 values were predicted high.

6  
7 **Insert Fig. 6**

### 8 9 *3.4. Mapping forest structure*

10  
11 The Majella beech forest structure was mapped using the best-predicted parameter,  
12 i.e., mean DBH. Mean DBH map was produced using the exponential model (see  
13 Fig.5) derived from the calibration between mean DBH and the best NDVI  
14 combinations i.e. 771 and 1287 nm. Prior to the mapping of mean DBH, a mask of  
15 beech forest areas was created from the HyMap image strips using NDVI threshold  
16 values, thus eliminating areas occupied by other land-cover types (mainly grasslands  
17 and housing areas). The predicted map of mean DBH is presented in Fig. 7. The map  
18 of DBH shows high heterogeneity of DBH within the various forest patches. There is  
19 no clear effect of altitude on the forest structure.

20  
21 **Insert Fig. 7.**

## 22 23 **4. Discussion and conclusions**

### 24 25 *4.1. Predicting beech forest structural parameters*

26  
27 New NDVIs based on the contrast between reflectance in the red-edge shoulder  
28 (756-820 nm) and the water absorption feature centred at 1200 nm (1172-1320 nm)  
29 were found to show higher correlations with forest structural parameters than standard  
30 NDVIs derived from NIR and visible reflectance. Leaf water content thus, appears to  
31 be the limiting factor determining differences between forest stands of varying DBH  
32 or density rather than leaf chlorophyll content. We hypothesize that the nature of the  
33 relationship between the various NDVIs and forest structural attributes could depend  
34 on the beech forest phenology. Based on the results of this study, remote sensing of  
35 beech forest structure is recommended during periods of the year when water  
36 availability is a limiting factor. A drawback of models that are seasonal dependent is  
37 that they are not transferable between sites or season (Foody et al. 2003).

38 Related studies on estimating grass biophysical properties (LAI, biomass) generally  
39 show that NDVIs computed from red-edge reflectance (700-800 nm) provide higher  
40 accuracies of estimation compared to the standard NDVIs (Mutanga and Skidmore,  
41 2004, Cho et al. 2007, Cho and Skidmore, in press). The red-edge indices have shown  
42 high sensitivity sensitive to leaf chlorophyll, nitrogen and grass biomass (Vogelmann  
43 et al., 1993; Carter, 1994; Cho et al., 2006, Cho et al. 2008). In this study however,  
44 the red-edge indices, showed poor predictive capabilities for forest structural  
45 parameters. Their predictive capability for the tree structural parameters could have  
46 been hampered by the fact that the beech forest structural attributes were weakly  
47 correlated with the chlorophyll (visible) spectrum (see Fig. 1).

48 PLS regression, the multivariate statistical method adopted in this study, showed a  
49 slight improvement in estimating the beech forest structural attributes compared with  
50 univariate regression models based on vegetation indices. PLS regression has rarely



1 been applied for estimating forest attributes from remotely sensed data. However,  
2 several other studies have shown that PLS regression improves grass biomass or LAI  
3 estimation (Hansen and Schjoerring, 2003; Cho et al. 2007; Darvishzadeh et al. 2008)  
4 compared to univariate techniques involving vegetation indices. PLS regression  
5 models based on a few selected bands and on all HyMap bands produced similar  
6 calibration and validation accuracies. The spectral information content required for  
7 estimating forest structural parameters might be contained in a few narrowbands. An  
8 optimum band selection procedure could therefore, enhance model parsimony.

9 The prediction of the forest structural attributes in this study reveals the  
10 phenomenon of 'local bias'. Local bias occurs when high values of the response  
11 variable are predicted low and the low values predicted high (Geladi et al, 1999).  
12 Geladi et al. (1999) argue that some of the deviations from the diagonal representing  
13 the 1:1 relationship between the predicted and actual values may be attributed to  
14 random noise. However, when the bias becomes systematic, as was the case in our  
15 study, it may be attributed to non-linearity in the true physical relationship (Geladi et  
16 al. 1998). The saturation of the spectral signal in dense and multi-layered canopy  
17 cover is a well-known phenomenon (Sellers, 1985; Gao et al., 2000).

18 Overall, mean DBH was the best predicted using the various statistical methods  
19 compared to mean height and tree density. It should be stated again that imaging  
20 spectroscopy of forest structural attributes such as tree diameter, height, density and  
21 biomass indirectly depends on the relationship between these parameters and  
22 parameters that have a direct control on the spectral reflectance such as LAI, canopy  
23 thickness and canopy biochemistry (Lefsky et al., 1999; Ingram et al., 2005).  
24 Multispectral (broadband) features are poor predictor of canopy biochemistry. This  
25 probably explains why spectral degradation from HyMap to Landsat band setting  
26 lowered the ability to accurately predict the forest stand attributes. Finally, LIDAR  
27 remote sensing has proven useful in providing accurate information on tree height  
28 than imaging spectroscopy (Asner et al. 2007). Thus, the combination of LIDAR and  
29 imaging spectroscopy could provide more accurate information on beech DBH and  
30 height, two parameters important for estimating forest biomass (De Jong et al. 2003).

#### 31 32 *4.2. Predictive maps of mean DBH and implications for beech forest management in* 33 *the Majella National Park*

34  
35 The predicted map of mean DBH revealed high heterogeneity in the beech forest  
36 structure in the study area. This pattern could be attributed to the forest management  
37 practice in the park. A combination of thinning and the occurrence of avalanches in  
38 the Majella National Park, have given rise to a compound coppice, which is a mixture  
39 of coppice and high beech forest. The DBH map could be useful to forest  
40 management in many ways e.g. thinning of coppice to promote diameter growth (tree  
41 density was negatively related to DBH), to assess the effects of management on forest  
42 structure or to detect changes in the forest structure caused by anthropogenic and  
43 natural factors.

#### 44 45 **Acknowledgments**

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4

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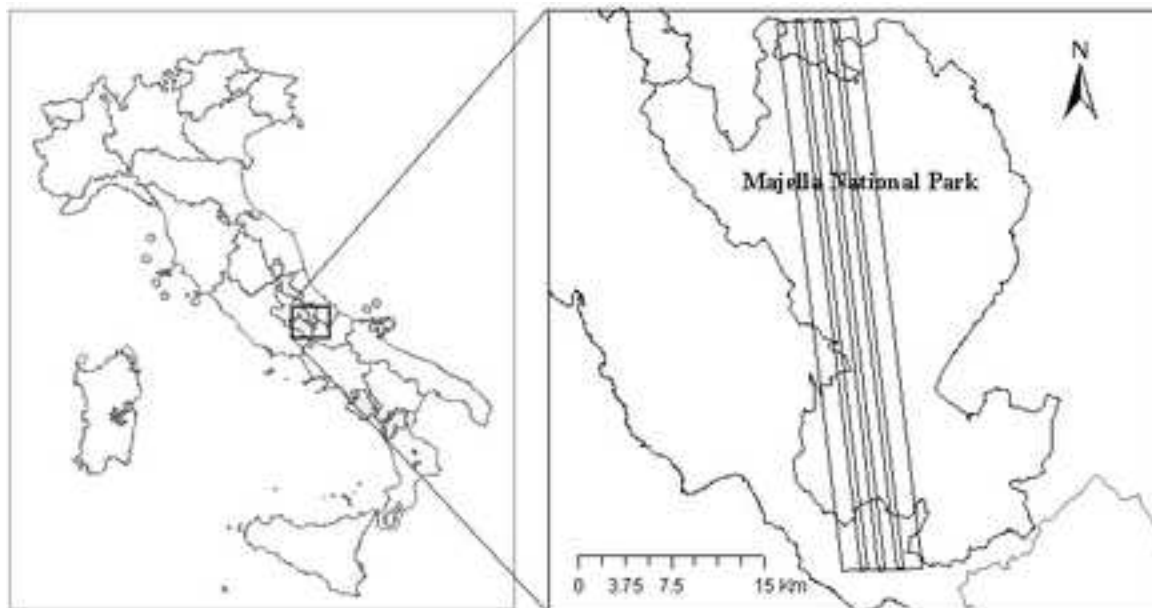
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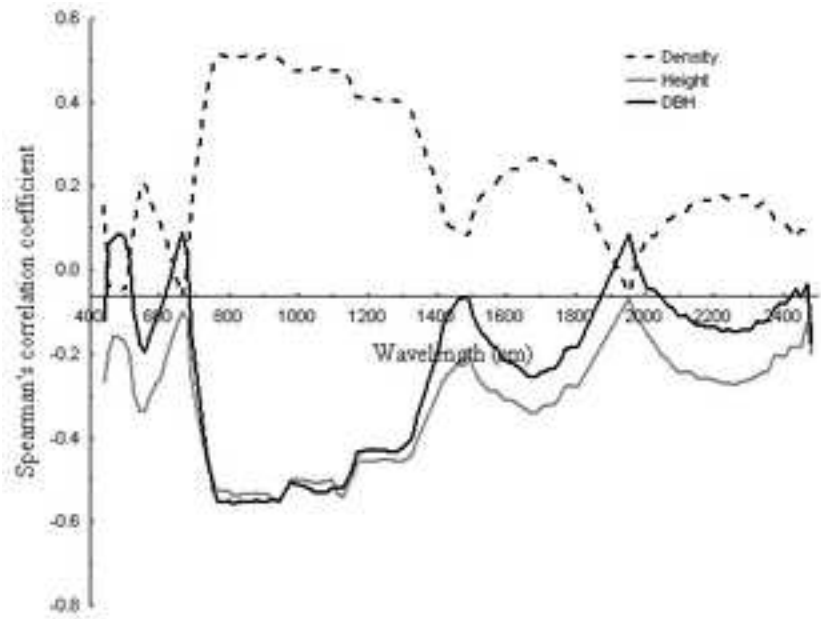
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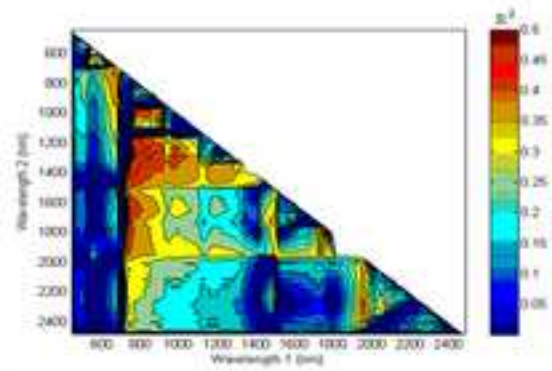




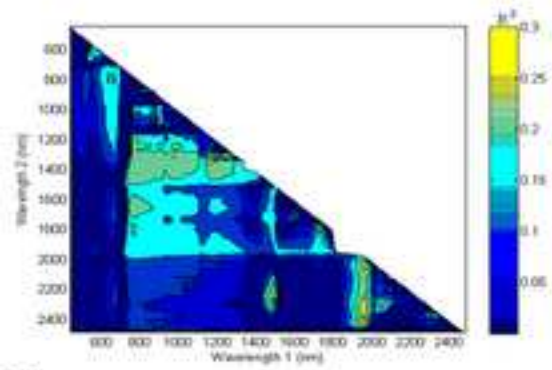
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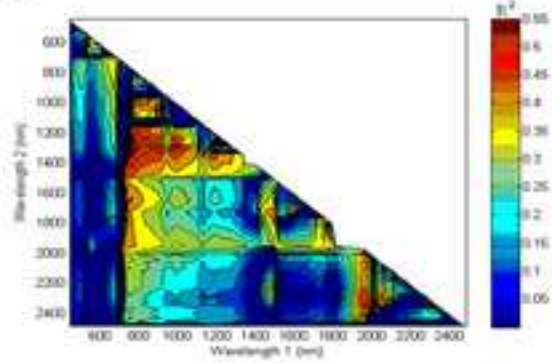
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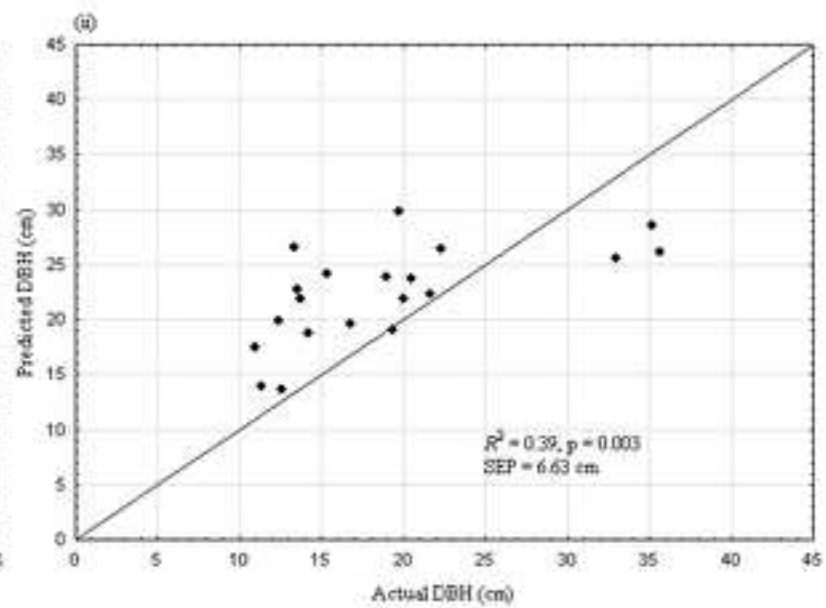
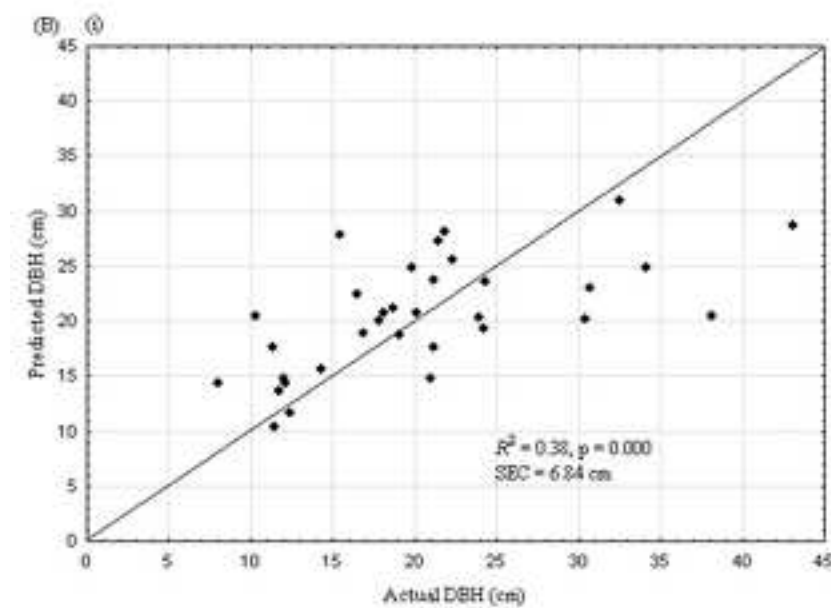
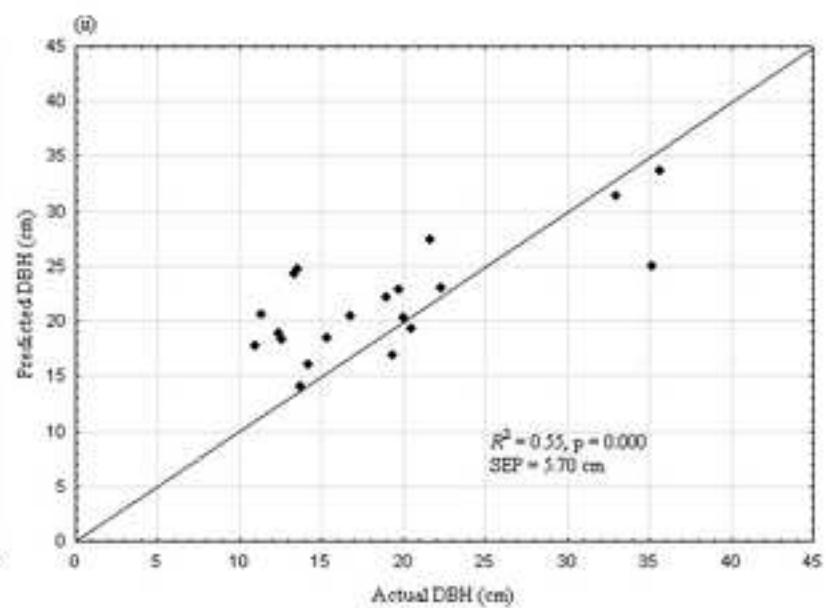
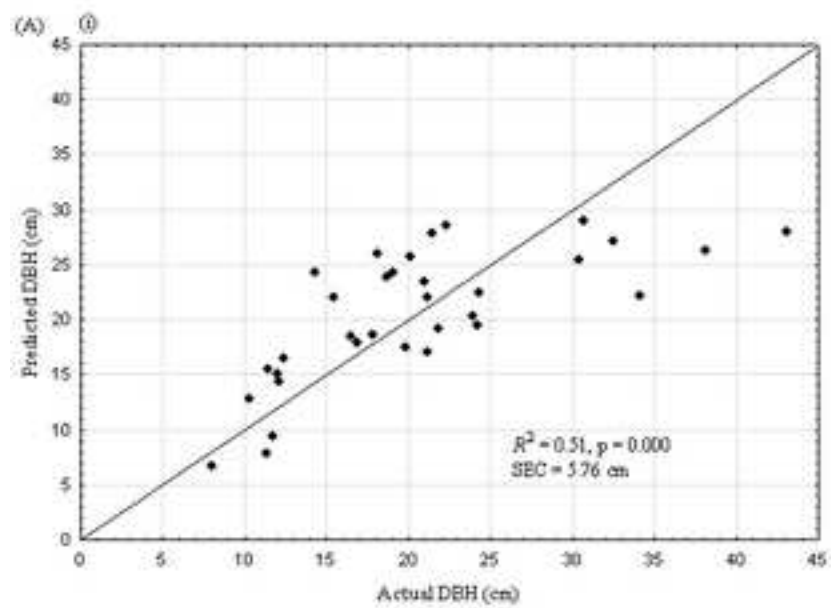


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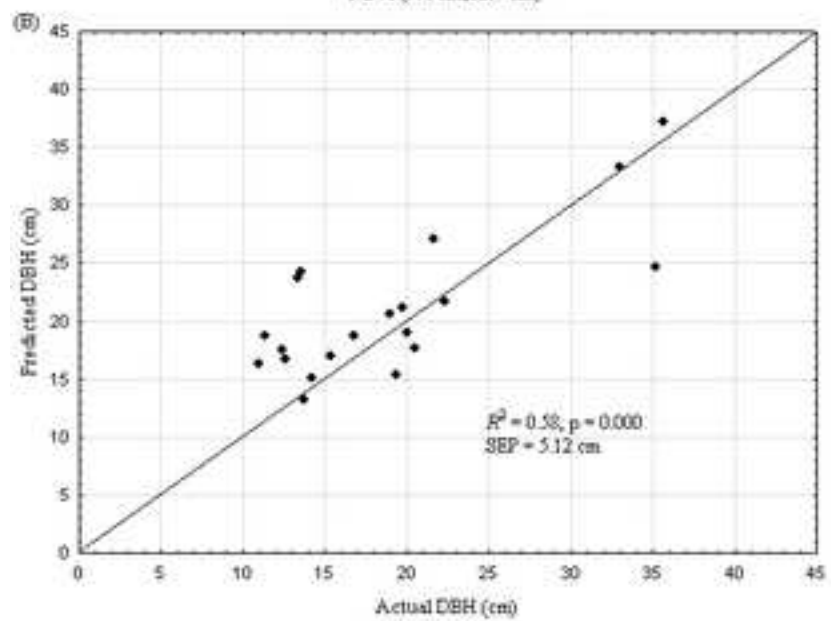
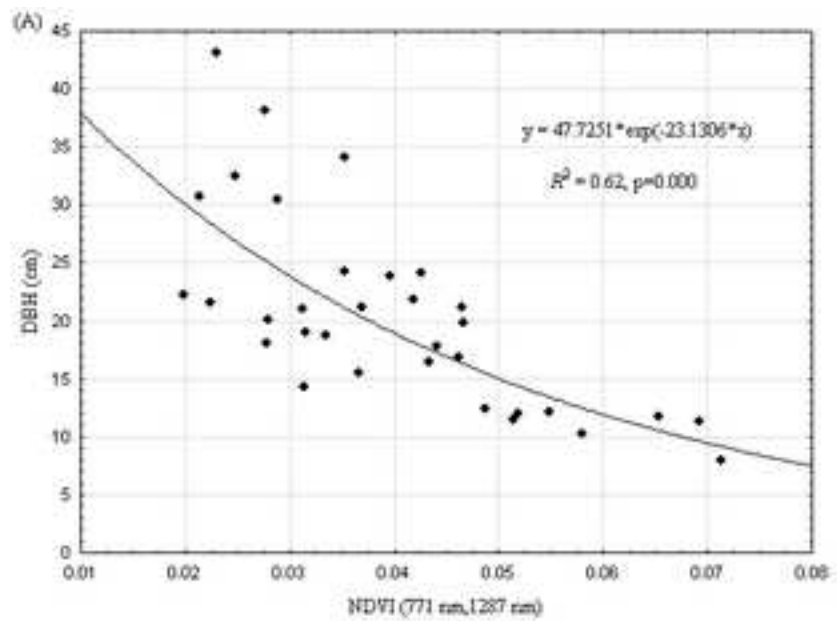
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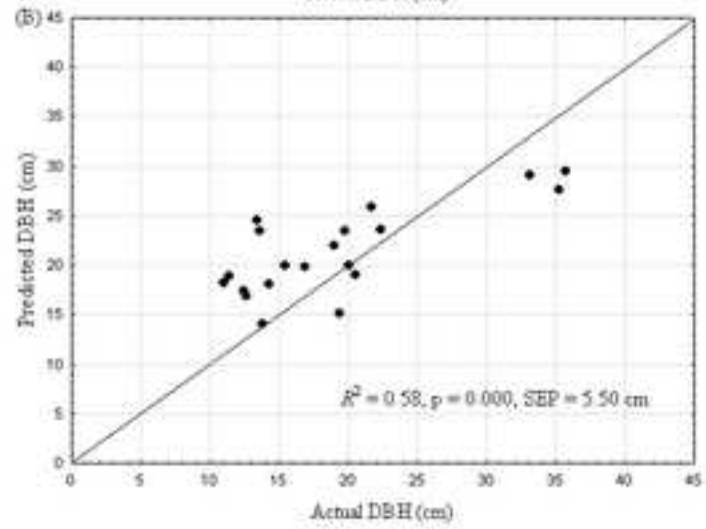
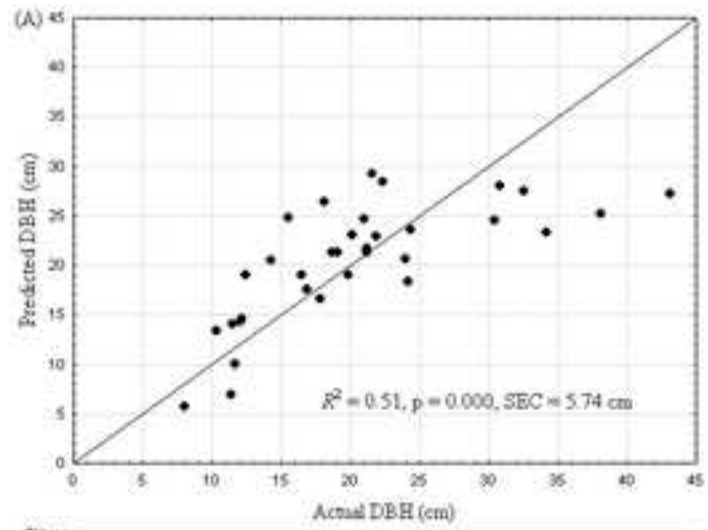
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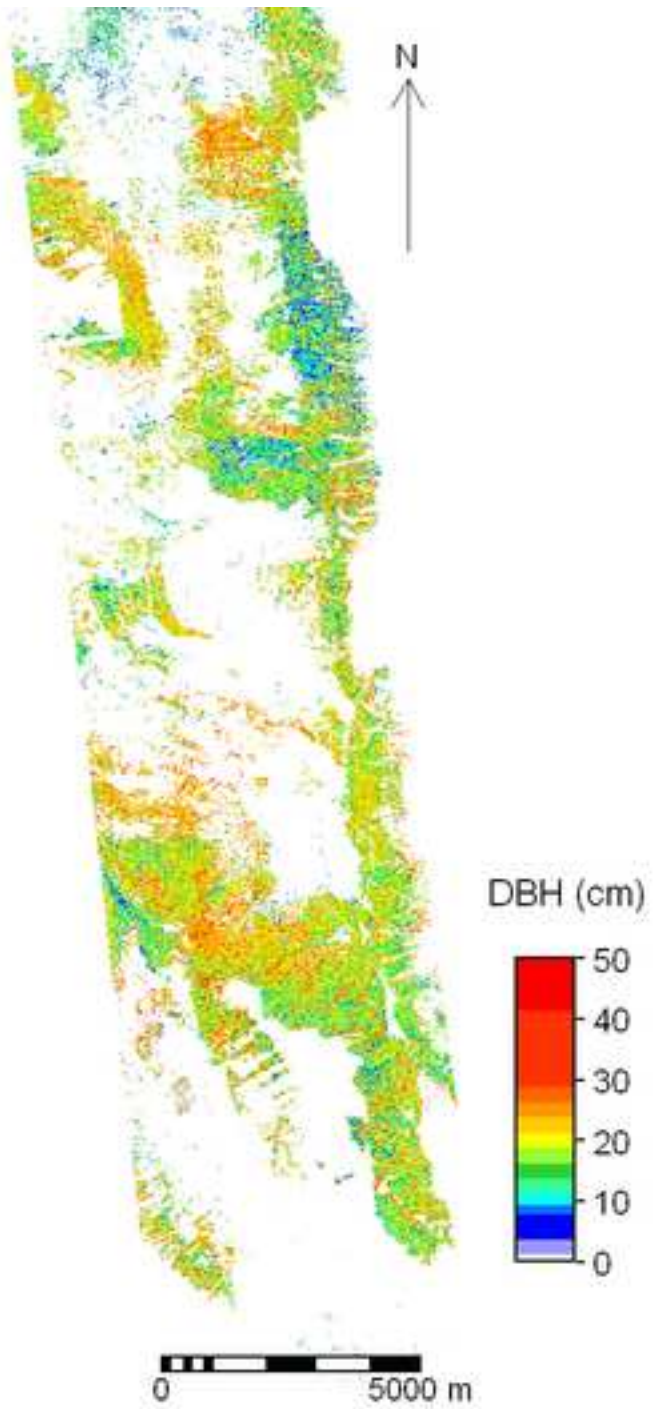
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Table 5

Predicting beech forest structural parameters; mean diameter-at-breast-height (DBH), mean height and tree density using partial least squares (PLS) regression.  $R^2$  = coefficient of determination, RMSECV = root mean square error of cross validation, SEC = standard error of calibration and SEP = standard error of prediction

Table 1  
Wavebands selected for estimating beech forest structural parameters using partial least squares regression (Cho et. al. 2007)

| Waveband centre (nm) | Description                       | References                                  |
|----------------------|-----------------------------------|---|
| 466                  | chlorophyll b                     | Curran (1989)                               |
| 695                  | total chlorophyll                 | Carter (1994), Gitelson and Merzylak (1997) |
| 725                  | total chlorophyll, leaf mass      | Horler et al. (1983)                        |
| 740                  | leaf mass and LAI                 | Horler et al. (1983)                        |
| 786                  | leaf mass                         | Guyot and Baret (1988)                      |
| 846                  | leaf mass, LAI, chlorophyll       | Thenkabail et al. (2004)                    |
| 895                  | leaf mass, LAI                    | Thenkabail et al. (2004)                    |
| 1113                 | leaf mass, LAI                    | Thenkabail et al. (2004)                    |
| 1215                 | plant moisture, cellulose, starch | Thenkabail et al. (2004), Curran (1989)     |
| 1661                 | lignin, leaf mass, starch         | Thenkabail et al. (2004)                    |
| 2173                 | protein, nitrogen                 | Curran (1989)                               |
| 2359                 | cellulose, protein, nitrogen      | Curran (1989)                               |

LAI = leaf area index

Table 2  
 Descriptive statistics of beech forest structural parameters. DBH = diameter-at-breast height

| Parameter                            | Mean  | Minimum | Maximum | Standard deviation | Skewness | Coefficient of variance (%) |
|--------------------------------------|-------|---------|---------|--------------------|----------|-----------------------------|
| Mean DBH (cm)                        | 19.94 | 8.00    | 43.07   | 8.02               | 1.01     | 40                          |
| Mean height (m)                      | 18.70 | 7.00    | 45.00   | 7.23               | 1.35     | 39                          |
| Tree density (No. ha <sup>-1</sup> ) | 1208  | 222     | 3089    | 739                | 0.77     | 61                          |



Table 3

Best NDVI combinations for predicting beech forest structural parameters (mean diameter-at-breast height (DBH), tree height and density) using linear regression.  $R^2$  = coefficient of determination. The best NDVI results are compared with those of the standard NDVI involving bands at 831 nm and 665 nm.

| Band combinations              |             | Calibration (n = 33) |      | Validation (n = 20) |              |
|--------------------------------|-------------|----------------------|------|---------------------|--------------|
| Band1 (nm)                     | band 2 (nm) | $R^2$                | SEC  | SEP                 | % mean error |
| <i>DBH (cm)</i>                |             |                      |      |                     |              |
| 1287                           | 771         | 0.51                 | 5.76 | 5.70                | 27.8         |
| 1301                           | 771         | 0.51                 | 5.77 | 5.69                | 27.8         |
| 1244                           | 771         | 0.50                 | 5.78 | 5.64                | 27.5         |
| 1258                           | 771         | 0.50                 | 5.79 | 5.59                | 27.3         |
| 1314                           | 771         | 0.50                 | 5.79 | 5.72                | 27.9         |
| Standard NDVI                  |             | 0.39                 | 6.42 | 6.68                | 32.6         |
| <i>Height (m)</i>              |             |                      |      |                     |              |
| 1314                           | 1172        | 0.32                 | 5.75 | 7.36                | 39.3         |
| 1301                           | 1172        | 0.28                 | 5.90 | 6.70                | 35.8         |
| Standard NDVI                  |             | 0.21                 | 6.19 | 6.79                | 36.2         |
| <i>*Density (no. trees/ha)</i> |             |                      |      |                     |              |
| 1301                           | 771         | 0.59                 | 381  | 520                 | 48.3         |
| 1287                           | 771         | 0.58                 | 385  | 518                 | 48.1         |
| 1314                           | 771         | 0.58                 | 382  | 522                 | 48.5         |
| 1230                           | 771         | 0.57                 | 388  | 518                 | 48.1         |
| 1244                           | 771         | 0.57                 | 390  | 515                 | 47.8         |
| Standard NDVI                  |             | 0.37                 | 471  | 586                 | 54.4         |

\* validation sample size = 17 for tree density. Three outliers eliminated.

Table 4

Best NDVI combinations derived from simulated Landsat TM data for predicting beech forest structural attributes (diameter-at-breast height (DBH), tree height and density) using linear regression.  $R^2$  = coefficient of determination.

| Best band combinations  |        | Calibration (n = 33) |      | Validation (n = 20) |              |
|-------------------------|--------|----------------------|------|---------------------|--------------|
| Band1                   | band 2 | $R^2$                | SEC  | SEP                 | % mean error |
| DBH (cm)                |        |                      |      |                     |              |
| 1653                    | 831    | 0.39                 | 7.31 | 7.27                | 35.5         |
| 831                     | 665    | 0.38                 | 6.48 | 6.63                | 32.4         |
| Height (m)              |        |                      |      |                     |              |
| 1653                    | 831    | 0.21                 | 6.58 | 7.22                | 38.5         |
| 831                     | 665    | 0.20                 | 6.20 | 6.81                | 36.4         |
| *Density (no. trees/ha) |        |                      |      |                     |              |
| 1653                    | 831    | 0.40                 | 533  | 581                 | 53.9         |
| 831                     | 665    | 0.36                 | 475  | 539                 | 50.1         |

\* validation sample size = 17 for tree density. Three outliers eliminated.

Table 5

Predicting beech forest structural parameters; mean diameter-at-breast-height (DBH), mean height and tree density using partial least squares (PLS) regression.  $R^2$  = coefficient of determination, RMSECV = root mean square error of cross validation, SEC = standard error of calibration and SEP = standard error of prediction

|  | Calibration (n = 33) |                             |                |                          | Independent validation (n = 20) |           |
|--|----------------------|-----------------------------|----------------|--------------------------|---------------------------------|-----------|
|  | No. of PLS factors   | RMSECV (g m <sup>-2</sup> ) | R <sup>2</sup> | SEC (g m <sup>-2</sup> ) | SEP (g m <sup>-2</sup> )        | % of mean |
| <i>All bands</i>                         |                      |                             |                |                          |                                 |           |
| DBH (cm)                                 | 3                    | 6.56                        | 53             | 5.63                     | 5.66                            | 27.6      |
| Height (m)                               | 2                    | 6.15                        | 36             | 5.54                     | 6.12                            | 32.6      |
| Density (no. of trees ha <sup>-1</sup> ) | 2                    | 461                         | 50             | 420                      | 508                             | 47.1      |
| <i>Selected bands</i>                    |                      |                             |                |                          |                                 |           |
| DBH (cm)                                 | 3                    | 6.54                        | 51             | 5.74                     | 5.50                            | 26.8      |
| Height (m)                               | 2                    | 6.16                        | 37             | 5.52                     | 6.21                            | 33.2      |
| Density (no. of trees ha <sup>-1</sup> ) | 3                    | 451                         | 50             | 421                      | 499                             | 46.4      |