

51 Furthermore, broad-band NDVIs asymptotically approach a saturation level after a certain biomass
52 or leaf area index (Sellers, 1985, Gao *et al.* 2000). Broad-band NDVIs use average spectral
53 information over broad-band widths resulting in loss of critical information available in specific
54 narrow-bands (Blackburn, 1998, Thenkabail *et al.* 2000).

55 Recent developments in hyperspectral remote sensing have provided additional bands (narrow-
56 bands) within the red-NIR transition that have been utilised to improve grass biomass estimation.
57 For example, Mutanga and Skidmore (2004) show that NDVI computed from 746 and 755 nm
58 solves the saturation problem of estimating grass biomass at high canopy cover. Another
59 hyperspectral predictor that has been assessed for grass biomass estimation is the wavelength of
60 maximum slope in the red-NIR region, termed the red-edge position (REP) (e.g. Gilabert *et al.*
61 1996, Mutanga and Skidmore, 2004, Cho *et al.*, in press). An advantage of the REP over the NDVI is
62 that it is less sensitive to varying soil and atmospheric conditions, and sensor view angle (Curran *et al.*
63 1995, Blackburn and Pitman, 1999, Clevers *et al.* 2001). Many recent studies assessing the
64 utility of hyperspectral predictors for estimating grass biomass have focused on single crops or
65 species canopies (Thenkabail *et al.* 2000, Hansen and Schjoerring 2003, Mutanga and Skidmore
66 2004). The utility of hyperspectral predictors for estimating or monitoring biomass in natural grass
67 and/or herb communities remains to be established.

68 The Mediterranean mountain grasslands in the region of Abruzzo, Italy consist of mixed
69 grass/herb communities (Conti, 1998). These systems attain peak biomass in summer. But the hot
70 summer climate, and variable cloud presence and precipitation in the region imply that the
71 vegetation and atmospheric conditions are not stable. A major challenge in remote sensing of
72 vegetation is the transferability of models developed at one time/place to another (Woodcock *et al.*
73 2001, Foody *et al.* 2003, Lu 2006). The monitoring of peak grass/herb biomass on an annual basis
74 would require that the relationship between biomass and the spectral predictor remains stable for
75 different summer atmospheric and vegetation conditions.

76 Thus, the research objective was to determine stable or robust hyperspectral predictors for
77 estimating biomass production in Mediterranean mountain grasslands on a yearly basis. HyMap
78 data was acquired in the study area, the Majella National Park, Italy in early and mid July 2004 and
79 2005, respectively. The robustness of vegetation indices and REP for monitoring grass/herb
80 biomass was determined by: (i) comparing the consistency of the linear relations between biomass
81 and hyperspectral predictors for 2004 and 2005 and (ii) assessing the predictive capabilities of
82 empirical models developed for 2004 in predicting the biomass of 2005 and vice versa.

83

84 **2. Material and methods**

85

86 **2.1. The study area**

87

88 The study site is located in Majella National Park, Italy (latitude 41°52' to 42°14'N, longitude
89 13°50' to 13°14'E), which covers an area of 74095 ha. The park extends into the southern part of
90 Abruzzo, at a distance of 40 km from the Adriatic Sea. This region is situated in the massifs of the
91 Apennines (Conti, 1998). The park is characterised by several mountain peaks, the highest being
92 Mount Amaro (2794 m).

93 More specifically, the study site (latitude 41°49' to 42°14'N, longitude 13°57' to 14°6'E) is
94 situated between Mounts Majella and Morrone to the east and west, respectively. It covers an area
95 of 40 km by 5.5 km. Gallego Fernández *et al.* (2004) argue that plant community dynamics in
96 Mediterranean basin ecosystems are driven mainly by alternating episodes of human intervention
97 and land abandonment. For example, abandoned settlement and agricultural areas in Majella are
98 returning to oak (*Quercus pubescens*) woodlands at the lower altitude (400 m to 600 m) and beech
99 (*Fagus sylvatica*) forest at the higher altitude (1200 m to 1800 m). Between these two formations is
100 a landscape composed of shrubby bushes, patches of grass/herb vegetation, and bare rock outcrops.

101 The dominant grass species include *Brachypodium genuense*, *Briza media*, *Bromus erectus* and
102 *Festuca sp.* Herbs include *Helichrysum italicum*, *Galium verum*, *Trifolium pratense*, *Plantago*
103 *lanceolata*, *Sanguisorba officinalis* and *Ononis spinosa*.

104

105 **2.2. Field data collection**

106

107 Two field campaigns to collect grass/herb biomass data were carried out in the summers of 2004
108 (28 June to July 16) and 2005 (16 to 29 June). Random sampling with clustering was adopted in the
109 study because of the difficult nature of the terrain. That is, twenty-five coordinate points were
110 randomly generated with ArcGIS software from four phytosociological classes (semi-
111 natural/farmlands, grazed/periodically flooded areas, open garrigue and abandoned farmlands):
112 eight plots in the semi-natural/farmlands/abandoned farmlands, five plots in the open garrigues and
113 twelve plots in the grazed/periodically flooded areas. The number of samples per vegetation class
114 was proportional to the size of the class. To each plot, an extra plot was sampled about 150m away
115 in a randomly chosen direction. The direction of the extra plot was randomly selected by throwing
116 a piece of rock. Using a GPS, plots of 30 m by 30 m were located in the field. The plot size of 30m
117 by 30m was deemed appropriate for the study area because of the spatial heterogeneity in
118 vegetation types with autocorrelation distances of less than 50m. This fact notwithstanding, some
119 plots fell within mixed grass/shrubby areas and such plots were sampled only when there was a
120 patch of more than 20% of homogeneous and continuous fresh grass/herb cover. Twenty percent
121 was chosen as the minimum area in such cases given that such an area could be conveniently
122 captured within the HyMap image (spatial resolution of 4m). In general all plots were located in
123 relatively homogenous areas in terms of grass/herb type and biomass. A total of 47 plots were
124 sampled. All field sample plots were aligned in the direction of the flight line.

125 Above-ground biomass was clipped within five randomly selected subplots (1 m by 0.5 m) from
126 each plot. All dry material was removed from the clipped plants before measuring the green
127 biomass. Average green biomass per plot was calculated from the five subplot measurements.

128

129 **2.3. Image acquisition and pre-processing**

130

131 Airborne HyMap data of the study site were obtained on 15 July 2004 and 4 July 2005. The
132 vegetation was greener in 2005 than in 2004 at the time of image acquisition. In addition, some
133 parts of the study area were covered by clouds in 2005. The flights were carried out by DLR,
134 Germany's Aerospace Research Centre and Space Agency. The HyMap sensor comprised 128
135 wavebands, operating over the wavelength range 436 nm to 2485 nm, with average spectral
136 resolutions of 15 nm (436 nm to 1313 nm), 13 nm (1409 nm to 1800 nm) and 17 nm (1953 nm to
137 2485 nm). The spatial resolution of the data was 4 m. The data was collected at solar noon. The
138 specific study site was covered by four image strips, each covering an area of about 40 km by
139 2.3 km. The solar zenith and azimuth angles for the image strips range between 30-33.7° and 111.5-
140 121°, respectively.

141 The 2004 and 2005 image strips were atmospherically corrected by DLR. But only the 2005
142 images were geometrically corrected by DLR. The on-board navigation system used for geometric
143 correction was a C-MIGITS II (Miniature Integrated GPS/INS Tactical System) system, which has
144 a dx-dy accuracy of 2.5m and dz accuracy of 3m. The 2004 images strips were geometrically
145 corrected from the 2005 images using image-to-image registration. The atmospheric correction was
146 carried out using ATCOR4-r (Atmospheric/Topographic Correction-rugged terrain). ATCOR4 is
147 based on MODTRAN-4 radiative transfer code (Richter and Schlapfer, 2002). However, there were
148 differences between the reflectance of similar pixels in the overlapping sections between image
149 strips for the 2005 image. We performed spectral calibration, using a reference image strip to
150 mitigate the disparities. For example, image spectra collected from strip 2 (the reference strip) were

151 used to correct its overlapping neighbours (strips 1 and 3). Ten pairs of spectra were collected from
152 corresponding targets in the overlapping sections between strips 1 and 2 to correct strip 1 and
153 another ten pairs between strips 2 and 3 to correct strip 3. The spectra were collected from targets
154 such as roads, agricultural fields, quarry fields, and dense beech forest pixels. The spectra were then
155 used to develop linear regression functions for each band. Using the regression functions, strips 1
156 and 3 were then adjusted to have a spectral response similar to that of strip 2. The same process was
157 carried out using corrected strip 3 as the reference image to correct strip 4. The entire process was
158 conducted using the empirical line tool in Environment for Visualising Images (ENVI 4.2) software
159 (Research System, Inc.).

161 **2.4. Collecting image spectra for grass/herb plots**

163 Grass/herb areas were extracted from the image strips in order to eliminate mixed grass/shrubs
164 and or tree pixels. First, an NDVI image involving bands at 665 nm and 831 nm was computed for
165 each image strip using the ENVI 4.2 software. A point map of the grass/herb plots was then overlaid
166 on the NDVI images. Pixels of pure grass/herb plots were used to determine minimum and
167 maximum NDVI threshold values for grass/herbs. Next, a grass/herb region-of-interest map was
168 created using the NDVI threshold values. Subsequently, the region-of-interest map was used to
169 subset grass/herb areas from the image. All other pixels were masked out.

170 A 7 by 7 pixels window (i.e. 28m × 28m) was used to collect grass/herb image spectra from each
171 sample plot in order to avoid including pixels located outside the plot (30 m × 30 m). The spectra
172 were collected and averaged. The spectra of five out of the 47 plots were not extracted from the
173 2005 image strips because the plots were located in portions covered by clouds.

175 **2.5. Data analysis**

177 **2.5.1. Spectral predictors**

178 Two types of spectral predictors were adopted in this study:

180 **[Insert Table 1]**

182 *(a) Vegetation indices*

183 Four vegetation indices were used in the study: Narrow-band NDVI calculated from all
184 combinations of red or far-red (600 to 740 nm) and NIR (756 to 1000 nm) bands, Modified soil
185 adjusted vegetation index (MSAVI), soil and atmospherically resistant vegetation index (SARVI)
186 and normalised difference water index (NDWI). The indices are presented in Table 1. Mutanga and
187 Skidmore (2004) showed that widely used vegetation indices such as NDVI and transformed
188 vegetation index (TVI) produce similar accuracies for grass biomass estimation. TVI was therefore
189 not applied in this study.

191 *(b) Red-edge position (REP)*

192 Red-edge positions were extracted by three simple methods; the linear four-point interpolation
193 (Guyot and Baret, 1988), three-point Lagrangian interpolation (Dawson and Curran, 1998) and the
194 linear extrapolation (Cho and Skidmore, 2006) methods.

196 (i) Linear four-point interpolation method

197
198 The linear four-point interpolation method (Guyot and Baret, 1988) assumes that the reflectance
199 curve at the red edge can be simplified to a straight line centred near the midpoint between the
200 reflectance in the NIR at about 780 nm and the reflectance minimum of the chlorophyll absorption

201 feature at about 670 nm. It uses four wavebands, 670, 700, 740 and 780 nm i.e. 665, 695, 740 and
 202 786 for the HyMap spectrum. The REP is then determined by using a two-step calculation
 203 procedure.

204
 205 Calculation of the reflectance at the inflexion point (R_{re}):
 206

$$207 \quad R_{re} = (R_{665} + R_{786})/2 \quad (1)$$

208
 209 where R is the reflectance at a specified wavelength (e.g. 665 nm).
 210

211 Calculation of the red edge wavelength or red edge position (REP):
 212

$$213 \quad REP = 695 + 45 \left(\frac{R_{re} - R_{695}}{R_{740} - R_{695}} \right) \quad (2)$$

214
 215 695 and 45 are constants resulting from interpolation in the 695-740 nm interval.
 216

217 (ii) Three-point Lagrangian interpolation method
 218

219 The three-point Lagrangian interpolation technique (Dawson and Curran, 1998) is designed to
 220 locate REP in spectra that have been sampled coarsely. Lagrangian interpolation is applied to the
 221 first derivative of the reflectance spectrum which is computed as follows:
 222

$$223 \quad D_{(\lambda_i)} = (R_{\lambda(j+1)} - R_{\lambda(j)})/\Delta \lambda \quad (3)$$

224
 225 where $D_{(\lambda_i)}$ is the first derivative reflectance at a wavelength i, midpoint between wavebands j and
 226 j+1, $R_{\lambda(j)}$ is the reflectance at the j waveband, $R_{\lambda(j+1)}$ is the reflectance at the j+1 waveband, and
 227 $\Delta \lambda$ is the difference in wavelengths between j and j+1.

228 The value of the first derivative at any wavelength (i.e. estimated value) will be D_{λ} . The
 229 Lagrangian interpolation technique for three known bands is given by
 230

$$231 \quad D_{\lambda} = \frac{(\lambda - \lambda_i)(\lambda - \lambda_{i+1})}{(\lambda_{i-1} - \lambda_i)(\lambda_{i-1} - \lambda_{i+1})} D_{\lambda(i-1)} + \frac{(\lambda - \lambda_{i-1})(\lambda - \lambda_{i+1})}{(\lambda_i - \lambda_{i-1})(\lambda_i - \lambda_{i+1})} D_{\lambda(i)} \\ + \frac{(\lambda - \lambda_{i-1})(\lambda - \lambda_i)}{(\lambda_{i+1} - \lambda_{i-1})(\lambda_{i+1} - \lambda_i)} D_{\lambda(i+1)} \quad (4)$$

232
 233 The band having the maximum first derivative will be λ_i , with λ_{i-1} and λ_{i+1} representing the two
 234 bands on either side of the maximum derivative. To determine the REP, a second derivation on Eq.
 235 4 is performed and resolved for when the second derivative is zero. i.e.
 236

$$237 \quad REP = \frac{A(\lambda_i + \lambda_{i+1}) + B(\lambda_{i-1} + \lambda_{i+1}) + C(\lambda_{i-1} + \lambda_i)}{2(A + B + C)} \quad (5)$$

238
 239 where
 240

$$A = \frac{D_{\lambda(i-1)}}{(\lambda_{i-1} - \lambda_i)(\lambda_{i-1} - \lambda_{i+1})}, B = \frac{D_{\lambda(i)}}{(\lambda_i - \lambda_{i-1})(\lambda_i - \lambda_{i+1})}, \text{ and} \quad (6)$$

$$C = \frac{D_{\lambda(i+1)}}{(\lambda_{i+1} - \lambda_{i-1})(\lambda_{i+1} - \lambda_i)}$$

[Insert Fig. 1]

(iii) Linear extrapolation technique

The linear extrapolation technique (Cho and Skidmore, 2006) is designed to track changes near chlorophyll sensitive peaks in the first derivative (D) of the red edge i.e. around 700 and 725 nm (Horler *et al.* 1983). The REP is calculated as the wavelength at the intersection of two straight lines (Eq. 7 & 8) extrapolated through two points on the far-red flank and two points on NIR flank of first derivative reflectance spectrum. For example, for the HyMap derivative spectra used in this study, the lines were extrapolated through derivative bands at 672 and 703 nm for the far-red line and 732 and 778 nm for the NIR line (Fig. 1).

$$\text{Far-red line: } D = m_1\lambda + c_1 \quad (7)$$

$$\text{NIR line: } D = m_2\lambda + c_2 \quad (8)$$

where m and c represent the slope and intercept of the straight lines; m_1 and c_1 for the far-red line and m_2 and c_2 for the NIR line. At the intersection, the two lines have equal λ and D values. Therefore, the REP, which is the λ at the intersection, is given by:

$$\text{REP} = \frac{-(c_1 - c_2)}{(m_1 - m_2)} \quad (9)$$

where

$$m_1 = \frac{(D_{703} - D_{672})}{(\lambda_{703} - \lambda_{672})} \quad (10)$$

$$m_2 = \frac{(D_{778} - D_{732})}{(\lambda_{778} - \lambda_{732})} \quad (11)$$

$$c_1 = D_{703} - m_1\lambda_{703} \quad (12)$$

$$c_2 = D_{732} - m_2\lambda_{732} \quad (13)$$

2.5.2. Assessing the robustness of hyperspectral predictors for monitoring grass/herb biomass

The robustness of the various spectral predictors for monitoring grass biomass was determined in two ways:

- i the consistency of the linear regression models between biomass and the spectral predictors were compared for both 2004 and 2005. The explained variance (coefficient of

determination or R^2) and prediction errors (the root mean square errors of leave-one-out cross-validation (RMSECV)) were used for the comparison (Geladi and Kowalski, 1986).

- ii regression models developed for 2004 were used to predict the biomass of 2005 and vice versa. The performances of the various models for predicting either the next or previous years' biomass were compared using the standard errors of prediction (RMSE).

[Insert Table 2]

[Insert Fig. 2]

3. Results

3.1. Spectral and green grass/herb biomass characteristics for 2004 and 2005

The visible (450-700 nm), NIR (700-1300) and SWIR (1300-2500) reflectances were higher for 2004 than 2005 (Fig. 2). These results are consistent with changes that occur when vegetation loses pigmentation and water (Knippling, 1970), e.g. during the early stages of senescence. Furthermore, compared with 2005, the 2004 reflectance spectra showed higher variability (standard deviations) in the chlorophyll (600-700), and leaf/atmospheric water absorption (1450 and 1940 nm) bands (Curran, 1989).

The descriptive statistics for the green grass/herb biomass of 2004 and 2005 are presented in Table 2; the data distributions are assumed normal under the central limit theory. We used the 2-Sample Student's t-test to compute the confidence interval and perform a hypothesis test for the difference between the means of the biomass of 2004 and 2005. The null hypothesis was $H_0: \mu_1 - \mu_2 = 0$ versus the alternative hypothesis $H_1: \mu_1 - \mu_2 \neq 0$, where μ_1 and μ_2 are the mean biomass of 2004 and 2005, respectively. The confidence interval (CI) for the difference in the means at 95% was -161, 149 g m^{-2} . The means were not significantly different at $p < 0.05$. The annual variation in biomass calculated as the root of the mean square difference between the biomass of various plots for the two years was 334 g m^{-2} .

[Insert Tables 3 and 4]

3.2. Predictive performance of vegetation indices

The linear regression between grass/herb biomass and NDVIs computed from all combinations of wavebands between the NIR (756 to 1000 nm) and red or far-red (600 to 740 nm) produced different patterns for 2004 and 2005 (Fig. 3):

- i in general, more combinations, i.e. 152 out of a total of 180 combinations yielded high coefficients of determination ($R^2 \geq 0.50$) for 2004 compared with 2005 (35 combinations)
- ii the best five combinations for 2004 involved NIR bands and the red band at 695 nm, while for 2005, the best five combinations involved NIR bands and red-edge bands located at the longer wavelength end between 725 - 740 nm (Table 3)
- iii the best five combinations for both 2004 and 2005 involved NIR bands located at the upper limit of the red edge (786 - 801 nm) and red-edge bands located mid-way along the red-edge slope (725 - 740 nm) (Table 4)
- iv the more traditional NDVI band combinations involving NIR and red wavelengths around the chlorophyll absorption centre (660-680 nm) performed poorly for 2005 biomass estimation.
- v The best five NDVI band combinations for 2005 are higher than those of 2004 (Table 3).

331
332 A comparative analysis of the predictive performance of the NDVI involving analogous Landsat
333 TM bands (831 & 665 nm), best NDVI for 2004, best NDVI for 2005, overall best NDVI (786 &
334 725 nm), MSAVI, SARVI and NDWI is presented in Table 5. The MSAVI and SARVI provided
335 an insignificant improvement over NDVI computed from red and NIR bands. NDWI produced a
336 higher correlation for 2004 than 2005. Although NDVI (786 & 725 nm) and NDVI (786 & 740 nm)
337 showed high correlations ($R^2 \geq 0.50$) and low RMSECV for both 2004 and 2005, they showed
338 higher prediction errors for the following or previous years' biomass.

339
340 **[Insert Fig. 3]**

341
342 **[Insert Table 5]**

343 **3.3. Predictive performance of the red-edge position**

344
345 Among the REP methods, only REPs extracted by the Lagrangian and linear extrapolation
346 methods were highly correlated ($R^2 \geq 0.50$) with biomass for 2004 and 2005. Nevertheless, REPs
347 extracted by the linear interpolation method yielded the highest correlation ($R^2 = 0.62$) and lowest
348 RMSECV (239 g m⁻²) for 2005 when the vegetation was fresher. Compared with regression models
349 developed using the best overall NDVI (786 & 725), the Lagrangian and linear extrapolation REP
350 models for each year produced higher accuracies for grass/herb biomass prediction for the other
351 year (Table 5 and Fig. 4). Figure 4 shows the predicted grass/herb biomass for a subset area of the
352 2005 image based on linear regression models derived from the best overall NDVI (786 & 725) and
353 linear extrapolation REP for 2004 and 2005. This subset area represents the largest patch of
354 grassland within the study area. The prediction has been applied on the original 4m pixel image
355 given that resampling to the field plot size (30m) did not significantly change the results. This was
356 expected because each plot was located in a relatively homogenous grass patch. It could be
357 observed that the predicted maps based on the REP models showed higher similarities compared
358 with the NDVI models.

359
360
361 **[Insert Fig. 4]**

362 **4. Discussion**

363
364 The present study evaluates the robustness or stability of hyperspectral predictors for estimating
365 grass/herb biomass between two consecutive yearly hyperspectral images. HyMap data was
366 acquired in the study area, the Majella National Park, Italy on 4 and 15 July 2004 and 2005,
367 respectively. The spectral analyses of grass/herb plots (Fig. 2) seem to suggest that the vegetation
368 and atmospheric conditions were different. However, no significant difference was found between
369 the means of green grass/herb biomass for 2004 and 2005.

370
371 This study shows that frequently used NDVIs computed from canopy reflectance in the red (665-
372 680 nm) and near-infrared bands, MSAVI, SARVI and NDWI are not reliable predictors of
373 grass/herb biomass on a yearly basis. The above indices were highly correlated ($R^2 \geq 0.50$) with
374 biomass only for 2004 when the vegetation was in the early stages of senescence. The greener
375 vegetation of 2005 may have caused the saturation of traditional vegetation indices involving NIR
376 bands and red bands between 670-690 (Mutanga and Skidmore, 2004). Conversely, the results do
377 support the growing body of evidence which shows that narrow-bands in the red-edge are more
378 consistent predictors of plant biophysical parameters (Thenkabail *et al.* 2000, Gupta *et al.* 2003,
379 Hansen and Schjoerring 2003, Mutanga and Skidmore 2004). However, the linear regression
380 models derived from the best overall NDVI involving narrow-bands at 786 and 725 nm were year-

381 specific because the models for one year poorly predicted the biomass of another year. Differences
382 in phenological and atmospheric conditions between 2004 and 2005 might have affected the
383 stability or robustness of the empirically derived NDVI models. It has been shown in several other
384 studies that empirical models derived from vegetation indices are highly site and sensor specific
385 and unsuitable for application to large areas or in different seasons (e.g. Curran, 1994; Gobron *et al.*
386 1997).

387 The results of this study show that REPs extracted by the Lagrangian and linear extrapolation
388 methods correlated highly ($R^2 \geq 0.50$) with green grass/herb biomass for both 2004 and 2005.
389 Interestingly, the Lagrangian and linear extrapolation REP models for one year predicted the
390 biomass of the other year with higher accuracies compared with the linear interpolation REP and
391 NDVI (786 & 725 nm) regression models. Differences in phenological and atmospheric conditions
392 might have only a minor effect on the relationship between biomass and the Lagrangian or linear
393 extrapolation REP compared with the linear interpolation REP. In fact, Clevers *et al.* (2001)
394 demonstrated that the REPs are least sensitive to atmospheric and soil conditions. This may apply
395 particularly to the Lagrangian and linear extrapolation REPs which are computed from derivative
396 spectra. Derivative analysis enhances absorption features and suppresses contributions of non-
397 vegetative reflectance components (Boochs *et al.*, 1990; Curran *et al.*, 1991). The applicability of
398 the Lagrangian and linear extrapolation REP regression models for different Mediterranean
399 mountain grassland habitats and/or sensor types needs to be established.

400 In summary, the determination of spectral predictors that produce consistent correlations with
401 peak grass/herb biomass for slightly different phenological and atmospheric conditions could be
402 useful for monitoring annual changes in biomass production. These results are particularly crucial
403 for the Mediterranean mountain landscape because of the unstable summer climate in this region,
404 which makes it difficult to obtain cloud- or haze-free images at a desired phenological stage.
405 Moreover, the robustness of regression models derived from the Lagrangian and linear
406 extrapolation REPs, means that more reliable estimates of biomass can be obtained for a new
407 HyMap image for which field-measured biomass data is unavailable. However, the results of this
408 initial study on the robustness of hyperspectral indices in time/space are not conclusive as the study
409 is based on only two consecutive years. Measurement for many more years shall be needed to draw
410 more solid conclusion about the robustness of vegetation indices in time/space.

411 412 **5. Summary and conclusions**

413
414 The robustness of hyperspectral predictors for estimating green grass/herb biomass in the Majella
415 National Park, Italy on a yearly basis were assessed in terms of (i) the consistency of the
416 relationships between biomass and the spectral predictors and (ii) the capability of empirical
417 models developed for 2004 to predict the biomass of 2005 and vice versa.

418 We conclude that the relationships between green grass/herb biomass and frequently used NDVIs
419 computed from canopy reflectance in the red (665-680 nm) and near-infrared bands, MSAVI,
420 SARVI and NDWI are not consistent from one year to the other. However, NDVI involving
421 wavebands at 725 and 786 nm, or REPs extracted by the three-point Lagrangian interpolation and
422 linear extrapolation techniques, produced high correlation ($R^2 \geq 0.50$) for both 2004 and 2005.
423 However, the regression models based on REPs extracted by the Lagrangian and linear
424 extrapolation methods for each year produced more reliable estimates of biomass for the other year.

425 The results of this study could be useful for selecting hyperspectral predictors for monitoring
426 annual changes in grass/herb biomass production across other Mediterranean mountain ecosystems.

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429

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433

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Table 1

Summary of vegetation indices analysed in this study. R_{blue} , R_{red} and R_{NIR} denote reflectances in the blue, red and NIR, respectively.

Index	Formula	Description	References (e.g.)
NDVI	$(R_{NIR} - R_{red}) / (R_{NIR} + R_{red})$	Normalised difference vegetation index. Related to changes in amount of green biomass and pigment content.	Rouse <i>et al.</i> 1974
MSAVI	$\frac{2R_{NIR} + 1 - \sqrt{(2R_{NIR} + 1)^2 - 8(R_{NIR} - R_{red})}}{2}$	Modified soil adjusted vegetation index minimises soil influences on canopy spectra. Red and NIR bands at 665 nm and 831 nm, respectively.	Huete 1988, Qi <i>et al.</i> 1994.
SARVI	$R_{rb} = R_{red} - \gamma(R_{blue} - R_{red})$ The subscripts r and b denote the red and blue bands, respectively. γ denotes the atmospheric aerosol correction function. $SARVI = (R_{NIR} - R_{rb}) / (R_{NIR} - R_{rb} + L)$ L = soil adjustment factor	Soil adjusted and atmospherically resistant vegetation index. Blue and red bands at 482 and 665 nm, respectively. $\gamma = 0.9$, $L = 0.5$	Kaufman and Tanre 1992, Huete <i>et al.</i> 1994.
NDWI	$(R_{860} - R_{1240}) / (R_{860} + R_{1240})$	Normalised difference water index is sensitive to changes in liquid water content of vegetation canopies. Gao (1996) showed that NDWI is less sensitive to atmospheric effects than NDVI	Gao 1996.

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Table 2

Green grass/herb biomass data for 2004 and 2005 collected in Majella National Park, Italy

year	N	Mean ($g\ m^{-2}$)	SD	Minimum	Maximum
June/July 2004	47	768	366	200	1750
June 2005	42	774	369	210	2010

551 N = number of samples, SD = standard deviation

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Table 3
Best NDVI combinations for predicting grass/herb biomass in the Majella National Park, Italy for 2004 and 2005. R^2 = coefficient of determination.

Near-infrared wavelength (nm)	Red or far-red wavelength (nm)	R^2
<i>2004 HyMap image</i>		
786	695	0.56
801	695	0.56
771	695	0.56
756	695	0.56
816	695	0.56
<i>2005 HyMap image</i>		
786	740	0.64
801	740	0.64
771	740	0.62
756	740	0.62
879	725	0.62

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Table 4
Overall best NDVI combinations for predicting grass/herb biomass in the Majella National Park, Italy for both 2004 and 2005. They are classified according to decreasing difference in the coefficients of determination (R^2) between 2004 and 2005 for combinations that yielded high correlations ($R^2 \geq 50$) for both years.

Near-infrared wavelength (nm)	Red or far-red wavelength (nm)	R^2	
		2004	2005
786	725	0.55	0.58
801	725	0.54	0.59
756	740	0.51	0.62
771	740	0.51	0.62
786	740	0.50	0.64

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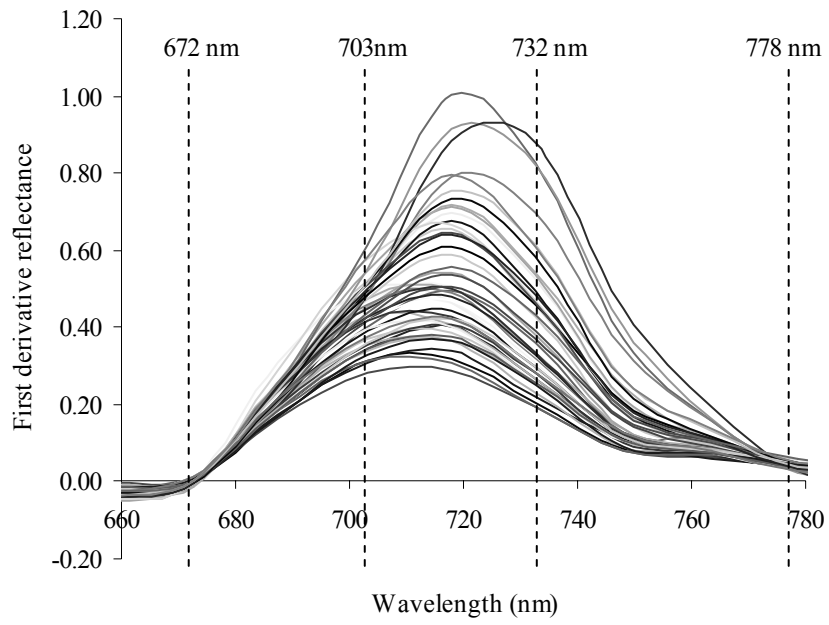
Table 5

A comparative analysis of the performance of vegetation indices and red-edge position (REP) extracted by three methods for predicting grass/herb biomass using HyMap images. The images were acquired over Majella National Park, Italy in the summers of 2004 and 2005. R^2 and RMSECV denote the coefficient of determination and the root mean square error of leave-one-out cross validation, respectively.

<i>2004 HyMap image</i>	Linear regression model	R^2	RMSECV (g m ⁻²)	Prediction error (RMSE) based on 2005 model
NDVI (831 & 665 nm)	- 758.8 + 2328.7 NDVI	0.55	255	301
NDVI(786 & 695 nm)	- 455.7 + 2326.6 NDVI	0.56	252	298
NDVI (786 & 740 nm)	- 425 + 17522 NDVI	0.50	264	273
NDVI (786 & 725 nm)	- 205.1 + 5786.8 NDVI	0.55	252	294
MSAVI	- 1791.5 + 1627.5 MSAVI	0.54	258	304
SARVI	- 283.2 + 1847.6 SARVI	0.55	255	290
NDWI	804.69 + 5729.6 NDWI	0.55	251	389
REP (linear interpolation)	- 146667 + 205131 REP	0.47	272	352
REP (three-point Lagrangian interpolation)	- 52499 + 74475 REP	0.50	265	266
REP (linear extrapolation)	- 27980 + 40498 REP	0.53	258	279
 <i>2005 HyMap image</i>	 Linear regression model	 R^2	 RMSECV	 Prediction error (RMSE) based on 2004 model
NDVI (831 & 665 nm)	- 744 + 2040.4 NDVI	0.32	319	361
NDVI(786 & 695 nm)	- 523 + 2121 NDVI	0.38	306	346
NDVI (786 & 740 nm)	- 697 + 20149 NDVI	0.64	231	349
NDVI (786 & 725 nm)	- 470.2 + 6393.5 NDVI	0.58	253	280
MSAVI	- 1703.4 + 1458.6 MSAVI	0.30	325	365
SARVI	- 270.5 + 1556.4 SARVI	0.31	322	356
NDWI	496.6 + 4866.4 NDWI	0.49	280	444
REP (linear interpolation)	- 181974+ 254570 REP	0.62	239	295
REP (three-point Lagrangian interpolation)	- 51651 + 73184 REP	0.56	258	254
REP (linear extrapolation)	- 33500 + 48110 REP	0.58	252	258

573 Note: All the relations were statistically significant at $p < 0.05$

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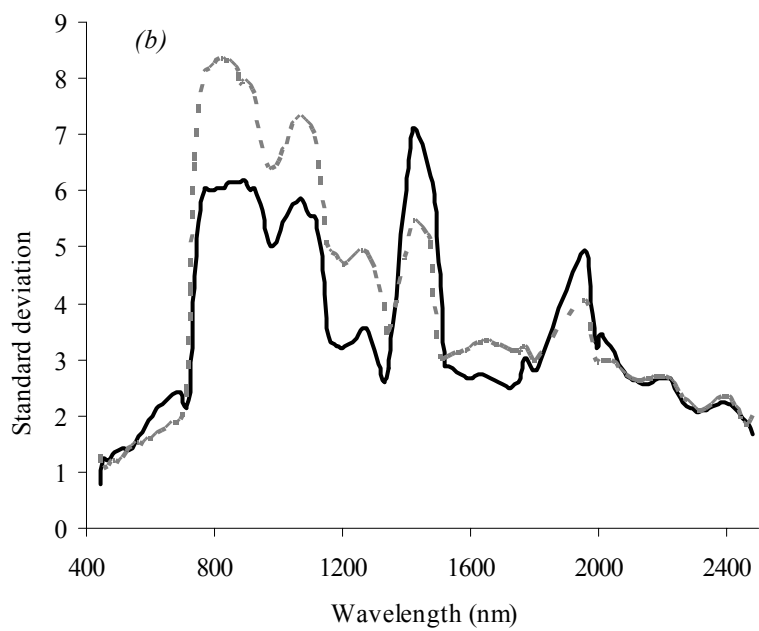
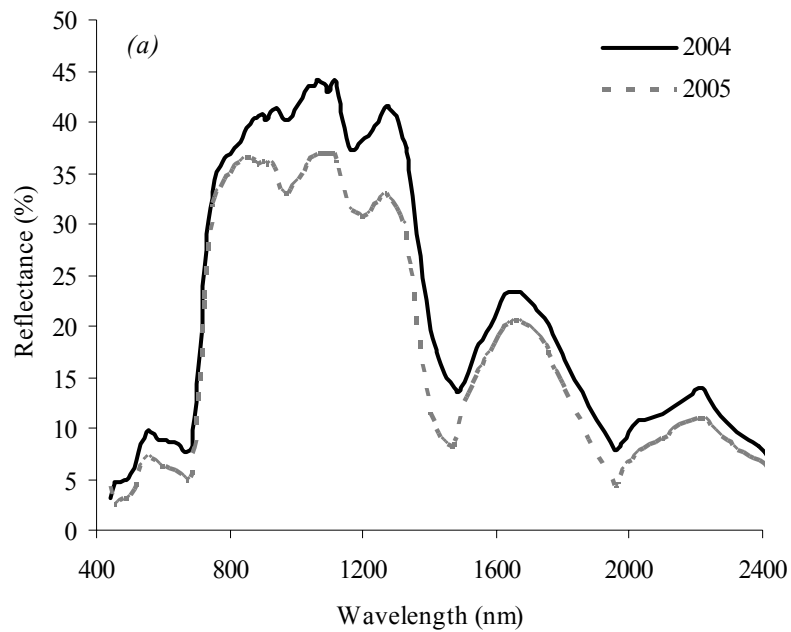
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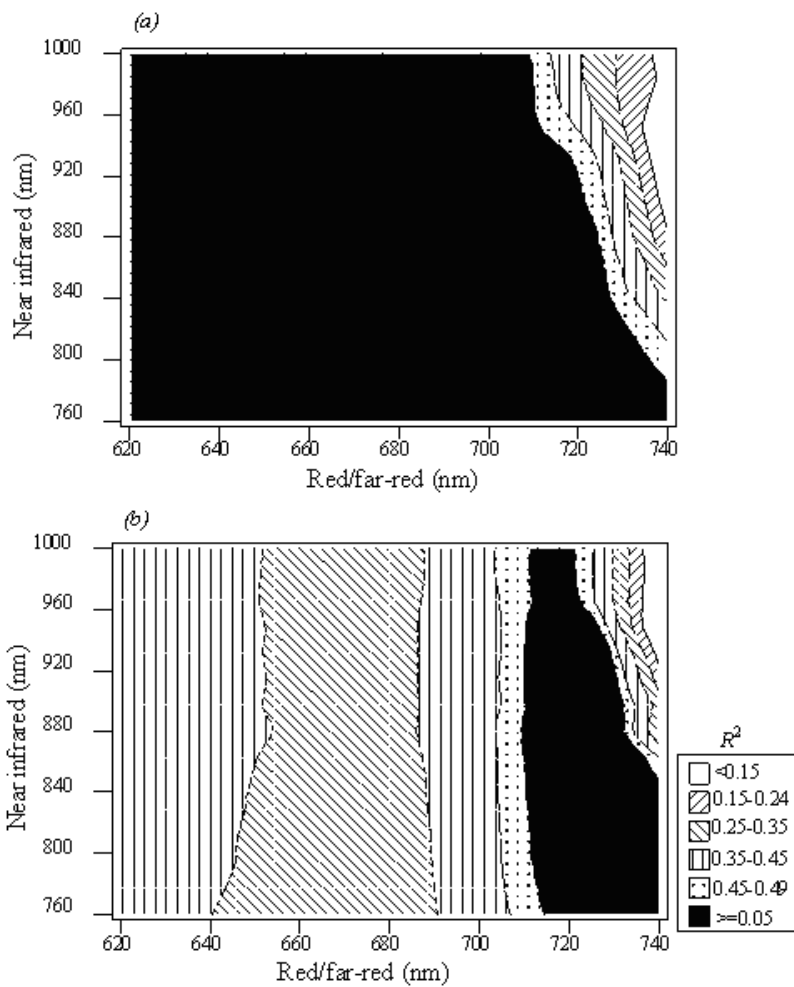
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Figure 1. First derivative spectra of 2005 sample plots showing bands used in the calculation of red-edge positions by the linear extrapolation method.

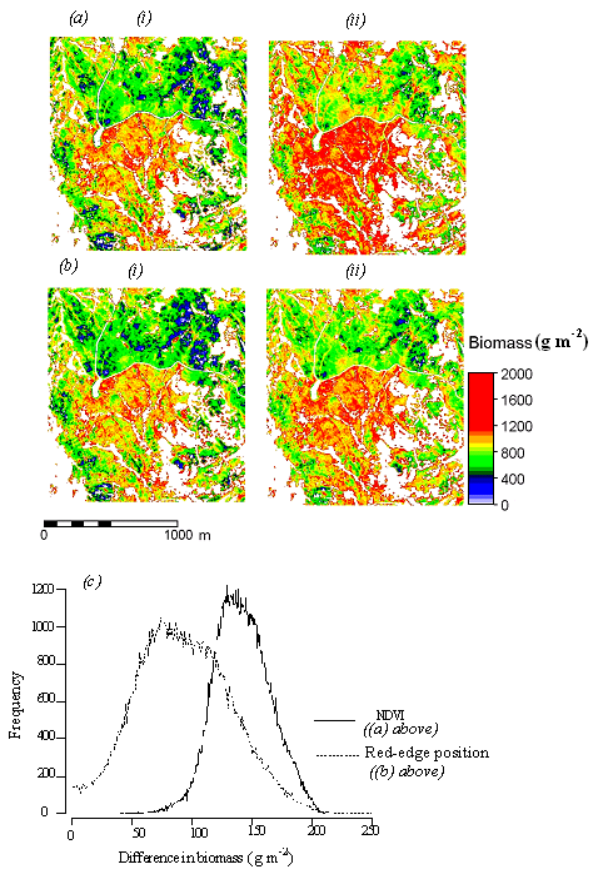


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 582 Figure 2. Mean reflectance spectra (a) and their corresponding standard deviations (b) for
 583 grass/herb plots extracted from HyMap images acquired over Majella National Park, Italy in mid
 584 and early July 2004 and 2005, respectively.



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 587 Figure 3. Contour plots showing the sensitivity (based on the coefficient of determination i.e. R^2) of
 588 the relations between Majella green grass/herb biomass and NDVIs calculated from all
 589 combinations of near-infrared (756 to 1000 nm) and red or far-red (600 to 740 nm) bands for (a)
 590 2004 and (b) 2005 HyMap images.

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Figure 4. Predicted green grass/herb biomass for a subset area of the 2005 HyMap image based on (i) 2005 and (ii) 2004 regression models for (a) NDVI (786 & 725 nm) and (b) red-edge position extracted by the linear extrapolation method. (c) Histogram showing the differences between (i) and (ii), i.e. number of pixels against difference in biomass.