

1 Tree species composition and diversity in Miombo woodlands between co-managed and
2 government-managed regimes, Malawi

3

4 Short running title

5 Miombo tree species composition and diversity

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26 **Abstract**

27 Comparative information on the composition and diversity in tree species associations in Miombo
28 woodland is limited. This study assessed how tree species associations across forest reserves of
29 Miombo woodland in Malawi varied in composition and diversity concerning site factors and
30 resource use disturbances under co-management versus government-management. Eighty nested
31 circular plots, randomly selected in ArcGIS, were sampled to record stem diameter at breast height
32 (DBH) of tree species: 0.04 ha for stems 5-29.9 cm DBH and 0.16 ha for stems ≥ 30 cm DBH. The
33 recorded 109 tree species grouped into communities and 14 sub-communities, using stem counts
34 by species in TWINSpan analysis. Sub-divisions to level 5 showed eigenvalues ≥ 0.3 , symbolising
35 the stability of sub-divisions. North/South sub-divisions related to site factors; historical/current
36 resource use influenced differences at levels 3 to 5. Species importance differed, indicating few
37 important species in each sub-community. *Brachystegia* and *Julbernardia* species showed
38 importance across sub-communities while *Uapaca sansibarica* in government-management.
39 Disturbances stimulated high species diversity. Recommendations include the need for a policy
40 review towards group-felling mature stands to stimulate regeneration and selective thinning of
41 suppressed stems in stand development stages to maintain species diversity, productive recovery,
42 diverse resource use-value, and monitoring of harvesting impacts.

43 **KEYWORDS:** Co-management, government-management, Importance values, Malawi, Miombo
44 woodland, species diversity, tree species composition

45

46

47 **1. INTRODUCTION**

48 Knowledge of the variation in the composition of tree species associations of a Miombo woodland
49 ecosystem can provide a baseline against which management impact can be measured. A forest
50 inventory can provide such baseline ecological information to assess management impact on tree
51 species dynamics (Geldenhuys, 2010; Matthews & Whittaker, 2015).

52 Different management regimes have been introduced for managing Miombo and also
53 improving livelihoods in Malawi (Government of Malawi, 2005, 2016). They include community
54 management of customary forests, government-management of forest reserves (FRs), co-
55 management of government-owned FRs, individual/household trees on farms, afforestation
56 (private, estate, community), and community involvement in government plantations. The Forest
57 Department is responsible for protecting government-managed FRs but has limited human and
58 financial resources (Government of Malawi, 2010, 2016). Products have been illegally harvested
59 from forest reserves in sub-Saharan Africa (Makeró & Kashaigili, 2016; Chichinye et al., 2019;
60 Gondwe et al., 2020). Co-management is an obligatory contract between the Forest Department
61 and communities to legally use products according to a management plan (Government of Malawi,
62 2005). Effective and sustainable woodland management requires relevant policies, governance,
63 participatory tools, capacity, and knowledge (Senganimalunje et al., 2015; Liu et al., 2017).
64 However, knowledge is lacking on how contractual agreements and management regimes impact
65 forest condition, tree species associations, common, rare, and over-exploited tree species
66 (Geldenhuys, 2014; Matthews & Whittaker, 2015).

67 Miombo woodland (Miombo) has important ecological functions (Kalaba et al., 2013;
68 Pullanikkatil et al., 2018; Handavu et al., 2019). In Malawi, the livelihood of most poor rural
69 people (85%) depends on woodlands (Government of Malawi, 2018; Munthali et al., 2019). Over-

70 exploitation, degradation and deforestation, and limited knowledge on resources management
71 (Rudel, 2013; McNicol et al., 2015) could lead to ‘The tragedy of the commons’ (Hardin, 1968,
72 1998) with negative impacts on such resources and the environment (Schwartz & Caro, 2003;
73 Giliba et al., 2011). However, most Miombo species sprout (Geldenhuys et al., 2013; Syampungani
74 et al., 2016); deforestation only occurs with de-rooting, and degradation being a temporary change
75 in stand structure (Geldenhuys, 2010; Gondwe et al., 2020). Criteria for effective sustainable
76 resource management include the use that does not negatively affect the resource base but should
77 improve the regeneration status of harvested tree species (Geldenhuys, 2010; Vinya et al., 2011;
78 Jew, 2016).

79 Several studies have assessed tree species composition of Miombo and Undifferentiated
80 woodland (Mwakalukwa et al., 2014; McNicol et al., 2015; Chichinye et al., 2019). Site condition
81 and disturbance-recovery processes underlie variation in the distribution and composition of tree
82 species associations, but such information is poorly understood (Geldenhuys, 2010; Munishi et al.,
83 2011) to support harvesting practices that mimic natural disturbance-recovery processes to which
84 the vegetation is adapted in stimulating regeneration of common and rare species (Geldenhuys,
85 2010).

86 Different plot shapes and sizes have been used to record most tree species and sizes, i.e.
87 rectangular (Chinangwa et al., 2017; Halperin, 2017) and nested circular plots (Geldenhuys, 2010;
88 Chichinye et al., 2019). Syampungani et al. (2016) used plotless sampling to record a fixed number
89 of stems (>30 stems) to cover regeneration and large trees of most species. Circular plots ease plot
90 establishment and minimise sampling errors (Chichinye et al., 2019). Nested plots optimise
91 reliable and cost-effective recording similar numbers of stems of different sizes of most tree
92 species, with a larger plot for fewer larger stems versus a smaller plot for abundant smaller stems

93 (Pearson et al., 2005). Chichinye et al. (2019) and Nyirenda et al. (2019) used nested circular plots
94 of 0.01 ha, 0.04 ha, and 0.2 ha, around the same mid-point, to respectively record regeneration
95 counts (stems <5 cm DBH (stem diameter at 1.3 m above ground level)), and trees of 5.0-29.9 cm
96 and ≥ 30 cm DBH.

97 Classification and ordination techniques identify tree species associations based on the
98 similarity-dissimilarity between component species (Assédé et al., 2012; Matthews & Whittaker,
99 2015; Chichinye et al., 2019). The ecological importance of species within associations is
100 calculated as Importance Value Index (IVI) based on their relative frequency, density, and basal
101 area (Jew, 2016; Gonçalves et al., 2017; Chichinye et al., 2019). IVI is affected by the number and
102 size of stems recorded, and the number of species included. Species abundance distributions
103 (SADs) have been used to visually display the ranking of species within species associations
104 (Magurran, 2004; Matthews & Whittaker, 2015). Jaccard Similarity Index has been used to
105 calculate the percentage of shared species between 2 management regimes (Yue & Clayton, 2005;
106 Chao et al., 2006). Such information is limited in comparing the effect of different resource
107 management regimes (Bhadra & Pattanayak, 2016).

108 The objective of this study was to assess the variation in the composition of associations
109 of Miombo tree species in terms of distribution, abundance, and diversity, and effect of the species
110 pool, site conditions, and land-use disturbances related to management regime on such variation.
111 The study questions were: (i) What differences exist in tree species pools between northern and
112 southern FRs in Malawi, and between FRs under co-management (CM) versus government-
113 management (GM)? (ii) What are the main tree species associations and indicator species for the
114 different identified communities and sub-communities? (iii) How do site factors and land use

115 disturbances (CM versus GM regimes) drive the variation in tree species composition, distribution,
116 and diversity of the identified associations?

117

118 **2. MATERIALS AND METHODS**

119 2.1 Study areas

120 Four FRs of Miombo woodland in Malawi were purposively selected to compare the variation in
121 tree species composition between CM and GM (Figure 1) (Hudak & Wessman, 2000; Banda et al.,
122 2015; Kamangadazi et al., 2016):

- 123 • Northern Malawi: Kaning'ina GM; 11° 27'S, 34° 07'E; 1200–2000 mm rainfall/year;
124 15,000 ha; including some evergreen forest species (Banda et al., 2015) and Perekezi (CM
125 in western part; 12° 03'S, 33° 37'E; 760–1270 mm rainfall/year; 15,370 ha), both gazetted
126 as FRs in 1935;
- 127 • Southern Malawi: Thambani (GM; 15°41'S, 34°27'E; 1042–1269 mm rainfall/year; 10,670
128 ha) and Liwonde (CM; 15° 06'S, 35° 24'E; 840–960 mm rainfall; 34,175 ha;), respectively
129 gazetted in 1927 and 1924.

130 Historically, all FRs were gazetted to conserve biodiversity and protect fragile woodland and water
131 catchments (Government of Malawi, 1996, 2016). CM of FRs started in 1999. Both management
132 regimes have been subjected to wood extraction, and Liwonde and Kaning'ina include patches of
133 cultivation (Figure 2) (Government of Malawi, 2010).

134 Figure 1

135 Figure 2

136

137 2.2 Sampling design, plot establishment, and data collection

138 A 500-m grid superimposed over each FR, using Google Earth and ArcGIS, was used to randomly
139 select 20 intersections as sampling points inaccessible parts of each FR (total of 80 plots; Figure
140 1) and were located in the field using a GPS 62sc.

141 Nested circular plots were used, with a large plot (0.16 ha; radius 22.6 m) to record stems
142 ≥ 30 cm DBH, and the main plot (0.04 ha; radius 11.28 m) to record stems 5.0-29.9 cm DBH. All
143 stems were recorded by species and DBH. It was assumed that trees with 5cm DBH could indicate
144 the regeneration. A Taxonomist from the National Herbarium, Zomba, Malawi, identified all the
145 species in the field, using ‘Trees of Malawi’ (Binns, 1972). Observed disturbances such as tree
146 cutting, charcoal production, and fire, were recorded for each plot.

147

148 2.3 Data analysis

149 2.3.1 *Tree species composition/pool of forest reserves*

150 All the tree species were listed by their botanical names, family, species code, and the total number
151 of stems recorded on plots in each FR (Appendix A). Species generally forming part of
152 Afromontane evergreen forest were indicated. Species codes used in all analyses were
153 abbreviations of botanical names in a standardised format (Geldenhuys, 2005). Author names of
154 species are only indicated in Appendix A, following the Royal Botanic Garden lists (Brummitt &
155 Powell, 1992) supplemented with updates listed in Van Wyk et al. (2011) and Burrows et al.
156 (2018).

157 Jaccard Similarity Index was calculated to compare the shared species listed in Appendix
158 A, between CM and GM FRs in the North and South, using formula 1 (Chao et al., 2006):

159 $S_j = a / (a + b + c) \dots \dots \dots 1$

160 S_j = Jaccard Similarity Index (%); a = species count in CM and GM, b = species count in CM, c =
161 species count in GM. An index value of $\geq 50\%$ was considered high.

162 A rare species in this study was based on an arbitrary total count of ≤ 4 stems recorded on all plots
163 across the 4 FRs in Appendix A.

164

165 2.3.2 Classification of tree species associations

166 Data from the 2 nested plots per sample point were pooled to use all stems ≥ 5 cm DBH as stem
167 counts per species per plot in a Two-Way INDicator SPecies ANalysis with TWINSPAN 2.3 (Hill
168 & Šmilauer, 2005), following procedures of Chichinye et al. (2019) and Nyirenda et al. (2019).

169 Ten plots were not used in the analysis; 5 plots had no DBH data and 5 had ≤ 2 stems. A species
170 x plot matrix with stem counts of all recorded tree species was condensed with CANOCO 4.5
171 (Cornell condensed format Windows version 2.3 program package). The TWINSPAN analysis
172 used pseudo-species cut levels of 0, 2 and 5 (1 = 1-2, 2 = 3-5, 3 = >5 stems per species per plot).
173 Eigenvalues ≥ 0.3 and the identified indicator species were considered ecologically important
174 (Hill, 1979).

175

176 2.2.3. Tree species Importance Values and their ranking across sub-communities

177 The IVI_i for species *i* in each sub-community was calculated as:

$$178 \text{IVI}_i = (\text{RF}_i + \text{Rdi} + \text{RBA}_i)/3 \dots\dots\dots 2$$

179 where RF_i (relative frequency of species *i*) was calculated as:

$$180 \text{RF}_i = 100 \times \text{Fi}/\text{TF} \dots\dots\dots 3$$

181 where Fi is the number of plots (frequency) in which species *i* is present, and TF is the sum of all
182 frequencies for all species.

183 R_{d*i*} (relative density of species *i*) was calculated as:

184 $R_{di} = 100 \times d_i / T_d$ 4

185 where *d_i* is the total number of stems of species *i*, and *T_d* is the total number of stems of all species;

186 RBA_{*i*} (relative basal area of species *i* was calculated as:

187 $RBA_i = 100 \times BA_i / TBA$ 5

188 where BA_{*i*} is the total basal area of species *i*, and TBA is the total basal area of all species.

189 Ranked importance distribution curves (RIDCs) (Matthews & Whittaker, 2015) plotted the
190 calculated IVI (as a percentage) for each species against its rank (highest to lowest IVI) within
191 selected sub-communities. RIDCs are a combination of the frequency, abundance, and tree size
192 (calculated as basal area) of each species across sub-communities (Table 1). Only 1 to 3 top-ranked
193 species in tables have been inserted in graphs to demonstrate stem abundance and mean DBH in
194 CM and GM sub-communities.

195

196 2.2.4. *Tree species diversity*

197 RIDCs have also been used to determine tree species diversity (Matthews & Whittaker, 2015) in
198 the identified sub-communities. Species richness was regarded as the number of species in a sub-
199 community. The species ranking demonstrates the abundance of each species. The curves, flatness,
200 and steepness explain the species distribution (evenness or no evenness) in CM and GM sub-
201 communities.

202

203 3. RESULTS

204 3.1 Tree species composition/pools across forest reserves

205 The 109 recorded tree species belong to 38 families, 87 species in GM FRs (Kaning'ina 58,
206 Thambani 52), and 69 in CM FRs (Perekezi 45, Liwonde 43) (Appendix A). The largest families
207 in this study (number of species between brackets) were Fabaceae (34, with 3 subfamilies,
208 Caesalpinioideae (17), Papilionoideae (12), and Mimosoideae (5), Combretaceae (7), Rubiaceae
209 (7) and Clusiaceae (4)). Twenty-seven families had only 1 species recorded each. Kaning'ina FR
210 (GM) included 8 tree species that are associated with Afromontane evergreen forest (Appendix
211 A). The 42 tree species recorded with ≤ 4 stems over all sampled plots, were considered as rare:
212 20 species in Kaning'ina (GM North) with 4 evergreen forest species; 9 species in Perekezi (CM
213 North); 11 species in Thambani (GM South); and 12 species in Liwonde (CM South).

214 The Jaccard Similarity Index of the number of shared species between CM FRs (23 unique
215 species in North and 16 in South) and GM (36 unique species in North and 25 in South) was lowest
216 in the North (27.2% of 81 species) than in the South (39.7% of 68 species), and for the combination
217 of North and South (45.0% of 109 species).

218

219 3.2. Classification of species associations

220 TWINSpan grouped the sampled plots into 4 communities and 14 sub-communities based on
221 similarity/dissimilarity of the number of stems of their species, up to level 5 sub-divisions. All
222 species recorded on the 70 plots were included in the TWINSpan table and subsequent analyses
223 (IVIs and RIDCs), but 23 species with 3 or fewer occurrences over 1 to 3 sub-communities, with
224 no clear pattern, were excluded to maintain the value of seeing the grouping, distribution, and
225 abundance of species driving the sub-divisions, across the identified sub-divisions on 1 page.

226 The blocked outlines highlight the grouping of key species determining the sub-divisions
227 (Table 1). The middle horizontal block shows a small group of species occurring across the 4
228 identified communities, linking the northern and southern groupings. Most species in Communities
229 1 and 2 (South) occur mainly in the upper left block (with further groupings between and within
230 the 2 communities) while in Communities 3 and 4 (North) most species occur mainly in the lower
231 right block (with further groupings between and within the 2 communities). The strength of each
232 sub-division, and eventual sub-communities, is determined by 1 or more species present in most
233 stands of a sub-division, becoming indicator species for the specific sub-communities, indicated
234 only by codes shown in Figure 2. For example, *Diplorhynchus condylocarpon* occurs in most
235 stands of Communities 1 & 2 (South) and *Brachystegia spiciformis* occurs in most stands in
236 Communities 3 & 4 (North), except in 4.2, causing the first sub-division at level 1; *Pterocarpus*
237 *angolensis* and *Dalbergia nitidula* are indicator species for 1.21GM, and *Terminalia sericea*
238 (stronger) and *Pericopsis angolensis* (weaker) are indicator species for 1.22CM. The upper, right
239 block shows very few to no stems of relevant species occurring in most stands in the upper, left
240 block. Similarly, the lower, left block (not outlined) shows few to no stems of relevant species
241 occurring in the lower, right block.

242 The dendrogram shows the sub-division of communities into sub-communities at 5 levels,
243 together with the eigenvalue (all >0.37, indicating stability) and indicator species at each sub-
244 division (where relevant) (Figure 3). Sub-communities 1.1 and 4.2, with 1 or 2 plots with very few
245 stems, were regarded as outliers and excluded, but their species were present within the other 12
246 sub-communities (where their species were present). The species in these plots were included in
247 the species pools and the Jaccard Similarity Index.

248

249 Table 1

250 Figure 3.

251

252 3.3. Tree species importance Values and their ranking across sub-communities

253 IVIs of the 109 tree species varied considerably across sub-communities with 26 species showing
254 a total IVI ≥ 1.0 across the 12 sub-communities (Table 2a). Of the 83 species, 41 species have an
255 IVI ≥ 2.0 in at least 1 sub-community (Table 2b), and 42 species have IVI ≤ 2.0 in any of the sub-
256 communities where they were present (Table 2c). Four species had a total IVI ≥ 5 : *B. spiciformis*
257 (total IVI 8.7) showed IVIs of 5.6-26.3 in 9 sub-communities in CM and GM, mostly in the North.
258 *B. longifolia* (total IVI 8.3) showed IVIs of 5.2-11.6 in 4 sub-communities of CM and GM, mostly
259 in the South; *U. sansibarica* (total IVI 7.8) was absent from the South but showed high IVIs of
260 12.0-41.7 in 4 of the 5 sub-communities of presence in the North (3 GM). *B. utilis* (total IVI 5.6)
261 showed IVIs of 6.6-16.6 in 2 sub-communities each in the South and North (CM and GM).
262 Several species showed a high IVI in 1 sub-community, with either medium to low IVI to absence
263 in other sub-communities (Table 2a):

- 264 • Species with medium to high IVIs in CM and GM sub-communities are North and South:
265 *Brachystegia longifolia*, *B. spiciformis*, and *B. utilis*; North: *Uapaca sansibarica*; South:
266 *Bauhinia petersiana*, *Brachystegia boehmii*, *B. bussei*, *Julbernardia globiflora*, and
267 *Pseudolachnostylis maprouneifolia*.
- 268 • Species with medium to high IVIs in CM sub-communities: North: *Brachystegia*
269 *floribunda*, *B. manga*, *B. microphylla*, *B. taxifolia*, *Dalbergia nitidula*, *Isoberlinia*
270 *angolensis*, *Julbernardia paniculata*, *Monotes africanus*, and *Syzygium guineense*; South:

271 *Combretum apiculatum*, *Diospyros kirkii*, *Lannea discolor*, *Senegalia galpinii*, *Strychnos*
272 *madagascariensis*, and *Terminalia sericea*.

- 273 • Species with medium to high IVIs in GM sub-communities: North: *Agarista salicifolia*,
274 *Faurea saligna*, and *Parinari curatellifolia*; South: *Annona senegalensis*, *Combretum*
275 *zeyheri*, *Diplorhynchus condylocarpon*, *Erythrina livingstoniana*, *Garcinia smeathiana*,
276 *Pericopsis angolensis*, and *Pterocarpus angolensis*.

277 Table 2.

278
279 The RIDCs show a sharp decline in relative importance up to ranks 2 to 3 (depending on sub-
280 community) while a more gradual decline is observed for ranks 8 to 12, and then a levelling out
281 with many species with very low relative importance. The table inserted within each Community
282 shows the species ranked 1 to 3 in each sub-community, the relationship between IVI value (in the
283 graph), stem number, and mean stem diameter (calculated from total basal area of all stems)
284 (Figure 4).

285

286 Figure 4

287

288 3.4. Tree species diversity

289 RIDCs show a similar pattern with inverted J-shaped species distributions for all sub-communities
290 with relatively flatter curves with high species richness and evenness in CM and GM sub-
291 communities. Most CM and GM sub-communities have 28-34 species with high evenness (shorter
292 distance between 2 adjacent species). In the South, sub-communities showed high species richness
293 and evenness. In communities 3 and 4 (North), the lowest species richness occurs in 3.11CM and

294 4.111GM (each 15 species) and 4.112GM (18 species) with low evenness showing a strong decline
295 (steep curve means 1 species is more dominant than others) from species rank 1 to 6. The dots
296 represent species.

297

298 **4. DISCUSSION**

299 4.1. Tree species composition/pools across forest reserves

300 The 109 recorded tree species varied in presence and abundance within each FR. Sharing of species
301 was low (27.2% of 81 species) between Kaning'ina (GM) and Perekezi (CM) in the North, but
302 relatively higher (39.7% of 68 species) between Thambani (GM) and Liwonde (CM), in the South.
303 The study is in line with the observation by Nyirenda et al (2019) who reported low tree species
304 similarity between GM FR's and communal Malawian Miombo woodland. GM FRs, North, and
305 South had more non-shared species than CM FRs. Differences in species pools between FRs are
306 attributed to differences in annual rainfall and landscape physiography. Sampling in Kaning'ina
307 covered the eastern (moister) side of the ridge and at Perekezi the western (drier) side of the ridge.
308 Topographical features in sampled parts differed between Thambani and Liwonde (section 2.1;
309 Figure 1). Differentiation between dry and wet Miombo is based on annual rainfall (Frost et al.,
310 2003) and anthropogenic disturbances. Therefore, the presence of several Afromontane evergreen
311 forest tree species in Kaning'ina FR (Appendix A) may be attributed to higher rainfall, cooler
312 slopes, and lower human disturbances (most plots were relatively intact, Table 1, Figure 3).
313 Wooded grassland developing into the evergreen forest via woodland due to the protection of
314 timber and fruit plantations against fire has been observed in South Africa (Geldenhuys & Venter,
315 2002). However, resource use may have contributed to lower species pools in CM FRs than in GM
316 FRs (North and South) (Figure 2).

317 The high numbers of Fabaceae species, 30 (27.5%) in CM and 25 (22.9%) in GM are dominated
318 by subfamilies Caesalpinioideae and Papilionoideae (Palgrave, 2002; Van Wyk et al., 2011;
319 Burrows et al., 2018), dominating broad-leaved Miombo and Undifferentiated woodlands, with
320 subfamily Mimosoideae dominating fine-leaved woodlands, an indication of their adaptive
321 potential in the area. The listed 9 *Brachystegia* species, 2 *Julbernardia* species, and *Isoberlinia*
322 *angolensis* are diagnostic species of Miombo woodland (White, 1983).

323 The 42 tree species recorded with ≤ 4 stems over all sampled plots (Appendix A) are not all
324 rare or threatened. Individual species could be naturally rare, or have been over-utilised, under-
325 sampled, or maybe sporadically present outside their natural habitat, or are becoming established
326 because of changed conditions. Each species with low abundance need to be assessed to identify
327 the truly rare species and which of those are threatened by uncontrolled use.

328

329 4.2. Classification of species associations

330 The sampled stands of tree species under different environmental factors and land use disturbances,
331 grouped into species associations, with sub-divisions showing eigenvalues ≥ 0.3 in management
332 regimes (Figure 3). This suggests that species associations of the sub-communities are ecologically
333 important and stable (Hill, 1979). The observation confirms the findings of earlier studies that
334 Miombo is a resilient and stable woodland ecosystem (Syampungani et al., 2016; Gonçalves et al.,
335 2017). Indicator species of identified sub-communities (Figure 3) support field observations that
336 one or more species are dominating each stand, despite utilisation intensity.

337 Level 1 and 2 sub-divisions, separating stands into Communities 1 and 2 (South) and
338 Communities 3 and 4 (North) are attributed to different species pools associated with differences
339 in rainfall and landscape physiography (section 4.1). Such variables, though not considered in the

340 design of the study, may override the influence of the 2 management regimes. In the South, each
341 community contains stands from both Liwonde (CM) and Thambani (GM). In the North,
342 Community 3 included 17 CM and 4 GM stands, and Community 4 included 13 GM stands and
343 one CM stand. The little overlap and differences in species composition between FRs at the
344 community level (Table 1) may be attributed to site differences (Munishi et al., 2011). This
345 suggests that the species pool and site variation need to be considered in assessing the impact of
346 management regimes in the Miombo.

347 The first management-based sub-division was in the North at level 3 (Figure 2), with
348 Community 3 separating into 3.1CM (with indicators *J. paniculata* and *L. discolor*) and 3.2GM
349 (with indicators *J. globiflora* and *P. curatellifolia*). The abundant presence of the latter 2 species
350 related to good regeneration after clear-felling Miombo, withstands in recovery stages after former
351 intensive utilisation, like higher densities of *Brachystegia* species in 3.11CM, 3.121CM and
352 3.122CM (Table 1).

353 In the South, the first management-based sub-division was at level 4 (Figure 3), splitting
354 into 1.21GM (indicators *Pterocarpus angolensis* and *D. nitidula*) and 1.22CM (indicators *T.*
355 *sericea* and *Pericopsis angolensis*). The 4 species are used for timber and poles, but they all
356 regenerate well after woodland clearing. Their higher abundance in some GM stands could relate
357 to resource use disturbances before gazettement. Currently, canopy closure may impede their
358 regeneration (Chichinye et al., 2019) as these are light-demanding species. Sub-community 2.1
359 sub-divided into 2.11CM (indicator species *Swartzia madagascariensis*) and 2.12GM (indicator
360 species *Brachystegia spiciformis* and *Pericopsis angolensis*). The 3 indicator species show
361 relatively low abundances.

362 Community 4 sub-divided at level 3 into 4.1GM (abundant *U. sansibarica*) and 4.2GM
363 (with several evergreen forest species). The frequent high abundance of *U. sansibarica* in 4.1 sub-
364 communities suggests young to intermediate regrowth after historical heavy resource use
365 (Chidumayo, 1997; Lowore, 1999). Pure and mixed stands of *U. sansibarica* occurred in former
366 abandoned cultivated and settlement areas, as evidenced by old ridging, and cemeteries. Field
367 observations indicated that *U. sansibarica* regenerates from seed in small gaps, thus supporting
368 observations at Dedza, Malawi, of its high stump mortality (Lowore, 1999). The presence of
369 several evergreen forest species was discussed in section 4.1. Typical Miombo species are evenly
370 distributed in Community 4, such as *Pericopsis angolensis* dominant in 4.12GM, and *B.*
371 *spiciformis* across all sub-communities.

372 Most species in southern stands (CM and GM FRs) show no to a limited presence in the
373 North (CM and GM FRs), and the same applies to species in the North (Table 1). For example,
374 *Brachystegia boehmii* and *B. bussei* are limited to the South, *B. floribunda*, *B. manga*, *B.*
375 *microphylla*, *B. spiciformis*, *B. taxifolia*, *B. utilis*, *Isoberlinia angolensis*, and *J. paniculata* are
376 limited to the North, and *B. longifolia* and *J. globiflora* occur in South and North. The distribution
377 patterns suggest that each species has specific ecological requirements, and their presence or
378 absence may not relate to specific resource use impacts. No information on-site variables were
379 collected, which could have helped to identify the site requirements of different species. This is
380 because the dominance of *Brachystegia* species mixtures with *Julbernardia* and/or *Isoberlinia*
381 species and other associated species depend on, site conditions (White, 1983; Chidumayo, 2013;
382 Lupala et al., 2015).

383

384 4.3. Tree species importance values and their ranking across sub-communities

385 Variation in IVIs (Table 2) needs to be interpreted using the frequency, abundance, and tree size
386 (calculated as basal area) of species across sub-communities (Table 1). This is demonstrated in
387 stem number and mean stem DBH for species ranked 1 to 3 with RIDCs (Figure 4). Species vary
388 in their importance in different stands; Figure 4 lists 20 species that were ranked in the top 3
389 important species across the 12 sub-communities. Each species IVI needs a more detailed
390 assessment to know whether the frequency of occurrence using Table 1, stem density, and/or tree
391 size contribute to its impact in the stand. A high stem density of smaller stems can cause higher
392 intra-specific competition and exclusion of other species. Many large trees may affect light
393 conditions in the understory. For example, many *B. bussei* stems (36.8 cm mean DBH) dominate
394 1.21GM, with fewer, smaller stems for species ranked 2 & 3. Few, small stems (11.5-15.5 cm
395 mean DBH) of *D. condylocarpon*, *C. apiculatum*, and *S. madagascariensis* dominate 2.11CM, but
396 2.12GM and 2.2GM have large trees but they differ in stem number. Different *Brachystegia* and
397 *Julbernardia* species mostly dominate Community 3 sub-communities, but the 3 CM sub-
398 communities have smaller stems at high density, and the GM sub-community has a lower density
399 of large trees. *U. sansibarica* has mostly small stems (<20 cm DBH) in 4.1GM sub-communities,
400 with high stem numbers in 4.111GM (few larger stems of *Faurea saligna* and *P. curatellifolia*).
401 Individual species may be associated with differences in site conditions (not studied), or strong
402 sprouting response after cutting or stages of recovery after different intensities of disturbance
403 (Geldenhuys, 2010). The higher density and ecological importance of several species in CM and
404 GM sub-communities relate to stages of woodland recovery after historical and recent resource
405 use (Geldenhuys, 2014; McNicol et al., 2015).

406

407 4.6 Tree species diversity

408 The RIDCs relatively inverted J-shaped and flatter curves (CM, GM, South), and the more inverted
409 J-shaped (CM and GM) (North) (Figure 4) show patterns in many natural multi-species
410 communities. Some sub-communities, CM, and GM (South, North) show high species richness
411 and evenness with 1-3 ranked species showing relatively high abundance (Figure 4). These results
412 are associated with early woodland recovery (section 4.3) following disturbances (Figure 2).
413 Mostly illegal activities have created a conducive environment for the proliferation of many
414 species. In the North, the RIDCs with steep inverted J-shapes and 1 to 6 ranked tree species in
415 3.11CM, 4.111GM, and 4.112GM, showed high abundance and dominance; indicating low species
416 richness and evenness. This pattern is common in mature woodlands suggesting low disturbances
417 (Figure 4). With most canopy species being intolerant of shade, only the faster-growing trees will
418 remain in the canopy, and stems of other species become suppressed or die, and species become
419 dormant. Many species would regenerate with the clearing of the stand with good light conditions.
420 Group-felling as with slash-and-burn traditional cropping systems and charcoal production would
421 stimulate abundant and diverse species regeneration (Figure 2c) as also shown by Syampungani et
422 al. (2016) and Chichinye et al. (2019).

423

424 **5. CONCLUSIONS**

425 The differentiation of tree species associations based on the distribution, abundance, and diversity
426 of their species was influenced by available species, site factors, and recovery from resource use
427 impacts under the 2 studied management regimes. Species similarity between management
428 regimes was low. Additionally, species varied in importance in the identified communities and
429 sub-communities. Site differences influenced the variation in the composition of the identified

430 communities and sub-communities. Impacts of co-management and government-management are
431 important at levels at which resource users operate to harvest timber, poles, firewood, and charcoal,
432 and cultivate crops. Species importance ranking emphasised that few important species differed
433 between co-managed and government-managed sub-communities. However, *Brachystegia* and
434 *Julbernardia* species were dominant across CM and GM sub-communities while *Uapaca*
435 *sansibarica* dominated in the government-management regime. The high species diversity in most
436 sub-communities are associated with disturbances. The information suggested that regeneration
437 after historical and current intensive resource use facilitated the recovery of the harvested tree
438 species. Miombo resilience and stability in disturbed and undisturbed areas could form the basis
439 for combining the continued flow of products and services with maintaining tree species
440 communities.

441 Information obtained emphasises the need for appropriate disturbances, rather than
442 protection, to maintain tree species diversity while recovering under resource use. This requires a
443 policy review to improve resource use management. Regeneration of most Miombo canopy species
444 targeted for resource use needs some disturbance. This requires a management system that
445 provides for group-felling of mature stands to stimulate regeneration with better light conditions,
446 and selective thinning of suppressed, damaged and deformed stems in stand development stages.
447 Such a system will maintain species diversity, productive woodland recovery, and sustainable
448 production of poles and timber of different dimensions. This also needs monitoring of harvesting
449 impacts.

450

451

452

453 **ACKNOWLEDGEMENTS**

454 Financial support to the first author from the Malawi Government Scholarship Programme and the
455 African Forest Forum is acknowledged with thanks. We thank all who participated in this study.
456 Special thanks are extended to anonymous Reviewers who provided valuable feedback towards
457 the improvement of this manuscript.

458

459 **CONFLICT OF INTEREST**

460 The authors declare that they have no financial or personal relationships which may have
461 inappropriately influenced them in authoring this article.

462

463 **AUTHOR CONTRIBUTION**

464 M. F. K. Gondwe is a PhD student (University of Pretoria) responsible for research design, data
465 collection, analysis, and wrote the manuscript.

466 C. J. Geldenhuys contributed to data collection, guided the analysis and interpretation, and
467 reviewed the manuscript.

468 P. W. C. Chirwa and M. A. Cho contributed to the research design and reviewed the manuscript.

469 E. S. P. Assédé and S. Syampungani reviewed the manuscript.

470

471 **DATA AVAILABILITY STATEMENT:** Data is available within the article and/or its
472 supplementary materials.

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474

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632

633 **Figure legends**

634 Figure 1. Location of the studied forest reserves, Kaning'ina and Perekezi in northern Malawi, and
635 Thambani and Liwonde in southern Malawi. Sampled plots are indicated as dots. The eastern part
636 of Perekezi was excluded from the study.

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639 Figure 2. Examples of resource use within the different forest reserves, impacting on the condition
640 of co-managed (CM) and government-managed (GM) Miombo woodland, Malawi.

641 (a) Cutting trees for timber, and later for fuelwood in Kaning'ina (GM); (b) Clearing of trees in
642 patches to grow maize in Liwonde (CM); (c) Two stages of woodland recovery in Liwonde,
643 showing good sprouting of different tree species in crop fields, and development towards regrowth
644 woodland in the background; (d) Confiscated off-loaded illegally cut stems in Thambani (GM);
645 (e) Stems in Perekezi (CM) ready for making charcoal; (f) A charcoal kiln in Perekezi with an
646 insert of mature charcoal.

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649 Figure 3. Dendrogram of the TWINSPAN sub-division to level 5 of plots (stands) sampled in 4
650 co-managed and government-managed forest reserves in Miombo woodlands in northern and
651 southern regions of Malawi.

652 Note: Eigenvalue and number of plots involved are shown at each sub-division. Indicator species
653 (maximum 2) are indicated for each branch of a division. Plot codes in boxes below sub-
654 community names indicate the following: Co-management (c = plots in South, cc = plots in North);
655 government-management (g = plots in South; gg = plots in North); Disturbance level is indicated
656 as I = Intact, S = Selectively harvested, C = Clear-felled, G = Grassland fires. See Appendix A for
657 the full names of species. Number at end of species code = level of presence by stem density of
658 indicator species: 1 = 1-2 stems/plot, 2 = 3-5 stems/plot, and 3 = >5 stems/plot.

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661 Figure 4: Rank importance distribution curves (RIDCs) for tree species within each sub-
662 community of each community, allowing comparisons between co-managed (CM) and
663 government-managed (GM) forest reserves with Miombo woodland, Malawi. The table inside
664 each community diagram shows the top-ranked 3 species, with the number of stems and mean
665 DBH for the selected tree species in each sub-community.

666 Note: the number of species in each sub-community is shown in legend after the name of each sub-
667 community.

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670 Table 1. Grouping of plots (columns) based on the distribution and abundance of tree species (rows), into 14 sub-communities,
 671 showing differences between co-managed (CM) and government-managed (GM) regimes.

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99	Stry	mad	-----	1-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	00111
33	Catu	obo	-----	1-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	0100
69	Lann	dis	-----	3121-	21212-2-21	--111-	2-2-----	-----	-----	-----	-----	-----	-----	0100
114	Xime	ame	-----	1-1-1-	-----	-----	-----	-----	-----	-----	-----	-----	-----	0100
45	Dalb	nit	-----	122-3-	-----	-----	-----	-----	-----	-----	-----	-----	-----	0101
67	Julb	glo	-----	12-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	0101
42	Cuss	arb	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	0110
108	Uapa	kir	-----	2-2-2-	-----	-----	-----	-----	-----	-----	-----	-----	-----	0111
109	Uapa	nit	-----	2-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	1000
84	Peri	ang	-----	1-11-1-	-----	-----	-----	-----	-----	-----	-----	-----	-----	1001
21	Brac	lon	-----	21-2-	2322--	1-1-----	-----	-----	-----	-----	-----	-----	-----	101
55	Eryt	liv	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	101
38	Comb	mol	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	11000
20	Brac	flo	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110010
22	Brac	man	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110011
26	Brac	uti	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110011
23	Brac	mic	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
25	Brac	tax	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
66	Isob	ang	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
68	Julb	pan	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
73	Mono	afr	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
96	Senn	pet	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	110100
77	Ochn	sch	-----	1--1--	-----	-----	-----	-----	-----	-----	-----	-----	-----	110101
59	Ficu	syc	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	11011
57	Faur	roc	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	11100
58	Faur	sal	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	11100
3	Agar	sal	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
11	Anti	ven	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
65	Haru	mad	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
89	Psyc	mah	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
103	Syzy	gui	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
110	Uapa	san	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111010
71	Marg	dis	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111011
82	Pari	cur	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	111011
24	Brac	spi	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	1111
	00	00000000	0000000000	00000000	0000	0000000	1111	111111111	11111	1111	11111	1111	1111	1
	00	00000000	0000000000	111111	1111	111111	0000	000000000	00000	0000	11111	1111	1111	1
	00	11111111	1111111111	000000	0000	111111	0000	000000000	00000	1111	00000	0000	0000	1
		00000000	1111111111	000000	1111	000001	0000	111111111	11111	0001	00000	0000	1111	
		00001111	0000000011	011111	0001	00011	0011	000000000	11111	0000	1111	0001		

Sub-community 1.1 1.21 1.22 2.11 2.12 2.2 3.11 3.121 3.122 3.2 4.111 4.112 4.12 4.2

741 Region: S = Southern forest reserves (FRs), N = Northern FRs; c = CM FRs (South), cc = CM FRs (N), g = GM FRs (South), gg
 742 = GM FRs (North); Disturbance: C = Clear-felled, I = Intact, S = Single-tree harvesting, G = Grassland fires. See Appendix A for
 743 complete species names of 8-digit species codes in column 3. Level of presence: No number = none, 1 = 1-2, 2 = 3-5, 3 = >5 stems
 744 plot¹. The boxed lines highlight species groupings (see text). The following 23 species that occurred with ≤3 occurrences in 1 to 3
 745 sub-communities, with no pattern, were deleted from the body of the table: *Albizia versicolor*, *Allophylus africanus*, *Brackenridgea*
 746 *zanguearica*, *Bridelia bridelifolia*, *B. micrantha*, *Craterispermum schweinfurthii* *Combretum collinum*, *Coptosperma*
 747 *neurophyllum*, *Dalbergia boehmii*, *D. melanoxyton*, *Ekebergia benguelensis*, *Erythrina abyssinica*, *Mangifera indica*, *Philenoptera*
 748 *bussei*, *Piliostigma thoningii*, *Psorospermum febrifugum*, *Rothmannia engleriana*, *Securidaca longepedunculata*, *Strychnos*
 749 *spinosa*, *Turraea nilotica*, *Vangueria infausta*, *Vernonia amygdalina* and *Vitex doniana*.

751 Table 2: Importance Value Index (IVI, as %) of each tree species across the identified sub-communities belonging to
 752 government-managed (GM) and co-managed (CM) forest reserves. Species are arranged by total IVI values, in
 753 descending order (IVI ≥10 = high (indicated in bold), 5.0-9.9 = medium, 2.0-4.9 = low, 0.1-1.99 = very low).

Species code (See Appendix A for full names)	Sub-communities													Total IVI
	1.21 GM	1.22 CM	2.11 CM	2.12 GM	2.2 GM	3.11 CM	3.121 CM	3.122 CM	3.2 GM	4.111 GM	4.112 GM	4.12 GM		
(a) Species with total IVI of ≥1.0 across all sub-communities														
Brac spi	2.7	-	-	5.8	9.1	5.6	1.3	12.7	16.2	8.2	8.3	26.3	8.7	
Brac lon	5.2	8.6	4.8	11.6	2.5	2.4	2.3	3.7	5.2	-	-	-	8.3	
Uapa san	-	-	-	-	-	-	-	12.0	3.9	41.7	27.1	16.5	7.8	
Brac uti	-	6.6	-	-	9.5	-	16.6	1.2	7.4	-	-	-	5.6	
Brac bus	34.6	2.2	7.7	-	-	-	-	-	-	-	-	-	4.6	
Julb pan	-	-	-	2.7	-	5.1	1.6	15.8	-	-	-	1.8	4.1	
Brac mic	-	-	-	-	-	41.1	-	-	-	-	-	-	3.9	
Pari cur	0.8	-	-	-	2.6	2.9	-	3.4	11.6	1.7	6.2	5.3	2.9	
Peri ang	-	2.4	-	7.1	6.3	-	2.8	-	1.9	-	-	1.3	2.6	
Julb glo	-	5.3	3.7	2.0	5.5	-	0.9	1.0	2.3	-	-	-	2.5	
Lann dis	4.2	5.0	3.8	1.5	1.8	2.2	4.5	2.0	-	-	-	-	2.5	
Pseu map	8.0	8.8	-	2.8	2.5	-	1.4	-	1.4	-	-	-	2.2	
Dipl con	5.1	4.4	1.2	6.4	1.5	-	-	-	-	-	-	-	2.0	
Brac tax	-	-	-	-	-	14.5	-	6.2	-	-	-	-	1.9	
Faur sal	0.8	-	-	-	-	3.8	1.6	-	-	14.4	1.6	1.9	1.8	
Brac boe	-	0.5	7.8	5.3	5.4	-	1.5	-	2.7	-	-	-	1.7	
Mono afr	-	1.4	-	-	-	6.5	3.4	3.7	-	2.0	-	-	1.7	
Pter ang	6.4	0.5	1.2	6.9	3.5	-	-	1.4	1.3	-	-	-	1.6	
Agar sal	-	-	-	-	-	-	-	-	-	-	19.1	5.3	1.5	
Brac flo	-	-	-	-	2.3	-	0.9	9.0	-	2.9	-	-	1.5	
Eryt liv	-	-	-	-	5.5	-	3.2	3.7	-	-	-	-	1.4	
Dalb nit	4.5	1.2	1.4	1.0	-	6.7	-	-	2.6	-	1.5	-	1.2	
Brac man	-	-	2.2	-	-	-	6.4	-	-	-	-	-	1.2	
Bauh pet	-	1.9	7.8	5.3	-	-	-	-	-	-	-	-	1.0	
Comb mol	-	-	-	0.9	1.9	2.3	2.2	1.6	1.3	-	-	1.2	1.0	
Faur roc	-	-	-	-	-	1.7	0.8	3.4	-	4.5	3.9	-	1.0	
(b) Species (41) with total IVI <1.0, but with IVI ≥2.0 in at least one sub-community, indicated with IVI value and in which sub-community (between brackets): Albi ant 2.2 (3.11 CM), Albi ver 2.2 (2.11 CM), Allo afr 2.3 (2.2 GM), Anno sen 2.0 (2.2 GM), 6.7 (2.12 GM), Anti ven 2.5 (4.12 GM), Aphl the 3.6 (4.112 GM), Brac zan 2.2 (4.12 GM), Brid bri 3.0 (3.2 GM), Brid cat 2.6 (1.22 CM), Burk afr 2.4 (1.21 GM), 3.6 (2.12 GM), Burt nya 3.3 (1.21 GM), Comb api 9.3 (2.11 CM), Comb col 2.2 (2.12 GM), Comb zey 6.3 (2.12 GM), Copt neu 2.5 (1.21 GM), Cuss arb 2.0 (2.12 GM), Dalb nya 2.7 (1.21 GM), 2.9 (2.11 CM), 4.3 (1.22 CM), Dich cin 2.7 (2.12 GM), Dios kir 6.8 (1.22 CM), Dios zom 2.9 (3.2 GM), Garc sme 12.6 (4.112 GM), Garc buc 2.1 (3.2 GM), Isob ang 5.4 (3.122 CM), Mang ind 3.4, (3.2 GM), Marg dis 3.7 (3.2 GM), Mund ser 2.0 (1.21 GM), Ochna sch 2.5 (3.122 CM), Olax obt 3.3 (1.22 CM), Pedd afr 3.8 (4.112 GM), Phil bus 3.2 (2.11 CM), Pili tho 2.0 (2.2 GM), Pter rot 2.5 (2.12 GM), Roth eng 2.1 (3.2 GM), Sene gal 6.8 (2.11 CM), Stry mad 9.1 (2.11 CM), Syzy cor 2.6 (4.12 GM), 2.6 (3.121 GM), Syzy gui 5.0 (4.12 GM), Term ser 2.8 (3.2 GM), 5.2 (1.22 CM), Turr nil 2.8 (2.11 CM), Uapa kir 2.0 (1.21 GM), 2.1 (4.111 GM), 2.9 (4.12 GM), Uapa nit 4.2 (4.111 GM)														
(c) Species (42) with total IVI <1.0, and all IVI values <2.0 in all sub-communities were present: Anis nat, Apod dim, Azan gar, Brid mic, Catu obo, Comb ade, Comb mos, Crat sc, Dalb boe, Dalb mel, Domb rot, Ekeb ben, Eryt aby, Eryt ema, Euca ter, Ficu syc, Flac ind, Frie obo, Garc hui, Gymn bux, Haru mad, Mult cra, Neob afr, Ormo kir, Ozor ins, Prot pet, Psor feb, Psc mah, Rapa mel, Secu lon, Senn pet, Steg ara, Sten kun, Stry spi, Swar mad, Vach amy, Vang inf, Vern amy, Vite don, Xime ame, Zahn afr and Zizi muc.														

756 Appendix A: Tree species names, families, species codes, and the number of stems per species
757 recorded in each sampled forest reserve (FR) under co-management (CM) and government-
758 management (GM) in Miombo woodland in Malawi.

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