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Abstract Laser additive manufacturing is a direct energy deposition process which manufactures components from 3D model data in progressive layers until a whole part is built as opposed subtractive manufacturing. However, during the procedure, the deposits are subjected to rapid thermal stresses which adversely impact the integrity of the built component. High entropy alloys are materials with complex compositions of multiple elements. Traditionally, these alloys are fabricated using casting and other machining processes, with a recent interest in the use of laser deposition as a possible manufacturing process. To optimize process parameters of high entropy alloys melted on a steel plate, the influence of preheating temperature on the overall quality, microstructure and hardness behaviour of the alloys for aerospace applications were investigated. In this research, 9 samples of AlCoCrFeNiCu and AlTiCrFeCoNi high entropy alloys were fabricated using different laser parameters. The phases, chemical composition, micro-hardness and structural morphologies were characterized with XRD, EDS, Vickers Microhardness tester and SEM respectively before and after preheating the base plates at 400 °C. Experimental results show extensive cracking on all the samples without preheating while after preheating all samples were observed to be crack-free. Although, there were no variations on the dendritic structures in the optical micrographs with and without preheating temperature, there were notable changes in the phases and hardness behaviour of the alloys showing that preheating the base plate from 400 °C significantly influences the mechanical properties of additive manufactured high entropy alloys and contributes to the elimination of cracks induced by thermal stresses.

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Keywords Base plate preheating - High entropy alloys - Laser additive manufacturing - Optimal parameters - Thermal stresses

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# Fabrication and Hardness Behaviour of High Entropy Alloys



Modupeola Dada, Patricia Popoola, Ntombi Mathe, Sisa Pityana, Samson Adeosun and Thabo Lengopeng

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 3 is built as opposed subtractive manufacturing. However, during the procedure, the  
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 12 entropy alloys were fabricated using different laser parameters. The phases, chemical  
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 15 heating the base plates at 400 °C. Experimental results show extensive cracking on  
 16 all the samples without preheating while after preheating all samples were observed

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20 ing the base plate from 400 °C significantly influences the mechanical properties  
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22 cracks induced by thermal stresses.

23 **Keywords** Base plate preheating · High entropy alloys · Laser additive  
24 manufacturing · Optimal parameters · Thermal stresses

## 25 Introduction

26 Manufacturing defects are flaws or irregularities in a component attributed to errors  
27 in production from surface quality issues, misalignments, dislocations, pores, alu-  
28 minium oxides and residual stresses [1]. The laser additive technology which uses a  
29 laser beam contrary to other conventional methods is associated with minimal distor-  
30 tions after production ascribed by the control of heat contributed and energy supplied  
31 [2, 3]. The rate of melting and cooling are high, which results in solid solution phases  
32 and an excellent microstructure [4]. However, non-uniform strength existing in the  
33 heat-affected zone and the deposition bed is prone to develop internal stresses [5,  
34 6]. These stresses depend on cooling rates, thermal expansion coefficients, phase  
35 transformations and the elastic behaviour of the material. The defect occurs when no  
36 external load after thermal treatments of the final component exists and this deterio-  
37 rates the dimensional accuracy, corrosion and fatigue resistance [7]. Thus, generating  
38 a large strain, which results in cracks through the heat gradient from the deposits to  
39 the base plate [8]. Therefore, to minimize the high-temperature gradient, preheating  
40 of the base material is used. Zumofen and Beck [9] studied the impact of preheating  
41 temperature in selective laser melting (SLM) due to deformation and crack formation  
42 observed when fabricating carbon content steels and the authors suggested that heat-  
43 ing the base material is a likely approach to reduce internal stresses and temperature  
44 gradients. Using the same technique, Malý and Höller [10] fabricated Ti6Al4V and  
45 Casati and Hamidi Nasab [11] fabricated AlSi10Mg Alloy with both authors recom-  
46 mending high preheating temperatures as a significant parameter. Danlos and Costil  
47 [12] proposed using pulsed laser irradiation to remove film contamination which  
48 had little effect on the substrate surface. However, preheating the substrate was dis-  
49 tinguished to be important for better results. In a study to improve the property of  
50 stainless steels by surface treatments, Aghasibeig and Fredriksson [13] and Zhang  
51 and Shi [14] demonstrated how energy deposition method is the most proficient  
52 technique and preheating is a remedy to prevent cracks after deposition. Preheating  
53 drives moisture and contaminants reducing the rate at which the part cools down thus  
54 preventing locked-in stresses which can inhibit crack formation [15]. Cracking not  
55 only disconnect the coating from the mounting plates but also limits the optimization

56 of the process parameters and its application. Materials processed using laser addi-  
 57 tive manufacturing technology must have good weldability, whilst avoiding cracks  
 58 during rapid solidification and limited study has been done on preheating while using  
 59 direct energy deposition technique in fabricating high entropy alloys(HEAs). In this  
 60 study, the effect of preheating the plates as a solution to cracking during optimiza-  
 61 tion of laser parameters on the microstructural and hardness properties of HEAs  
 62 by laser deposition was investigated to understand the fundamental susceptibility of  
 63 high entropy alloys to cracking and the methods of stress reduction.

## 64 Materials and Methods

65 Materials for the laser cladding process was prepared by mixing commercial 16.6w%  
 66 Al, Co, Cr, Fe, Ni, Cu and 16.6w% Al, Ti, Cr, Fe, Co, Ni elemental powders with  
 67 a purity higher than 99.6% purchased from F. J. Brodmann & CO., L.L.C. Tables 1  
 68 and 2 shows the chemical composition of the HEA powders as delivered by the man-  
 69 ufacturer. Before cladding, sandblasting the surface of the base plate to be coated  
 70 was achieved to minimize reflectivity to the laser radiation and improve the bonding  
 71 strength between each alloy and the plate. Figure 1 shows the preheating furnace  
 72 fixed at 400 °C, a temperature at the furnace's capability with the base plates in an  
 73 electrically operated platform before the deposition procedure. The different compo-  
 74 sitions were fabricated with and without preheating by keeping the beam diameter,  
 75 carrier gas and powder federate constant at 2 mm, 2 l/min and 2 g/min, respectively.  
 76 While the laser power and scan speed were varied from 1200 to 1600 W at 8–12 mm/s  
 77 for AlCoCrFeNiCu high entropy alloy [16] and AlTiCrFeCoNi HEA had a varia-  
 78 tion from 1400 to 1600 W at 8 and 12 mm/s with a 50% overlap. Figure 2 shows a  
 79 continuous-wave ytterbium laser beam system (YLS) used to produce the alloys with  
 80 a fitted robotic arm comprising both a nozzle and powder feeding system controlled  
 81 by a computer-aided design (CAD) software system.

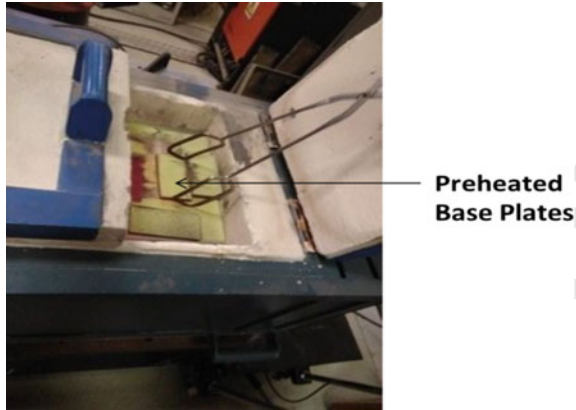
82 Tables 3 and 4, shows the laser parameters for this study. These were taken out  
 83 from previous studies during the optimization process of parameters in terms of  
 84 cladability of the HEAs and crack-free microstructures.

**Table 1** Chemical composition of AlCoCrFeNiCu HEA in atomic percentage

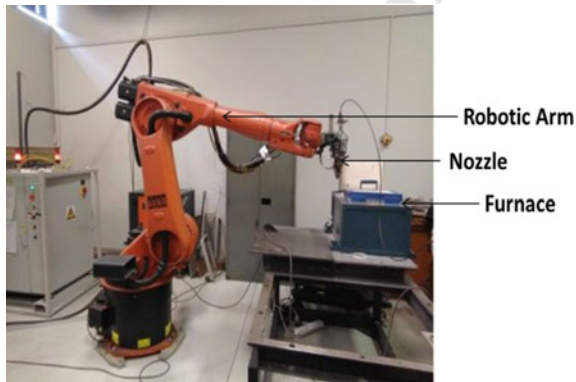
Element	Al	Co	Cr	Fe	Ni	Cu
Amount	16.6	16.6	16.6	16.6	16.6	16.6

**Table 2** Chemical composition of AlTiCrFeCoNi HEA in atomic percentage

Element	Al	Ti	Cr	Fe	Co	Ni
Amount	16.6	16.6	16.6	16.6	16.6	16.6



**Fig. 1** Preheating furnace



**Fig. 2** Ytterbium laser deposition system (YLS)

**Table 3** Laser parameters AlCoCrFeNiCu HEA

Baseplate preheating temperature (°C)	Laser power (W)	Powder feedrate (g/s)	Scan speed (mm/s)	Samples	Energy density (J/cm <sup>2</sup> )
400	1200	2	8	A	7.5
400	1200	2	10	B	7.5
400	1400	2	12	C	8.75
400	1600	2	10	D	10
400	1600	2	12	E	10

**Table 4** Laser parameters AlTiCrFeCoNi high entropy alloy

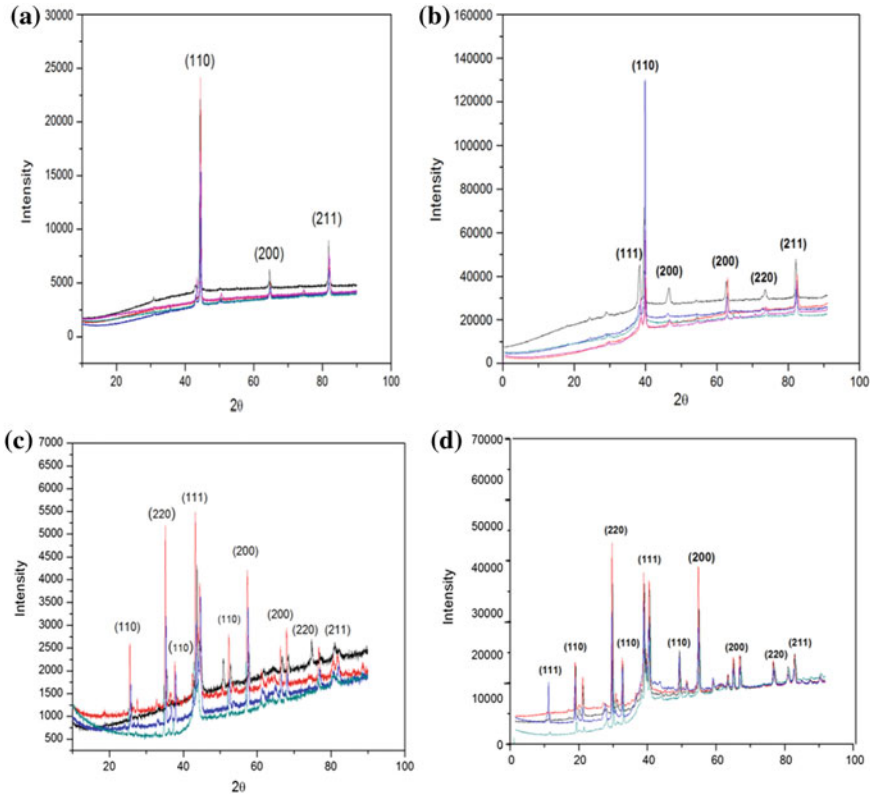
Baseplate preheating temperature (°C)	Laser power (W)	Powder feedrate (g/s)	Scan speed (mm/s)	Samples	Energy density (J/cm <sup>2</sup> )
400	1400	2	8	F	8.75
400	1400	2	12	G	8.75
400	1600	2	8	H	10
400	1600	2	12	I	10

85 After cladding, all samples were sectioned into smaller pieces with Struers met-  
 86 tallographic cut-off machine and the cross-sections of the sample were prepared  
 87 using standard metallographic procedures namely mounting, grinding, polishing  
 88 and etching with Kroll for the AlTiCrFeCoNi specimen and aqua regia solutions  
 89 for the AlCoCrFeNiCu specimen. The transverse piece of the clads was examined  
 90 using X-ray diffraction system (XRD), Optical microscope (OM), Vickers hard-  
 91 ness tester, Scanning Electron microscope (SEM) equipped with Energy Dispersive  
 92 Spectrometer (EDS).

## 93 Results and Discussion

### 94 *Effect of Preheating Temperature on Microstructure* 95 *and Phase Structure*

96 Figure 3 show X-ray diffraction patterns of the AlCoCrFeNiCu and AlTiCrFeCoNi  
 97 HEAs, before and after preheating. Before preheating, the body-centred cubic (BCC)  
 98 structure was observed attributed to the cocktail effect of Al and Cr with high bond-  
 99 ing strength and melting point respectively in the BCC phase increasing the slip  
 100 resistance and young modulus [17, 18]. After preheating, a minor {111} reflection  
 101 of FCC structure was formed near the {110} plane as shown in Fig. 3b attributed  
 102 to the concentration of Cu with an FCC structure promoting the formation of FCC  
 103 solid solution [19]. The high Al content shows the larger atomic size effect of the  
 104 aluminium atoms which expands both the BCC and FCC structures in accord with  
 105 the report by Tong and Chen [20]. Al is known to have the FCC structure nonetheless;  
 106 it is not known to contribute to the FCC solid solution phase formation. According to  
 107 the phase fraction by Gibbs, the number of elements in an alloy and the equilibrium  
 108 phases is given as  $p = n + 1$ . For a six element composition, where R is the gas  
 109 constant at 8.314 J/mol K and n is the number of elements [21]. The mixing entropy  
 110  $\Delta S_{mix} = R \ln(n) = R \ln 6 = 1.792R$ , thus, this makes the formation of phases in  
 111 both AlCoCrFeNiCu and AlTiCrFeCoNi HEAs a simple solution of FCC and BCC  
 112 phases after preheating as seen in Fig. 3b–d. High entropy alloys with an increased

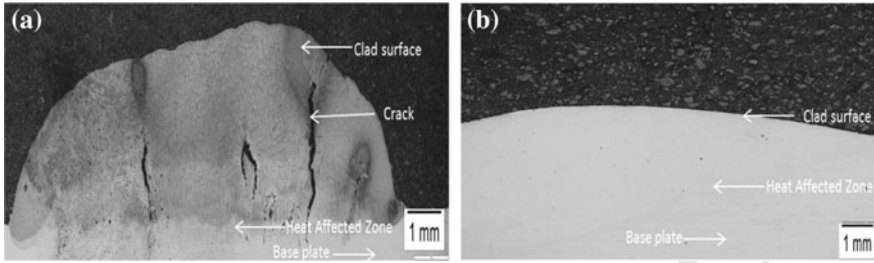


**Fig. 3** XRD graph of AlTiCrFeCoNi and AlCoCrFeNiCu HEAs **a** AlCoCrFeNiCu HEA before preheating, **b** AlCoCrFeNiCu HEA after preheating, **c** AlTiCrFeCoNi HEA before preheating, **d** AlTiCrFeCoNi HEA after preheating

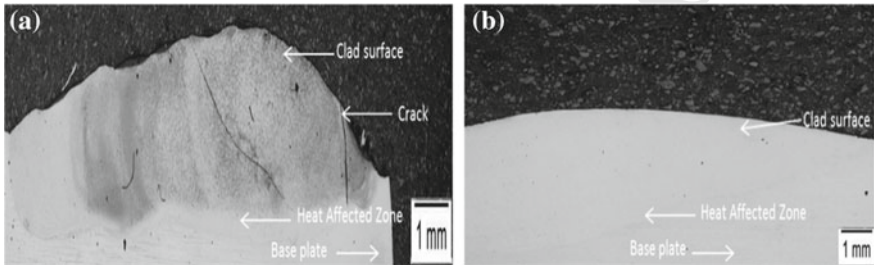
113 number of principal elements easily result in solid solutions enabling the alloy more  
 114 stability at elevated temperatures especially for aerospace applications. The highest  
 115 peak intensity {110} in AlCoCrFeNiCu HEA with preheating temperature was relatively  
 116 stronger than without preheating temperature possibly indicating a preferential  
 117 orientation of crystal growth contrary to the AlTiCrFeCoNi HEA were the highest  
 118 peak intensity was lower after preheating as seen in Fig. 3c, d.

119 Figures 4 and 5 shows the optical micrograph of the clad cross-section of the HEAs  
 120 coatings. From the results, there was micro-crack defects in the coatings without  
 121 preheating conversely, after preheating temperature, there were no cracks observed.  
 122 Noticeably, there was a homogeneous combination between the coating and the base  
 123 plate. Results also confirm that the HEAs had fine dendritic characteristics attributed  
 124 to the rapid heating and solidification of each progressive layer during deposition as  
 125 shown in Fig. 6. The SEM images of both alloys are shown in Fig. 7 confirming the  
 126 microstructures of both alloys comprising an equiaxed dendritic structure with the

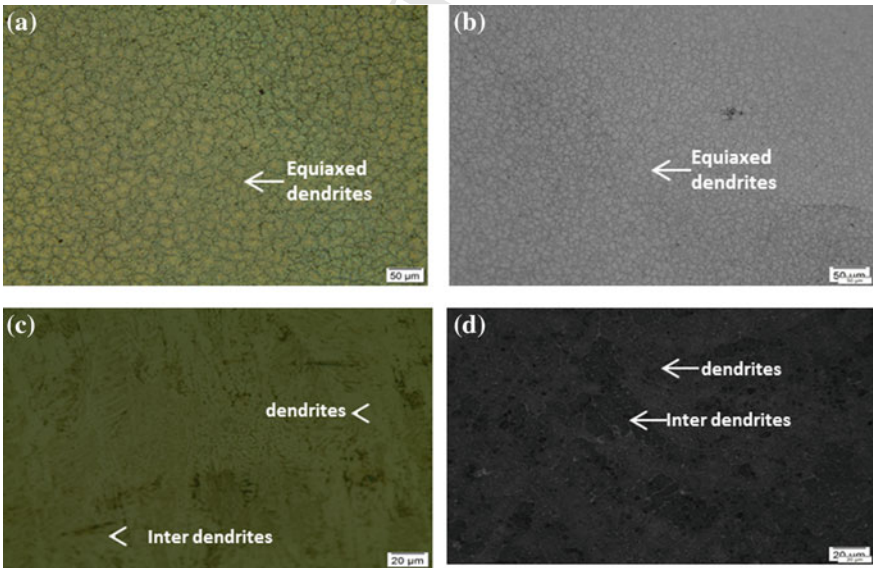




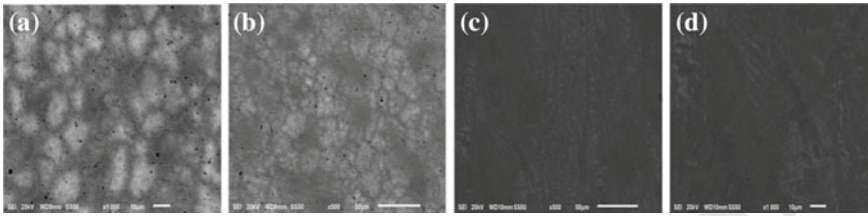
**Fig. 4** Cross section of AlCoCrFeNiCu high entropy alloy **a** Before preheating temperature and **b** After preheating temperature



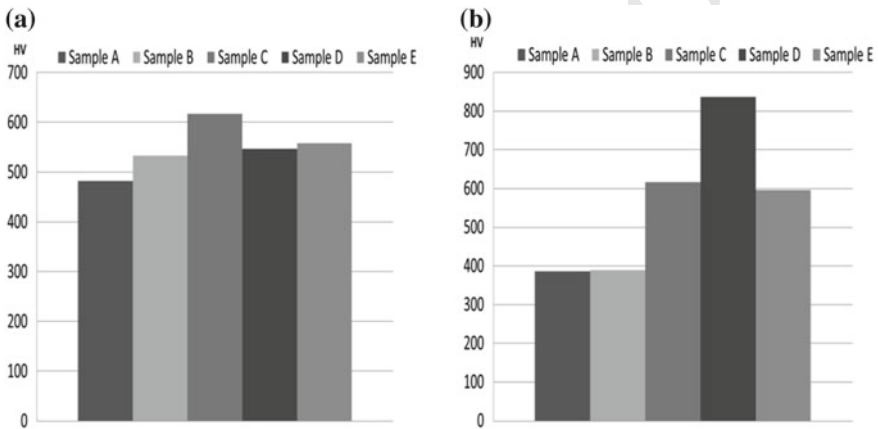
**Fig. 5** Cross section of AlTiCrFeCoNi high entropy alloy **a** Before preheating temperature and **b** After preheating temperature



**Fig. 6** Optical micrographs of AlCoCrFeNiCu and AlTiCrFeCoNi high entropy alloy **a** AlTiCrFeCoNi before preheating, **b** AlTiCrFeCoNi after preheating temperature, **c** AlCoCrFeNiCu before preheating, **d** AlCoCrFeNiCu after preheating



**Fig. 7** SEM images of **a** AlTiCrFeCoNi before preheating, **b** AlTiCrFeCoNi after preheating temperature, **c** AlCoCrFeNiCu before preheating, **d** AlCoCrFeNiCu after preheating

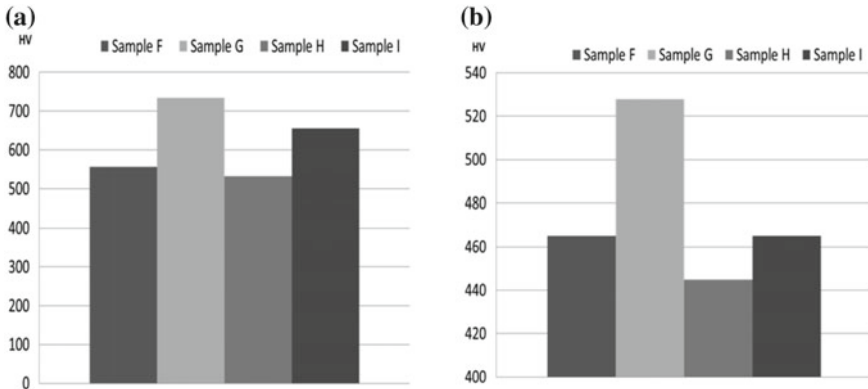


**Fig. 8** Hardness chart of **a** AlCoCrFeNiCu before preheating, **b** AlCoCrFeNiCu after preheating

127 dendrites forming a grain structure in the AlTiCrFeCoNi HEA while the AlCoCr-  
 128 FeNiCu HEA had a typical dendrite and inter-dendrite structure with no significant  
 129 changes occurring with and without preheat temperature. The segregation of Cu to  
 130 the inter dendrite is expected as observed from the alloy composition from literature  
 131 attributed to the low binding energy of Cu with other elements [19].

### 132 *Effect of Preheating Temperature on Micro Hardness*

133 Figures 8 and 9 shows the Vickers hardness charts of AlCoCrFeNiCu and AlTiCr-  
 134 FeNiCu HEAs under different process parameters with a single preheat temperature  
 135 of 400 °C. As seen from the graph, sample A and B after preheating the baseplate  
 136 experienced a 24% reduction at 7.5 J/cm<sup>2</sup> energy density each. Sample D and E experi-  
 137 enced an increment after preheating with about 33% and 10% respectively at an  
 138 energy of 10 J/cm<sup>2</sup> each while sample C maintained the range of the hardness of 600  
 139 HV at 8.75 J/cm<sup>2</sup>. From the data, it can be deduced that the preheating temperature



**Fig. 9** Hardness chart of **a** AlTiCrFeCoNi before preheating, **b** AlTiCrFeCoNi after preheating

140 influenced the energy density of the alloys. Generally, with the preheat temperature,  
 141 the AlCoCrFeNiCu HEA hardness goes up. Exhibiting an average hardness value of 450–600 HV before preheating, the values increases to the range of 350-800HV  
 142 of 450–600 HV before preheating, the values increases to the range of 350-800HV  
 143 after preheating attributed to the predominant BCC phase and the reduced distance  
 144 between the grains, thus, strengthening the grain boundary. The BCC structures are  
 145 known to be more uneven and less dense, making the alloy possess increased lattice  
 146 friction and reduced inter-planar spacing.

147 On the other hand, for the AlTiCrFeCoNi HEA, all samples after preheating  
 148 experienced a reduction with the average hardness values reduced from the range  
 149 of 380–800 HV to 400–500 HV attributed to the inner microstructure undergoing  
 150 intricate phenomena during preheating under reoccurring thermal cycling resulting  
 151 in the reduction in hardness values [22].

## 152 Conclusion

153 High entropy alloys deposited on steel base plate by direct energy deposition was  
 154 studied. During optimization of process parameters, the HEAs were sensitive to  
 155 cracking; therefore, the effect of preheating the base plate on the microstructure and  
 156 hardness of the HEAs to eliminate residual stresses were examined using experi-  
 157 mental analyses. The results proved that preheating the base plates at 400 °C is an  
 158 effective way of eliminating cracks.

- 159 • Extensive cracks were observed in the microstructures of both AlCoCrFeNiCu  
 160 and AlTiCrFeCoNi HEAs without preheating.
- 161 • The mechanical properties of AlCoCrFeNiCu and AlTiCrFeCoNi HEAs were  
 162 compared before and after preheating. There were significant variations in the  
 163 average hardness values of both alloys although the AlTiCrFeCoNi HEA had  
 164 higher hardness before preheating. The AlCoCrFeNiCu HEA had an increment

- 165 from 450–600 HV before preheating to 350–800 HV after preheating showing that  
 166 adequate preheat temperature can improve the mechanical properties of the alloy  
 167 while the AlTiCrFeCoNi HEA experienced a reduction in average hardness values  
 168 from 500–700 HV before preheating to 450–550 HV after preheating attributed  
 169 to heat accumulation during preheating which reduces the cooling rate and tem-  
 170 perature gradient thus forming a coarse dendritic columnar grain which lowers  
 171 hardness values.
- 172 • Laser deposition with energy density between 8.75 and 10 J/cm<sup>2</sup> at 400 °C pre-  
 173 heating temperature produced the best microstructure and hardness properties for  
 174 the AlCoCrFeNiCu HEA with dendritic morphologies; BCC and FCC phases.
  - 175 • Comparing samples with and without preheating the base plates, preheating the  
 176 base plates preceding to laser deposition obviously had a positive influence in  
 177 elevating the crack resistance of the HEAs making the material less susceptible to  
 178 defects.

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Transpose	└┐	└┐
Close up	linking ○ characters	○
Insert or substitute space between characters or words	/ through character or ∧ where required	Υ
Reduce space between characters or words		↑