

1 **Large-scale production of an abalone probiotic, *Vibrio midae*, isolated from a South African**
2 **abalone, *Haliotis midae* for use in aquaculture.**

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12 **Keywords:** probiotic, abalone, aquaculture, bioprocess, production

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17
18 **Abstract**

19 The South African abalone industry is under severe pressure due to illegal harvesting and poaching of
20 this seafood delicacy. These abalone are harvested excessively and consequently, these animals do not
21 have a chance to replace themselves, ensuing in a drastic decrease in natural abalone stocks. Abalone,
22 has an extremely slow growth rate, and takes approximately four years to reach a size that is marketable.
23 Probiotics offer a viable treatment solution to improve the growth, health and vigour of these animals.
24 Two microorganisms, a yeast isolate *Debaryomyces hansenii* and a bacterial isolate *Vibrio midae*, from
25 the gut of the South African abalone were characterised for their probiotic abilities. This work resulted
26 in an internationally competitive concept technology that was patented. Thereafter research was
27 focussed on developing a suitable bioprocess to enable commercial production of one the isolates, *V.*
28 *midae*. During this development, production parameters such as temperature and pH, as well as
29 alternative medium additives, such as the use of corn steep liquor (CSL) and high test molasses (HTM)
30 was assessed. Once a high efficiency production process was developed at laboratory scale, this up- and
31 downstream production technology was scaled up to manufacturing scale, which resulted in a final
32 market ready probiotic product. The optimization of the key variables resulted in a 180% increase in
33 cell concentration and a 5716-fold increase in cell productivity, in comparison to conventional growth
34 conditions and parameters used at the beginning of the study.

35
36 **1. Introduction**

37 Abalone is one of the most valuable seafood species in the world, whereby demand far exceeds supply,
38 especially in Asia (Reddy-Lopata et al., 2006; Stanford J, 2004). Abalone (family *Haliotidae*) belongs
39 to a class of marine vetigastropod molluscs, which are distributed along rocky shores and reefs of
40 coastal temperate and tropical waters (Degnan et al., 2006). The destination of majority of the globally
41 produced abalone is China, where abalone are used primarily as a celebration dish. As abalone take a
42 long time to grow to market size in its natural habitat, they have been successfully cultivated in
43 aquaculture operations around the world. This alleviates demand considerations without stimulating
44 mass poaching and illegal harvesting. However, aquaculture systems also have challenges, including
45 diseases, waste accumulation and deterioration of environmental conditions. The application of
46 antimicrobial drugs is a common approach to dealing with animal health issues in the aquaculture
47 industry.

48 However, the use of such treatments has led to the development of antibiotic resistant bacteria (Schwarz
49 and Kehrenberg, 2001). Other than the outbreak of diseases, the abalone industry is also faced with
50 major challenges such as extremely slow growth rate and high mortality rate in culture systems (Naidoo

51 et al., 2006). Disease occurrence is usually associated with primary invasion by pathogenic strains as
52 well as mechanical injury coupled to stressful environmental conditions viz., physiochemical changes
53 and poor water quality (Saraswathy et al., 2018). This predicament has become one of the main barriers
54 towards the successful development in the aquaculture industry, given that it limits the production of
55 aquaculture products in terms of quality, quantity, and regularity (Macey and Coyne, 2006).

56 Recent developments surrounding the use of beneficial bacteria such as probiotics, to displace
57 pathogenic bacteria, has been gaining attention. This method provides a more appropriate treatment
58 than the use of antimicrobial drugs (Ringø et al., 2010). Probiotics offers the aquaculturist various
59 advantages, but they need to have the ability to colonize and persist in the gastrointestinal tract of the
60 host for a suitable period, in order to confer these benefits (Macey et al., 2005; Verschuere et al., 2000).

61 This study followed on from initial R&D efforts conducted by (Macey et al., 2005) Their findings
62 indicated that important microbial strains such as *Vibrio midae* and *Debaryomyces hansenii*, amongst
63 others resided in the gastrointestinal tract of the South African abalone (*Haliotis midae*). These strains
64 when used either singularly or in consortium have the ability to improve the health and growth rate of
65 the South African abalone by enhancing the immune system and increasing feed conversion (Macey et
66 al., 2005).

67 Once the probiotic effects were elucidated, research efforts were centred on developing and delivering
68 a viable and robust probiotic product of *Vibrio midae* to be incorporated into abalone feed. This
69 organism is a gram-negative rod, approximately 0.7µm in diameter and 2µm in length with 1 to 3 polar
70 flagella of approximately 6.6µm in length. To ensure that this probiotic product offered the desired
71 effect to the cultivated abalone, a laboratory scale production process was first developed, and thereafter
72 scaled up to manufacturing scale, thereby resulting in a market-ready abalone probiotic product.

73

74 **2. Methods and Materials**

75

76 **2.1. Organism**

77 Cryopreserved cultures were obtained from the University of Cape Town and contained *V. midae* (LMG
78 P-27727), at a concentration of approximately 2×10^9 CFU.ml⁻¹. This was used as an inoculum source
79 for all bioreactor cultivations conducted in this study.

80

81 **2.2. Medium and inoculum culture conditions**

82 For the temperature and pH study; one cryovial was used to inoculate 200 ml cultivation medium in a
83 1 L Erlenmeyer flask containing the following components, (g.l⁻¹): 1 C₆H₁₂O₆, 3 (NH₄)₂SO₄, 0.4
84 Ca(NO₃)₂, 0.04 MnSO₄.7H₂O, 0.032 FeSO₄.7H₂O, 1 KCl, 30 NaCl, 2.3 MgCl₂.6H₂O, 5 casamino acids,
85 5 yeast extract, 10 peptone (Biolab), 10 glucose and 2.5 ml.l⁻¹ H₃PO₄. Flasks were incubated on a rotary
86 platform shaker (Innova 2300, New Brunswick Scientific, Edison, USA) at 25°C for the temperature
87 study and 30°C for the pH study, with an agitation speed of 150 rpm. Cultures were harvested at mid-
88 exponential phase (OD_{660nm} ~1.50) and used as inocula for all experiments.

89 For the Corn Steep Liquor (CSL) and High Test Molasses (HTM) study, one cryovial was used to
90 inoculate 700 ml cultivation medium as listed; in a 1.8 L Fernbach. Flask media was pH adjusted to
91 6.5 and sterilized. Culture flasks were incubated at 30°C with shaking at 180 rpm on a rotary platform
92 shaker (Innova 2300, New Brunswick Scientific, USA) for 5 h. Once a transfer OD of 3.5 was reached,
93 flasks were checked for monoseptic status, microscopically under 1000 x magnification using an
94 Olympus BX40 microscope (Olympus, Japan), and aseptically transferred into the vessel.

95 All materials used in this study were obtained from Merck (Darmstadt, Germany) unless otherwise
96 stated.

97

98 **2.3. Effect of temperature and pH**

99 Cultivation of *V. midae* was performed in 2 L Braun Biostat B fermenters (Sartorius BBI Systems,
100 Melsungen, Germany) at a working volume of 1.7 L. Cultivation of *V. midae* was performed across a
101 range of temperatures (10°C, 20°C, 25°C, 27.5°C, 30°C, 35°C, and 40°C). The medium was prepared to
102 a volume of 1500 ml, and pH adjusted to 7.0. The pH of the cultivation medium was maintained at this
103 value post sterilization (121°C, 45 min). Inocula (200 ml) were aseptically added into each fermenter.
104 The airflow was maintained at 1 v.v⁻¹.m⁻¹ and agitation was ramped manually from 300 rpm upwards
105 to a maximum of 1000 rpm to maintain dissolved oxygen saturation above 30%. For the pH
106 investigation, cultivation of *V. midae* was performed at pH 5, 6, 7, and 8 using the same parameters as
107 in the temperature study, at 30°C (optimum temperature).

108 Bioreactors were sampled hourly (20mL), until maximum growth (determined by measuring OD_{660nm})
109 was reached, and terminated once the organism entered the stationary phase. Data analysis included
110 determination of overall growth rate, cell concentration and cell productivity. Growth rate was
111 determined from regression analysis of the natural logarithm of microscopic cells counts (Thoma@
112 counting chamber, Hawksley and Sons, London, UK) against time. Cell productivity was expressed as
113 the rate change in total cell concentration, determined from the microscopic enumeration of cells over
114 time.

115

116 **2.4. Evaluation of CSL and HTM as nutrient sources in laboratory studies**

117 The growth of *V. midae* at a range of CSL concentrations (5 g.l⁻¹, 10 g.l⁻¹, 15 g.l⁻¹, 20 g.l⁻¹, 25 g.l⁻¹, 30
118 g.l⁻¹, and 40 g.l⁻¹) was evaluated in laboratory studies using 15 L Biostat C fermenters. The salts,
119 antifoam (1 ml.l⁻¹, Pluriol P2000, BASF, Ludwigshafen, Germany) and CSL of the media were added
120 to the initial charge and made up to a volume of 9.3 L. Subsequent to the *in-situ* sterilization (121°C,
121 45 minutes) a separately sterilized glucose solution (10 g.l⁻¹, 55% m.m⁻¹ TSAI) was added by sterile
122 transfer into the fermenter.

123 Glucose feed commenced when the residual glucose concentration dropped to just below 5 g.l⁻¹
124 (monitored half hourly as described in section 4.2.5). The sugar feed rate was adjusted using a
125 gravimetric flow controller to maintain a glucose concentration of 2.0 to 5.0 g.l⁻¹. Oxygen transfer rate
126 was maintained to not exceed 200 mM.l⁻¹.h⁻¹ as this is around the safe maximum for stirred tank
127 bioreactors

128 For the HTM study, a range of concentrations (1g.l⁻¹, 5 g.l⁻¹, 15 g.l⁻¹, 25 g.l⁻¹ and 40 g.l⁻¹ using the
129 optimum growth medium identified in the CSL study. Subsequent to the *in-situ* sterilization of the initial
130 charge, a separately sterilized HTM solution (prepared to the desired concentration at a sugar feed purity
131 of 55% m.m⁻¹ TSAI) was added.

132

133 For both studies, reactors were sampled every 30 minutes. The fermentation temperature, pH and
134 aeration was maintained at 30 °C, 6.5 and 1 v.v⁻¹.m⁻¹ respectively. The stirrer speed was set at 500 rpm
135 and ramped to 1000 rpm over 3 h, to maintain the dissolved oxygen above 30% saturation. A decrease
136 in the oxygen utilization rate by ~10mM.L⁻¹.h⁻¹, indicated the end of exponential growth, which served
137 as the stop signals for each fermentation.

138

139 **2.5. Data analysis**

140 An Arrhenius plot was generated by plotting the *ln* function of growth rate (μ) against the reciprocal of
141 absolute temperature (K) according to methods outlined by Ratkowsky et al., (1983). Each of the key
142 responses (growth rate, cell concentration, cell productivity, cost of production and yields on protein,
143 oxygen and carbohydrate), in response to change in CSL or HTM concentration, were statistically
144 analysed to determine appropriate fits to quadratic or cubic models. Numerical optima integrating all

145 responses were determined by using the optimization function of Design Expert-6 software (Stat-Ease,
146 Minneapolis, USA), excluding responses where R^2 values were less than 0.90 for any of the model fits.

147 Cost of production was determined by calculating the cost of medium components (used for the
148 production of one litre of 1×10^7 cells. This is the supplementation level per gram of abalone feed
149 according to Macey et al., (2005), as well as capital utilization per annum based on an 80% capacity
150 utilization per annum and ten year straight line depreciation of a production scale system (200L, ~ R10
151 million).

152 Yield co-efficients were calculated based on data points conforming to high linearity ($R^2 > 0.9$) of plots
153 of total cell number against either total protein (YPP), carbohydrate (YPS) or oxygen (YPO) consumed
154 (Papanikolaou and Aggelis, 2002). A comparative assessment of key responses was evaluated to assess
155 the impact of each process parameter on production performance.

156 Exhaust gas analysis was carried out using an Uras 10E gas analyser (Sartorius BBI Systems,
157 Melsungen, Germany). Oxygen utilization (OUR) and carbon dioxide evolution (CER) rates were
158 calculated online using MFCS software, from carbon dioxide and oxygen concentration measurements
159 of the exhaust gases.

160

161 **2.6. Comparison of laboratory scale optimised technology to base case technology**

162 An analysis of variance (ANOVA), t-test assuming equal variances, was conducted to evaluate the
163 effect of laboratory scale optimization on key production performance indicators (cell concentration,
164 cell productivity, cost of production, YPP, YPS and YPO) in comparison to the base case production
165 technology.

166

167 **2.7. Production at manufacturing scale**

168 The manufacturing scale process consisted of four process unit operations similar to that of the HTM
169 study. A 10 L bioreactor cultivation was used as a seed inoculum for the production vessel. Once an
170 OD of ~ 25.0 was reached, culture was assessed for its monoseptic status, this inoculum (10 L) was
171 transferred to the production vessel (200L Biostat Braun DS300) using a sterile transfer assembly
172 (Sartorius BBI systems, Melsungen, Germany).

173 The culture medium in the bioreactor contained ingredients at concentrations used in the optimized
174 HTM study. The salts, antifoam and protein sources of the culture medium were added to the initial
175 charge and made up to a volume of 190 L. Subsequent to the *in-situ* sterilization of the initial charge, a
176 separately sterilized HTM solution was added (24 g.L^{-1} , $50\% \text{ m.m}^{-1}$ TSAI) into the bioreactor.

177 The fermentation temperature, pH and aeration was maintained at setpoints used in the HTM study.
178 Agitation speed was set at 100 rpm and ramped to 450 rpm over 2 h, to maintain the dissolved oxygen
179 concentration in the reactor above 30% saturation. The cultivation was stopped when a decline in the
180 oxygen utilization rate was observed.

181

182 The *V. midae* cell culture from the bioreactor was harvested into a pre-disinfected storage tank with
183 constant mixing at ambient conditions using an overhead mixer (Heidolph RZR 2102, Kelheim
184 Germany). The third process step was cell separation, and was performed continuously using a disk
185 stack centrifuge (Westfalia, SA1, GEA, Germany). Culture broth was fed into the centrifuge at a rate
186 of 26.40 l.h^{-1} . The centrifuge was operated at a constant speed of 9000 rpm and the bowl pressure was
187 maintained at 100 kPa by adjusting the back pressure valve, and the bowl was de-sludged at two
188 minute intervals to collect the biomass paste. The biomass paste was thereafter reconstituted to 150 l
189 in a saline phosphate buffer (PBS) (KH_2PO_4 0.11, K_2HPO_4 0.71, NaCl 2.91 g.l^{-1} per litre of de-ionized
190 water). The re-suspended pellet was re-centrifuged as a second pass using the same flow rate and de-
191 sludge intervals. All biomass fractions were combined and reconstituted to form a homogenous

192 solution using PBS; to yield a final viable cell concentration of 1×10^7 cells.ml⁻¹. This liquid product
193 was packaged into sachets containing *V. midae* cells using a vertical fill, form and seal sachet pack
194 machine (Alpha Packaging, Hong Kong, China).

195

196

197 **3. Results and Discussion**

198 **Effect of temperature on production of *V. midae***

199 Overall growth rate, cell productivity and cell concentration were selected as marker responses to
200 determine the optimum growth temperature of *V. midae*. In contrast to maximum intrinsic growth rate,
201 overall growth rate is a better indicator of commercial cell production. Growth rate against temperature
202 conformed to a cubic model ($R^2 = 0.96$) with maximum overall growth rate (0.65 h^{-1}) at 30°C (Figure 1
203 a). Overall growth rate decreased at temperatures on either side of the optimum temperature (30°C).
204 This observation justifies the importance of temperature optimization studies, because outside of the
205 optimum range, cell energy wastage is increased, as a result, the amount of available energy for
206 metabolic processes related to cell replication is reduced (Bonaïti et al., 2004).

207 Productivity (rate at which a product is formed) is one of the key indicators of process efficiency.
208 Production processes must be designed to produce the maximum amount of viable product in the
209 shortest period of time. In the case of secondary metabolite production, productivity does not
210 necessarily correlate with growth (Viniegra-González et al., 2003), but our interest was in the actual
211 probiotic cells and therefore productivity and growth rate data followed a similar trend as they are both
212 measures of cell replication rate (Figure 1 a and b). Cell productivity data conformed to a cubic model
213 ($R^2 = 0.95$) with a maximum of 3.43×10^9 cells.ml⁻¹.h⁻¹ observed at 30°C . Similar to overall growth
214 rate, cell productivity decreased at temperatures beyond this point (Figure 1 b). Under extreme
215 temperatures, productivity of the process is reduced, and in some instances population death occurs,
216 resulting in negative productivity, which was clearly observable at the extreme cold and hot
217 temperatures (10 and 40°C respectively).

218 Cell concentration is also important as obtaining a high cell concentration positively influences the cost
219 of production through volumetric efficiency in both up and down stream processes (Laloo et al., 2009).
220 In our case, a target cell concentration of 1×10^{10} cells.ml⁻¹ was defined up-front based on commercial
221 requirements (high concentration, stable liquid product for inclusion into abalone feed during
222 extrusion). Higher cell concentrations, compensate for viability losses in downstream processes such as
223 centrifugation, formulation, extrusion and drying. Cell concentration data against temperature also
224 conformed to a cubic model ($R^2 = 0.98$). The cell concentration was optimum at 30°C and met the target
225 of 1.20×10^{10} cells.ml⁻¹. As noted in Figure 1 a and b, cell concentration was also compromised at sub-
226 optimal temperatures, attributable to reduced growth at these conditions.

227 One method of understanding the fundamental growth kinetic in response to temperature, is by using
228 the Arrhenius approach (Figure 2). Our data indicated high linearity in both the hot and cold domains
229 ($R^2 > 0.96$). There was an exponential increase in maximum growth rate (μ_{max}) between 10°C and 30°C ;
230 and subsequent decline in μ_{max} above 30°C which were both thermodynamically influenced (Ratkowsky
231 et al., 1983). With an increase in growth temperature from 10 to 30°C , the exponential increase in μ_{max}
232 of *V. midae* corresponds to the $\ln \mu_{\text{max}}$ plot of the normal Arrhenius portion of the curve. It could also
233 be observed, that at temperatures exceeding the optimum of 30°C , an exponential decline in μ_{max} was
234 apparent, which correlated with the decrease in all growth indicators presented in our study (Figure 2).
235 The critical temperature point (inflection of the two linear regressions) correlates to the point of
236 optimum growth and productivity (Figure 3). Apart from providing classical thermodynamic
237 information, the presence of low and high temperature domains illustrated by the Arrhenius plot, can
238 be used to infer functionality or survival of the probiotic organisms in product processing and the gut
239 of abalone in response to temperature.

240

241 **Effect of pH on production of *V. midae***

242 Overall growth rate data against pH conformed to a quadratic model ($R^2 = 0.97$), where growth was
243 maximum (1.31 h^{-1}) at an optimum pH of 6.0 (Figure 3 a). Overall growth rates were lower on either
244 side of the optimum, but *V. midae* grew at neutral and mildly alkaline pH conditions (pH 7.0 and 8.0),
245 in contrast to pH 5.0 where growth was severely attenuated. Studies conducted by Hug et al. (1985),
246 indicated that *V. cholerae* grew better at alkaline pH up to 8.5, but in their studies, growth at pH 6.5,
247 was less favourable, possibly due to different pH optima for the different *Vibrio* species.

248 The optimization of pH resulted in higher cell productivity, thereby improving the techno-economics
249 of our production process. Similar to overall growth rate, cell productivity data also conformed to a
250 quadratic model ($R^2 = 0.93$) with a maximum response of $1.19 \times 10^{10} \text{ cells.ml}^{-1}.\text{h}^{-1}$ observed at a pH of
251 6.0. (Figure 3 b). Target *V. midae* cell concentration of $1.00 \times 10^{10} \text{ cells.ml}^{-1}$ was achieved at pH 6.0,
252 7.0 and 8.0, but not at pH 5.0 (Figure 3). Viable culture checks (data not shown), confirmed that pH 5
253 was lethal to *V. midae*, also observed by Wang and Gu, (2005), wherein *Vibrio vulnificus* MP -2, showed
254 no growth at pH 5.2. Cell concentration data conformed to a quadratic model ($R^2 = 0.93$), with a
255 maximum cell concentration of $3.60 \times 10^{10} \text{ cells.ml}^{-1}$ observed at pH 6.0 (Figure 3).

256

257 **Effect of corn steep liquor (CSL) as a nutrient source for the production of *V. midae***

258 CSL and HTM are preferred fermentation substrates due to cost and local availability as by-products
259 from the corn and sugar processing industries respectively. Both these nutrients have been shown to
260 support viable cell production, but supplementation concentration had to be optimised to obtain the
261 best performance (Prabakaran et al., 2007; Srivastava et al., 2015). The cell concentration profile
262 conformed to a second order polynomial function ($R^2 > 0.90$) when plotted against the range of CSL
263 concentrations tested (Figure 4 a). A visible increase in cell concentration was observed with an
264 increase in CSL concentration up to 10 g.l^{-1} ($3.37 \times 10^{10} \text{ cells.ml}^{-1}$) (Figure 4 a). Beyond 10 g.l^{-1} , there
265 was a decreasing trend in cell concentration of *V. midae* with increasing CSL concentration (Figure 4
266 a). This has been noted in several studies on both whole cell production, as well as production of
267 secondary metabolites such as enzymes, where an increase in nutrient supplementation does not result
268 in a linear increase in product formation (Kona et al., 2001; Ramawat et al., 2007). It is known that
269 CSL supplementation at high concentrations has an inhibitory effect on organism growth as it contains
270 high concentrations of lactic, butyric and phytic acid that affect substrate metabolism (Treichel et al.,
271 2009). Growth may have also been osmotically inhibited at higher CSL concentrations, due to
272 increasing concentrations of ammonium sulphate, present in CSL.

273 Cell productivity was maximum ($6.25 \times 10^{12} \text{ cells.ml}^{-1}.\text{h}^{-1}$); when *V. midae* was cultivated in a medium
274 containing 5 g.l^{-1} CSL (Figure 4 b). Hereafter, a linear decline in overall cell productivity was noted (R^2
275 > 0.97). Higher cell productivity is said to be of higher importance than higher cell concentration,
276 because productivity has a greater influence of production efficiency (Télliez-Luis et al., 2003). This is
277 in many instances, inextricably linked to economic productivity, which is calculated using process costs
278 of labour, raw materials and capital, as calculated in this study (Figure 4 c).

279

280 Although cultivations containing CSL in the range of 10 to 20 g.l^{-1} resulted in high cell concentrations,
281 cell productivity was reduced due to an increase in process time of approximately 27 – 46%. Another
282 investigation similarly showed an increase in productivity with increasing substrate up to a maximum,
283 where after performance is compromised. (Kona et al., 2001). *V. midae* cell yield on protein conformed
284 to a second order polynomial quadratic function ($R^2 > 0.99$) when plotted against the range of CSL
285 concentrations tested (Figure 5 a). It was also observed that cell yield based on protein decreased with
286 an increase in CSL concentration with a maximum yield noted at 5 g.l^{-1} protein (Figure 5 a). In general
287 higher excess levels of nutrient substrate can decrease process yields, as the metabolic utilization
288 becomes more extravagant often resulting in over-flow metabolism (nutrients not efficiently directed to
289 critical cellular functions for cell synthesis). This was observed for all yield co-efficients calculated in
290 our study, wherein YPP, YPO and YPS decreased with an increase in CSL supplementation (Figure 5

291 a, b and c) with maximum yields at 5 g.l⁻¹ (Figure 5 b and c). Similar to the results obtained in this *V.*
292 *midiae* investigation, Champagne et al., (1990), also demonstrated the inhibitory effect of CSL
293 concentration on yields of lactic acid bacteria when CSL was supplemented at high concentrations. All
294 yield co-efficients were poor at a CSL concentration of 40 g.l⁻¹, due to poor growth and substrate
295 wastage. (Figure 5 a, b, c).

296

297 **Effect of High Test Molasses (HTM) as a suitable substrate for the production of *V. midiae***

298 Key responses of cell concentration, cell productivity and cost of production were further analysed
299 when plotted against the range of HTM concentrations tested (Figure 6). It was observed that with an
300 increase in HTM concentration, there was a visible increase in *V. midiae* cell concentration up to a
301 maximum cell concentration of 9.72×10^{10} cells.ml⁻¹ at an HTM concentration of 25 g.l⁻¹. At HTM
302 concentrations above this point, a decline in cell concentration was noted. This trend conformed to cubic
303 model with an $R^2 > 0.93$. (Figure 6 a). Similarly, cell productivity was optimum (1.62×10^{13} cells.l⁻¹.h⁻¹)
304 at a HTM concentration of 25 g.l⁻¹ (Figure 6 b).

305 An increased cost of production at the minimum and maximum HTM test concentrations (1 and 40 g.l⁻¹)
306 was noted (Figure 6 c). This is expected as both cell concentration and productivity were low at these
307 extremes. Reduced costs were noted at HTM concentrations of 5 – 25 g.l⁻¹, with the lowest cost of R
308 0.0005 per litre of 1×10^7 cells.ml⁻¹ obtained at the optimum HTM concentration of 25 g.l⁻¹ (Figure 6
309 c). The strategy to replace glucose with HTM drastically reduced production cost (2.3 fold). Cell yields
310 based on protein and oxygen conformed to cubic model fits ($R^2 > 0.93$ and $R^2 > 0.97$ respectively)
311 (Figure 7 a), and mimicked similar patterns for cell concentration and cell productivity trends in
312 response to HTM concentration. At the low and high extremes of HTM concentration, cell yield on
313 protein or oxygen were negatively impacted due to substrate limitation or substrate overflow
314 respectively. Both yield on protein and oxygen increased as HTM concentration increased from 5 g.l⁻¹
315 to 25 g.l⁻¹ (Figure 7). It was also observed that both YPO and YPP was sub-optimal at the minimum
316 and maximum HTM supplementation levels, because cellular respiration and protein flux to cell
317 production was inefficient at these conditions.

318 Cell yield on carbohydrate resulted in a second order polynomial function, with a maximum cell yield
319 of 1.55×10^{10} cells.ml⁻¹ during *V. midiae* growth in medium containing 1 g.l⁻¹ of HTM (Figure 7 b). The
320 data indicates that cell production efficiency on carbohydrate is at its maximum under near starvation
321 conditions. This atypical trend is possibly attributable to the marine origin of the *V. midiae*, where sugar
322 concentrations in the surrounding environments are low. Although the yield of cells is highest, when
323 the sugar concentration is lowest, there is a payoff between sugar conversion efficiency and more
324 important commercial parameters such as productivity and cell concentration. Therefore, fermentation
325 process optimization must explore various responses in an integrated way to derive commercially
326 relevant optima.

327

328 **Comparison of laboratory scale optimised technology to base case technology**

329 An overall comparison of the laboratory scale technology to the base case technology resulted in
330 substantial improvements in all key indicators of production efficiency. Percentage improvement in cell
331 concentration, productivity, YPP, YPS and YPO were 122, 12, 252, 94 and 633% (Table 1). This data
332 confirms the relevance of laboratory scale development studies, prior to scale-up into commercial
333 production.

334

335 **Production of *V. midiae* at manufacturing scale**

336 The cultivation of *V. midiae* at 200 L scale resulted in a fermentation process time of 5.46 ± 0.05 h. An
337 average viable cell concentration of 7.47×10^{10} cells.ml⁻¹ and a corresponding cell productivity of 1.36
338 $\times 10^{13}$ cells.l⁻¹.h⁻¹ were achieved across triplicate batches (Table 2). The coefficients of variations across

339 the replicate batches for all five key responses tested in this study were <10%, indicating excellent
340 process reproducibility (Table 2). There was no significant difference in any of the key performance
341 indicators ($p > 0.05$) between lab and production scale, which is a major achievement because process
342 performance is generally expected to decline at larger scale due to mass transfer limitations.
343 Contrastingly in our manufacturing process, the selected substrates used in the cultivation at optimized
344 conditions were able to support similar efficiencies at both scales. The control of key operating variables
345 such as temperature, pH and aeration were successfully scaled. Control of process parameters is vital
346 in any bioreactor cultivation in order to maintain optimal conditions for product formation. Profiles of
347 the key operating variables were evaluated across the replicate batches, and variations from set-points
348 were always below 10% (data not shown).

349
350 Post cultivation, the *V. midae* culture broth was centrifuged using a two-step separation process,
351 resulting in a mass balance closure of 96.6% and a corresponding cell recovery of 94.9%. The viable
352 cells were successfully formatted in a saline phosphate buffer at a concentration of 1.00×10^7 cells.ml⁻¹,
353 which was subsequently packaged into ready to use sachets, as specified by relevant stakeholders in
354 both the abalone feed production industry and with abalone growers. Our study yielded a validated,
355 stream-lined, industrially relevant bioprocess that encompassed reproducibility, scalability,
356 standardization, robustness and safety considerations (Hambor, 2012). The probiotic product developed
357 in this study further satisfied the key supply chain and application criteria as described by Satyanarayana
358 et al., (2012).

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360
361
362

363 4. Conclusions

364 This study resulted in a complete development of a laboratory scale technology for the production of *V.*
365 *midae*, with significant improvement in all process parameters when compared to the original base case
366 technology. The study further showed the efficiency and reproducibility of the technology at full
367 manufacturing scale. While in many instances, data and information describing process performance
368 at manufacturing scale is limited and maintained as an industry secret, this study provides vital insight
369 into the commercial production of *V. midae* as a probiotic of benefit to the abalone industry.

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371 Acknowledgement

372 The authors would like to acknowledge the University of Cape Town for this work, which was
373 supported by Benguela Aquaculture Technologies (PTY) Ltd and the Department of Science and
374 Innovation in South Africa.

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